

# RECOGNIZING K-LEAF POWERS IN POLYNOMIAL TIME, FOR CONSTANT K

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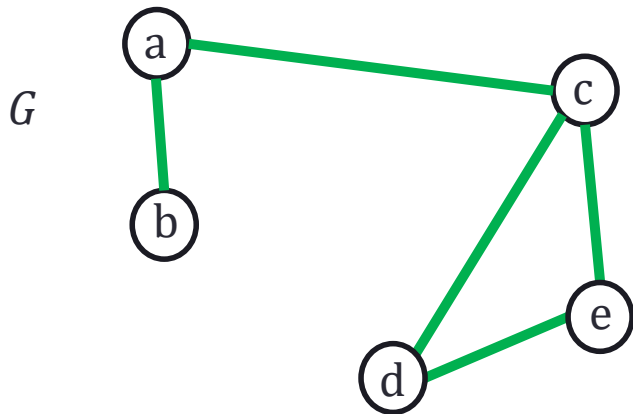


## Definition

A graph  $G$  is a  **$k$ -leaf power** if there exists a (rooted) tree  $T$  such that:

- $L(T) = V(G)$ , where  $L(T)$  is the set of leaves of  $T$
- $uv \in E(G) \Leftrightarrow \text{dist}_T(u, v) \leq k$

3 – leaf power ?

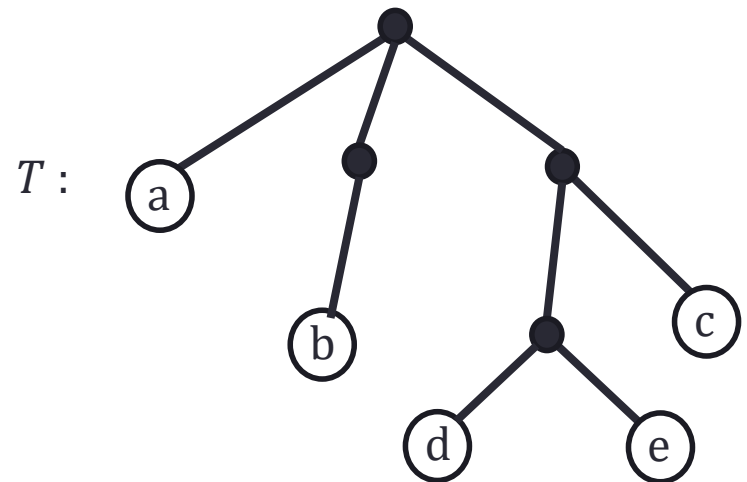
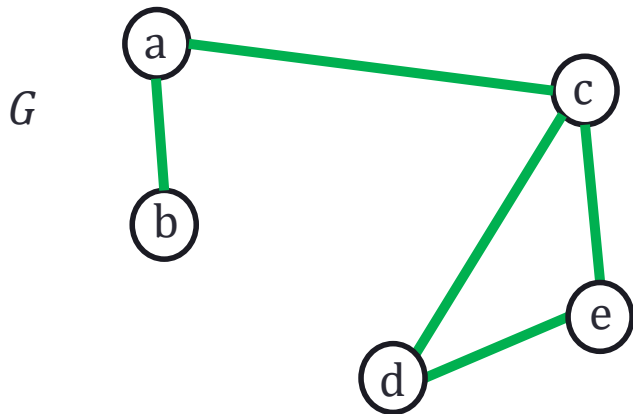


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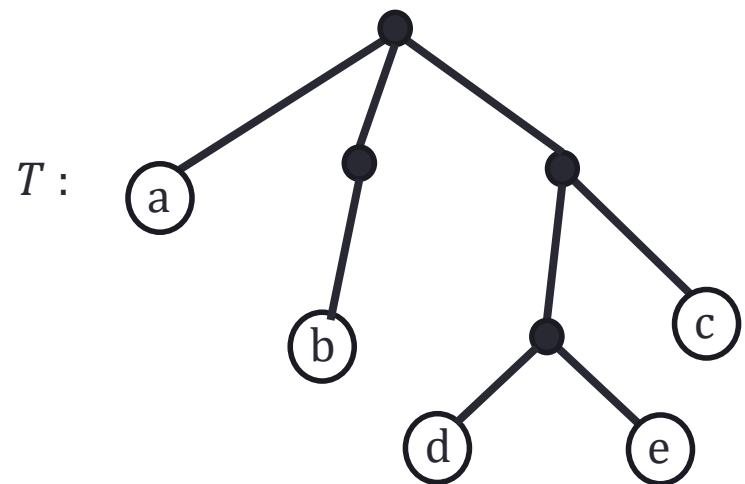
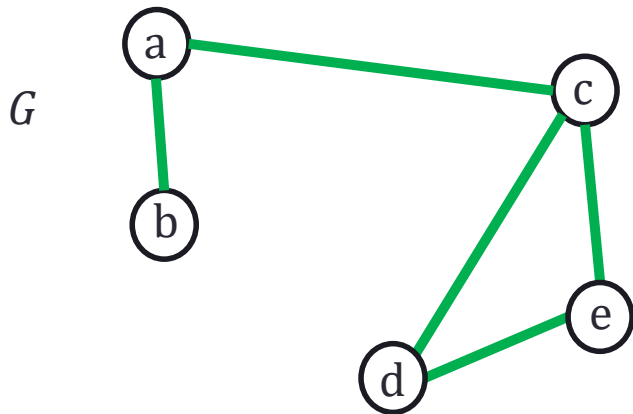
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Equivalently,  $G$  is a  $k$ -leaf power if it can be obtained by taking the  $k$ -th power of a tree, and taking the subgraph induced by the leaves of the tree.

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## Open problems [Nishimura, Ragde, Thilikos, 2002]

- Can  $k$ -leaf powers be characterized by chordal + finite set of forbidden induced subgraphs?
- Complexity of recognizing  $k$ -leaf powers if  $k$  is in the input?
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## Open problems [Nishimura, Ragde, Thilikos, 2002]

- Can  $k$ -leaf powers be characterized by chordal + finite set of forbidden induced subgraphs?
  - **YES for  $k = 2, 3, 4$ . OPEN for  $k \geq 5$ .**
- Complexity of recognizing  $k$ -leaf powers if  $k$  is in the input?
  - **OPEN. Not known to be NP-hard or in P.**
- Complexity of recognizing  $k$ -leaf powers if  $k$  is fixed?
  - **OPEN for 20 years. In P (this talk).**

## Theorem

There is an algorithm that, given a graph  $G$ , decides whether  $G$  is a  $k$ -leaf power in time  $O(n^{f(k)})$ , where  $n = |V(G)|$  and  $f$  is a function that depends only on  $k$ .

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$$f(k) \simeq 2^{k^3} \cdot k^3 \cdot k^3 \cdots k^3 \quad \left. \vphantom{f(k)} \right\} k \text{ times}$$



# Known results

- **2-leaf powers** =  $P_3$ -free graphs [folklore]
- **3-leaf powers** = chordal + (bull, gem, dart)-free graphs [Rautenbach, Disc Maths 2006]
- **4-leaf powers** = chordal +  $X$ -free, where  $X$  is a finite set of forbidden subgraphs [Brandstädt et al., TALG 2008]
- **5-leaf powers** recognition in  $P$  [Chang & Ko, WG 2007]
- **6-leaf powers** recognition in  $P$  [Ducoffe, WG 2019]
- Recognizing  $k$ -leaf powers is FPT in  $k + \text{degeneracy}(G)$ , and **FPT in  $k + \text{treewidth}(G)$** . [Eppstein & Havvaei, IPEC 2018]

# Known results

- Leaf power = graphs that are  $k$ -leaf powers for some  $k$ .
- All leaf powers are **chordal**, and also **strongly chordal**
- Converse **not true** [L, WG2017; Jaffke & al., TCS2019]
- **Subclasses** of strongly chordal (interval, rooted directed, ptolemaic) graphs are **easy to recognize**  
[Brandstädt et al., LATIN2008 & DiscMath2010]
- Leaf powers have **mim-width 1** [Jaffke & al., TCS2019]
- Leaf powers with **star NeS models** in P [Bergougnoux, 2021]

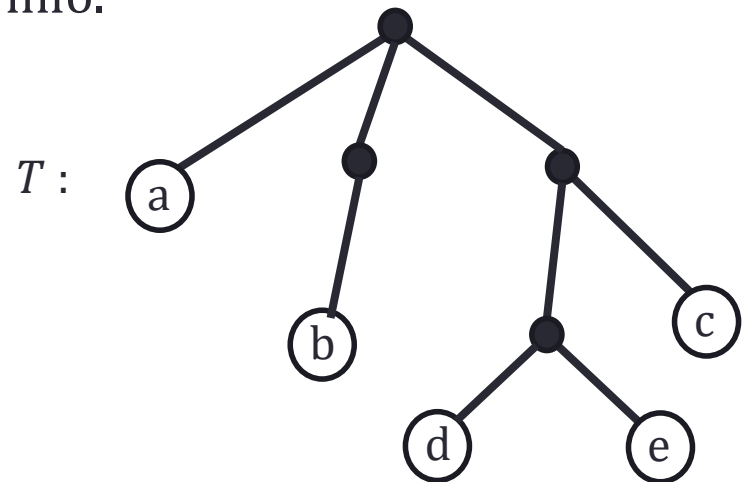
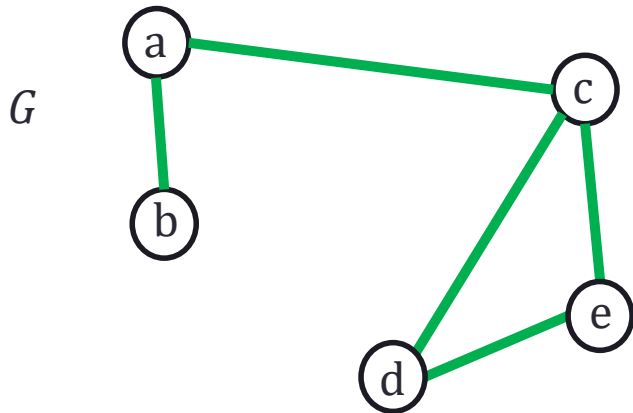
# Other tree-definable classes

- Many other tree-to-graph representations, all with similar open problems
  - Pairwise compatibility graphs (PCG)
    - $uv$  edge iff distance in interval  $[l, h]$
  - $k$ -interval PCGs, OR-PCGs and AND-PCGs
    - Allow  $k$ -intervals, union/intersection of PCGs
  - Orthology graphs
    - $uv$  edge iff lca has label 1
  - Fitch graphs
    - $uv$  edge iff some edge on  $u - v$  path has label 1
  - Best match graphs
  - ...

# Applications

- In computational biology:

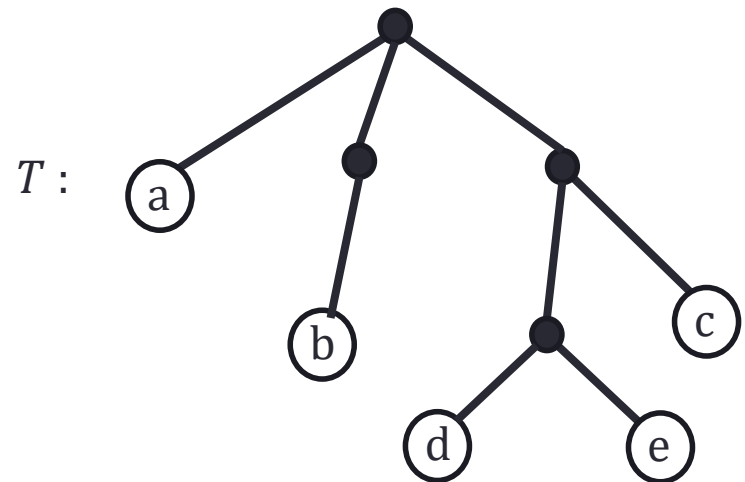
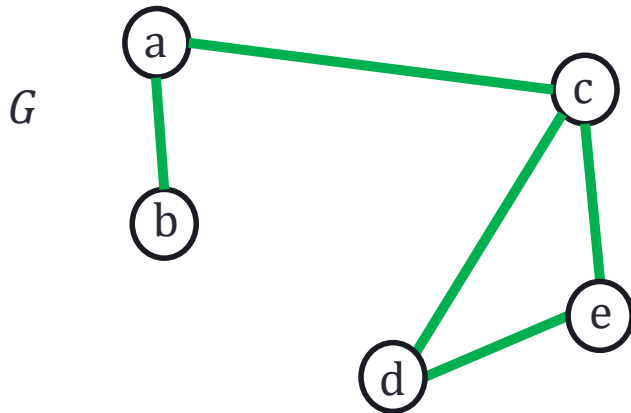
- $V(G)$  are species. Sequence data tells us that
  - edge = 'close' species in evolution
  - non-edge = 'far' species in evolution, and
  - $k$  = threshold between close and far.
  - Goal = reconstruct a tree from that info.



# Applications

- In algorithms:

- Many problems are in P, or FPT in  $k$  for  $k$ -leaf powers.  
(dynamic programming on the tree)
- Not that interesting, but also true for other tree-to-graph representations (PCGs, etc.).

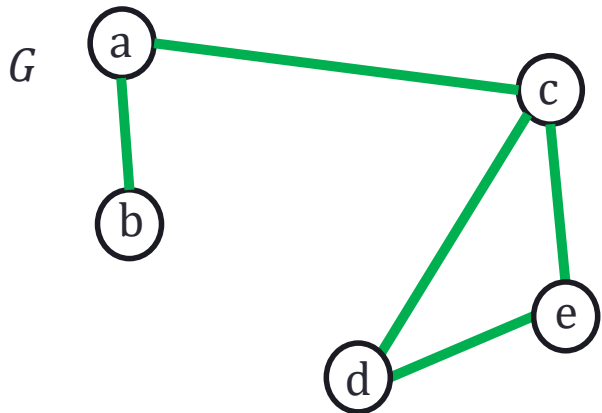


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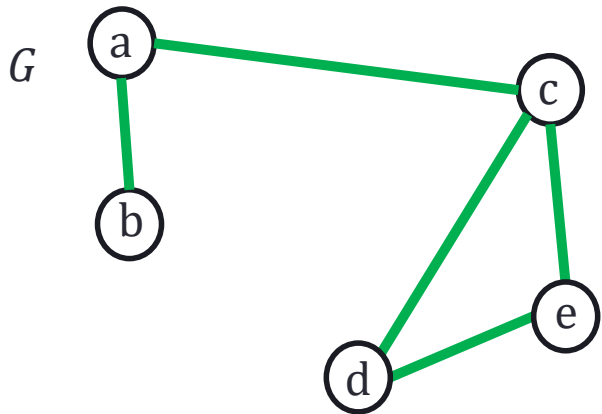
# High-level overview

- Given a graph  $G$ , we must decide whether  $G$  is a  $k$ -leaf power (assume that  $k$  is fixed).



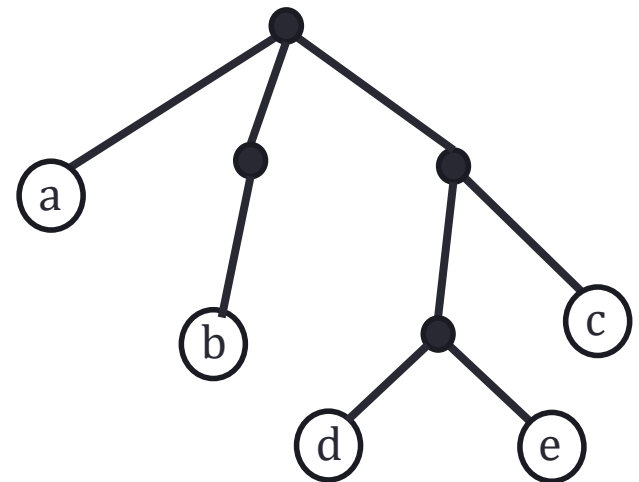
# High-level overview

For  $G$  a  $k$ -leaf power, a  **$k$ -leaf root of  $G$**  is a tree with  $L(T) = V(G)$  satisfying  $uv \in E(G) \Leftrightarrow \text{dist}_T(u, v) \leq k$ .



3-leaf root

$T$ :



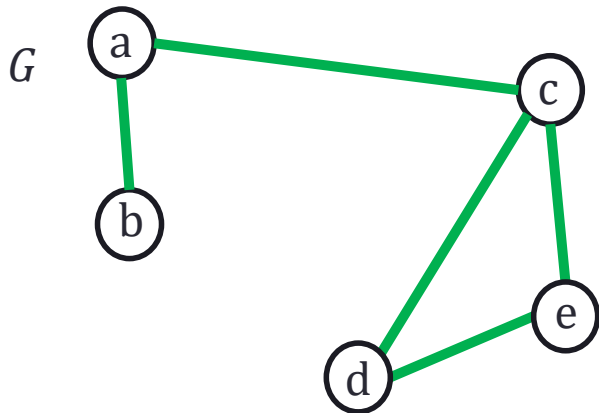


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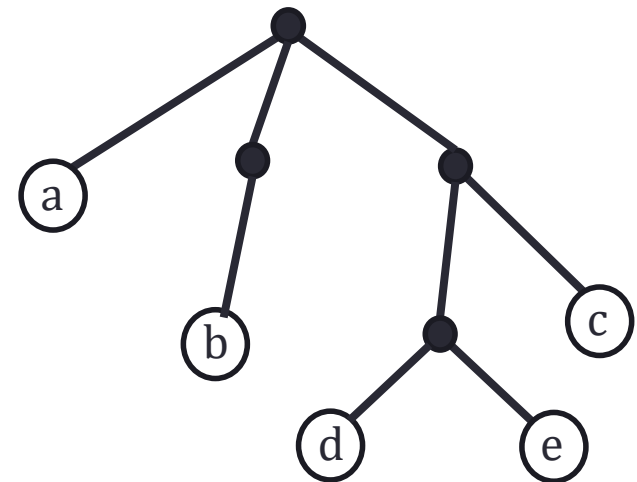
**Theorem (from Eppstein & Havvaei, 2019)**

There is a function  $g$  such that one can decide in time  $O(g(\text{tw}(G), k)n)$  whether  $G$  is a  $k$ -leaf power, where  $\text{tw}(G)$  is the treewidth of  $G$ .



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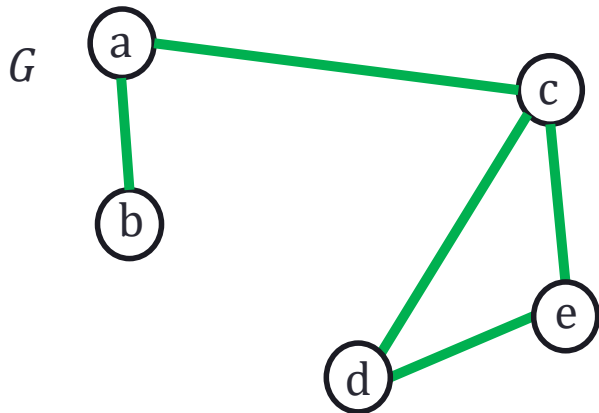


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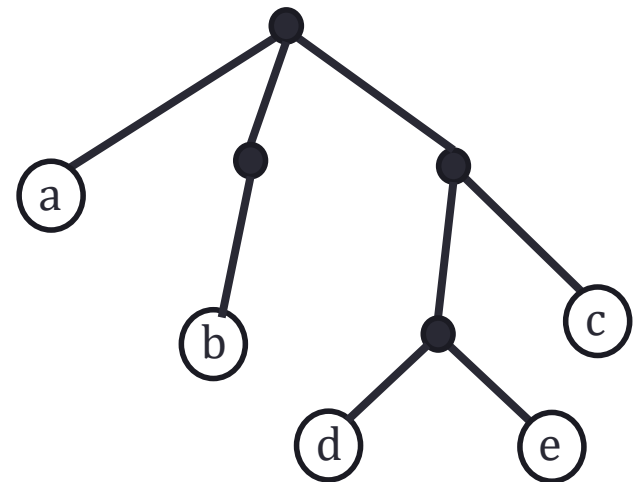
## Theorem

Let  $d, k$  be integers. Then one can decide in time  $O(g(d^k, k)n)$  whether a graph  $G$  admits a  $k$ -leaf root **of maximum degree  $d$** .



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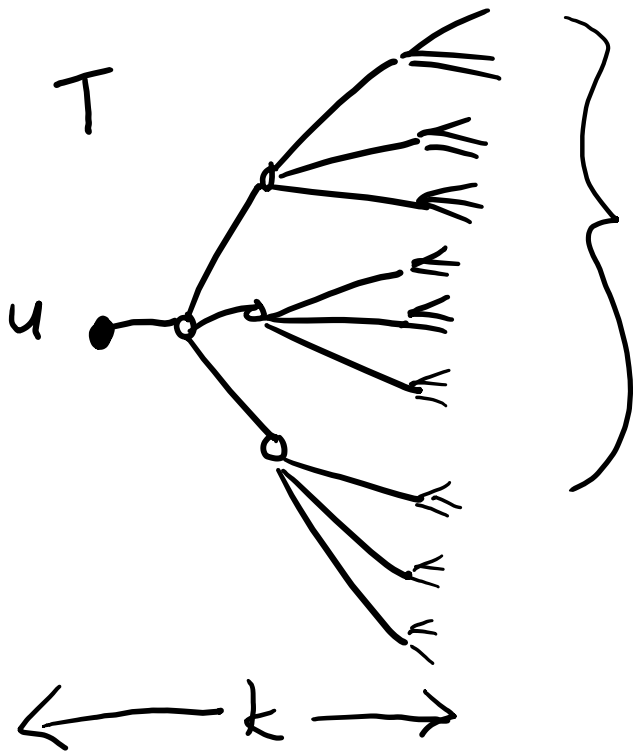
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- Proof idea.
- If  $G$  admits a  $k$ -leaf root of max degree  $d$ , then  $G$  has maximum degree  $d^k$ .



$\leq d^k$  leaves  
at dist  $\leq k$



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- Proof idea.
- If  $G$  admits a  $k$ -leaf root of max degree  $d$ , then  $G$  has maximum degree  $d^k$ .
- All  $k$ -leaf powers are chordal.
- In chordal graphs, we have  $tw(G) = w(G) - 1 \leq dk$ .
  - $tw(G)$  = treewidth,  $w(G)$  = clique number
- Use Eppstein & Havvaei to decide in time  $O(g(tw(G), k)n) = O(g(d^k, k)n)$  whether  $G$  is a  $k$ -leaf power.

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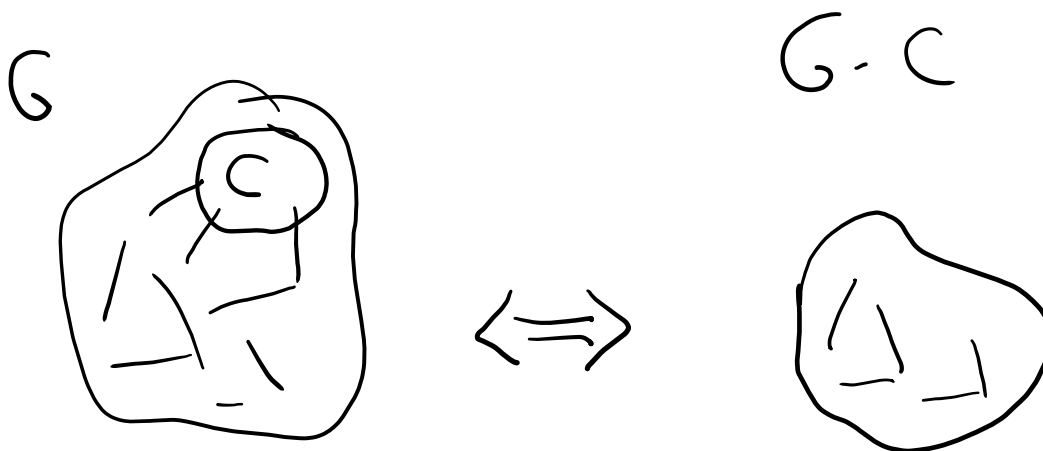
- If  $d$  is a function of  $k$ , problem solved.
- **Bottom-line** : the difficulty resides in  $k$ -leaf roots of high maximum degree.

# $k$ -leaf roots with high degree

## Theorem

There is  $f$  such that if  $G$  admits a  $k$ -leaf root of max degree  $d > f(k)$ , then  $G$  contains a subset  $C$  of vertices such that  **$G$  is a  $k$ -leaf power if and only if  $G - C$  is a  $k$ -leaf power.**

Moreover,  $C$  can be found in time  $O(n^{f(k)})$  if it exists.



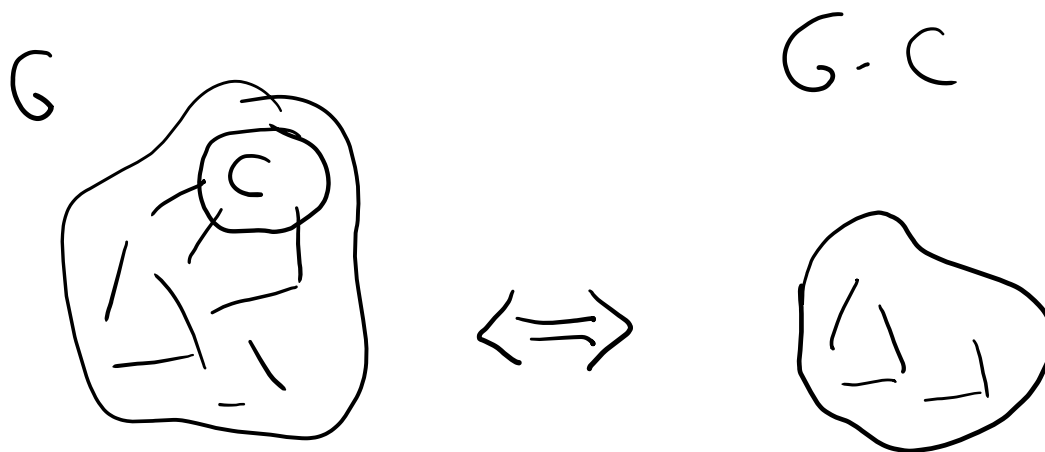
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This says that if  $G$  has high-degree  $k$ -leaf roots, then  $G$  has a redundant subset of vertices  $C$  that can be found and pruned 'quickly'.



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The algorithm:

- 1) Check if  $G$  admits a  $k$ -leaf root of degree at most  $d = f(k)$  using Eppstein & Havvaei. If yes, return “yes”.
- 2) Otherwise, check if  $G$  contains  $C$  as described above. If not, return “no”.
- 3) Otherwise, repeat on  $G - C$ .

Finishes in polynomial time, since  $k$  is fixed and this is repeated at most  $n$  times.



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**Step 1 :** find lots of subsets  $C_i \cup Y_i$  such that the  $C_i$ 's are cutsets, and all have the same neighborhood structure.

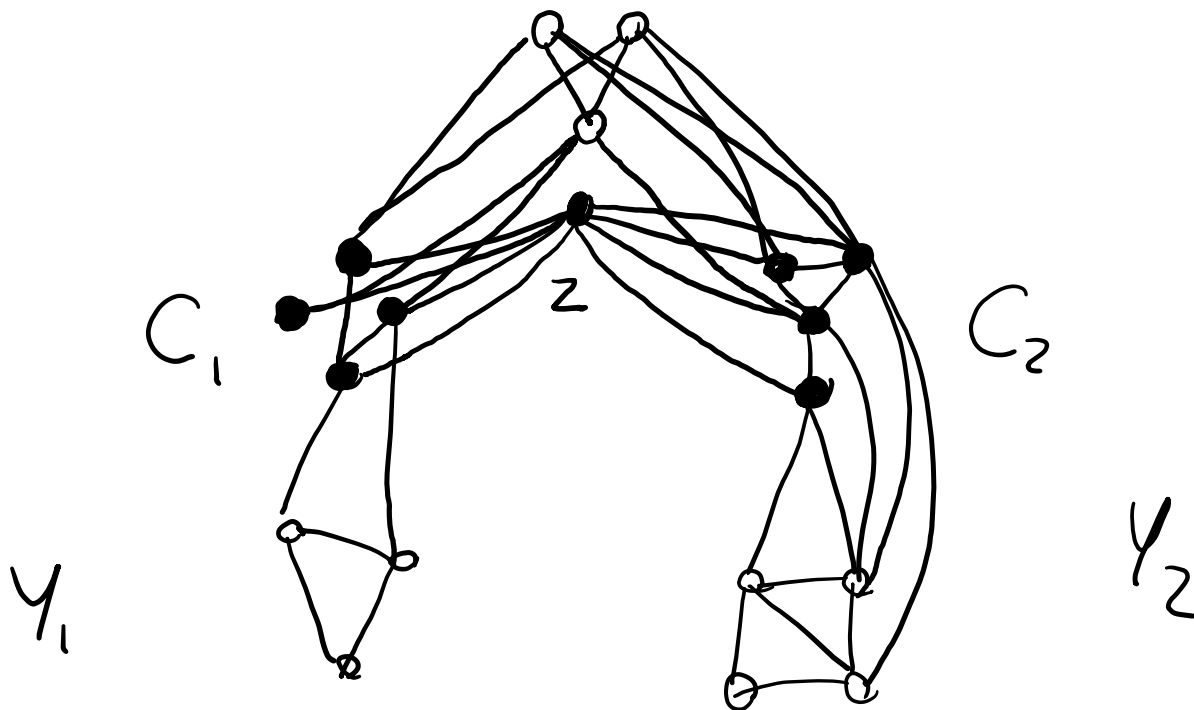
**Step 2 :** argue that enough of those  $C_i \cup Y_i$  admit the “same”  $k$ -leaf roots.

**Step 3 :** argue that any such  $C_i \cup Y_i$  can be removed since we can find a  $k$ -leaf root of  $G - C_i \cup Y_i$  and embed  $C_i \cup Y_i$  into it afterwards.

Step 1 : subsets of vertices with the same  
neighborhood structure

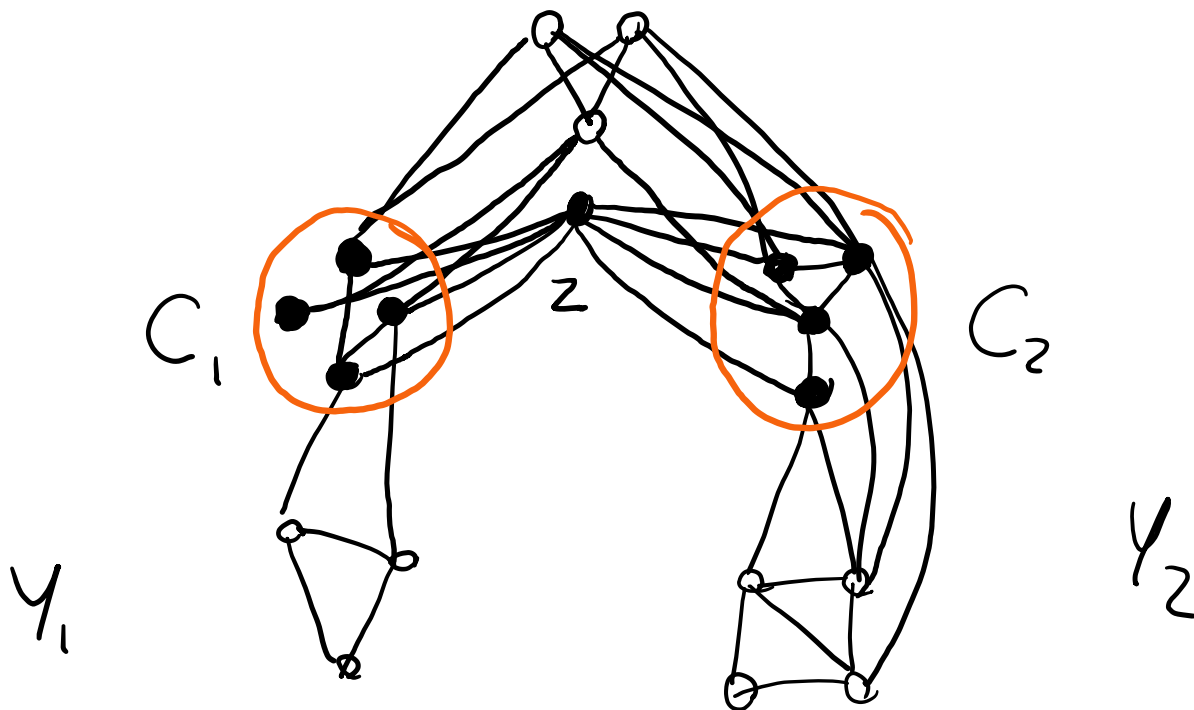
# Similar sets of vertices

- We say that  $C_1 \cup Y_1$  and  $C_2 \cup Y_2 \subseteq V(G)$  are **similar** if
  - There is  $z$  such that  $C_1 \cup C_2 \subseteq N(z)$ .
  - $C_1$  cuts  $Y_1$  and  $C_2$  cuts  $Y_2$  from the rest of the graph
  - $C_1 \cup C_2$  can be partitioned into layers  $L_1, \dots, L_k$  such that **vertices in the same layer have the same neighbors** in  $G - (C_1 \cup Y_1 \cup C_2 \cup Y_2)$ .



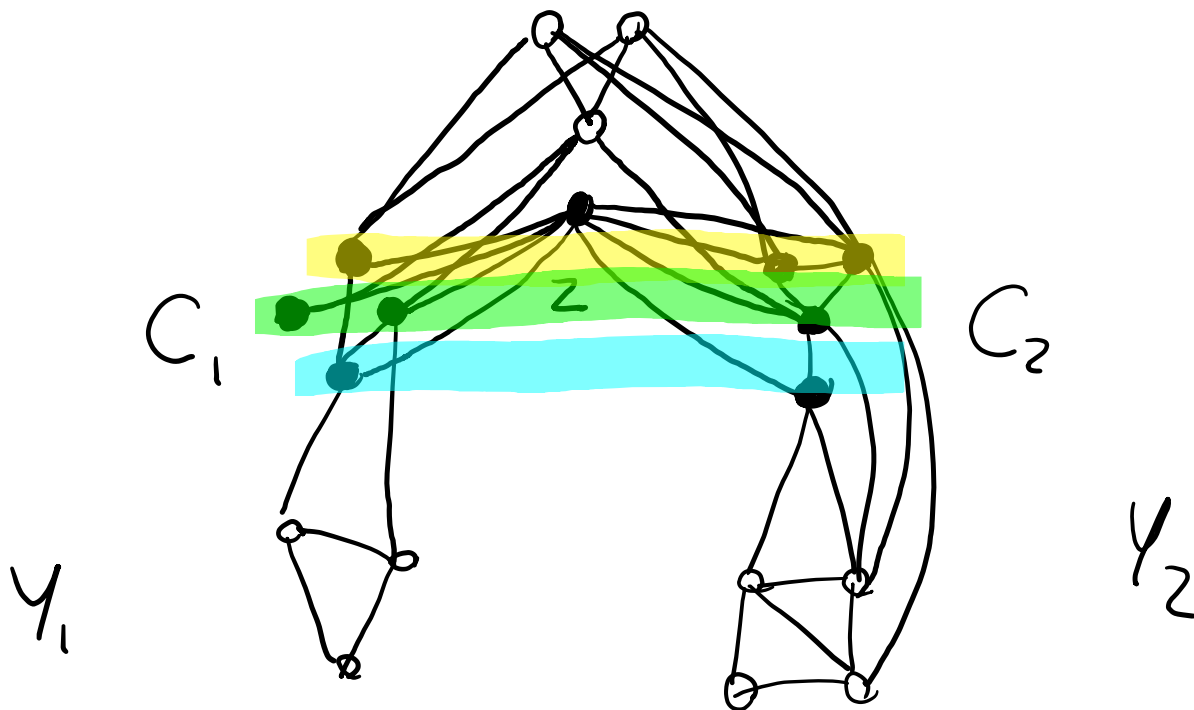
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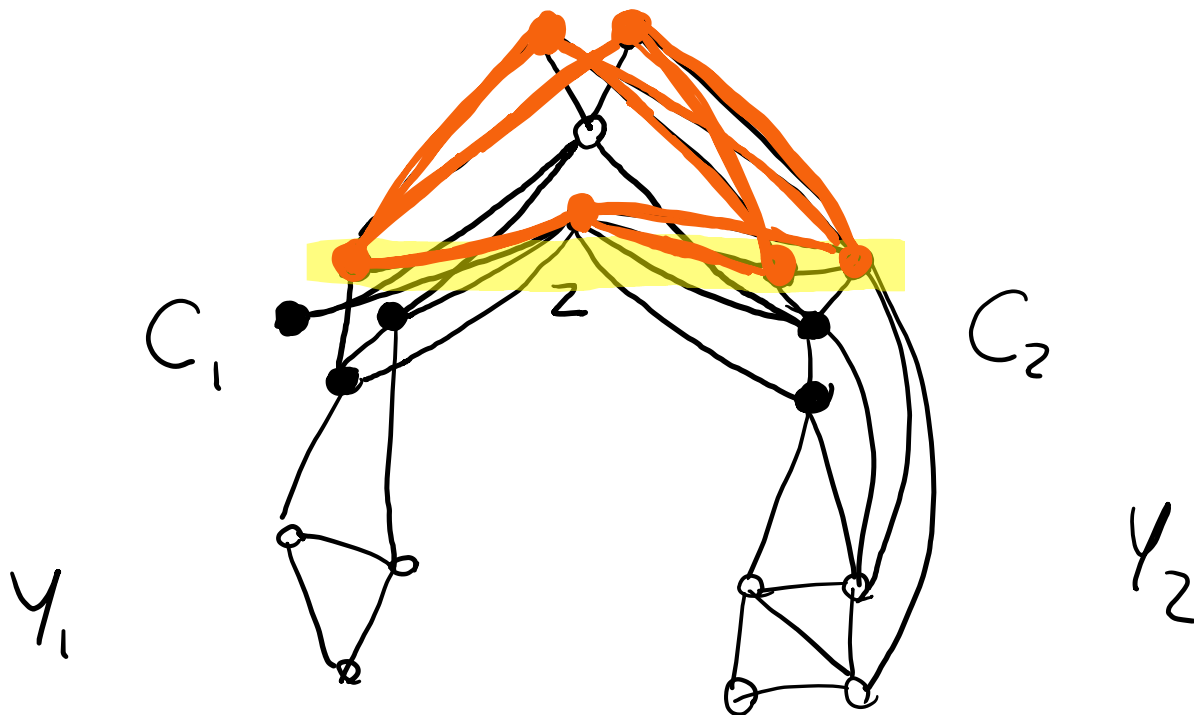
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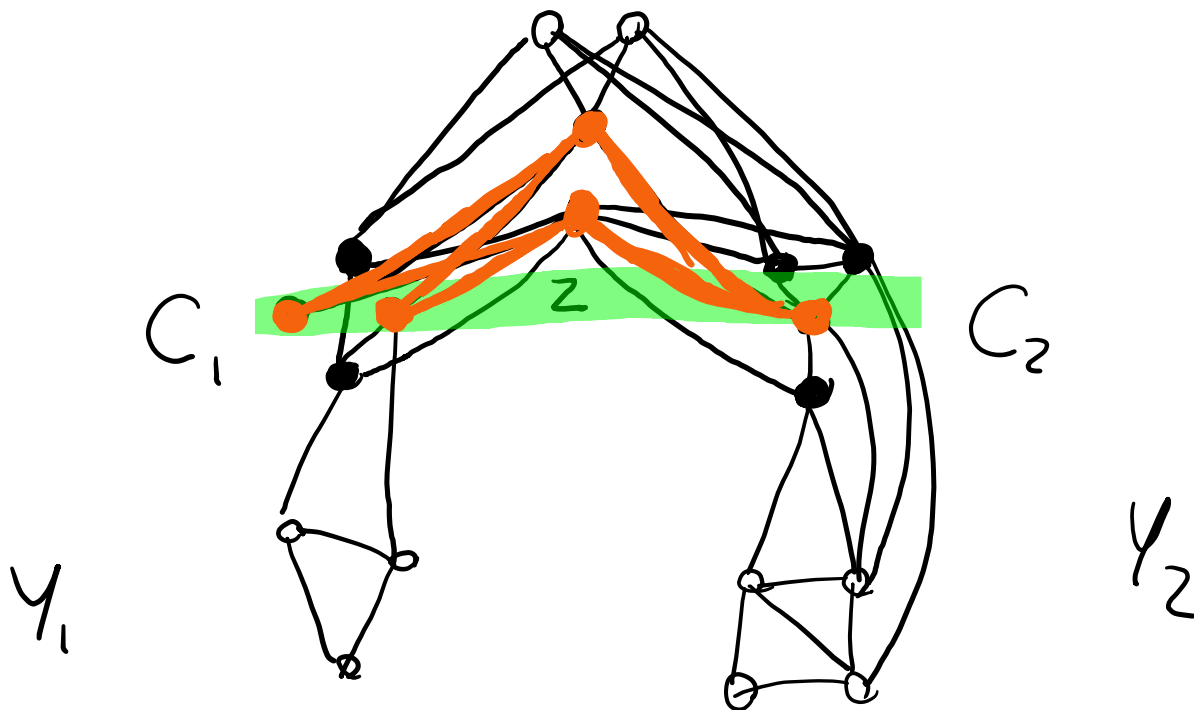
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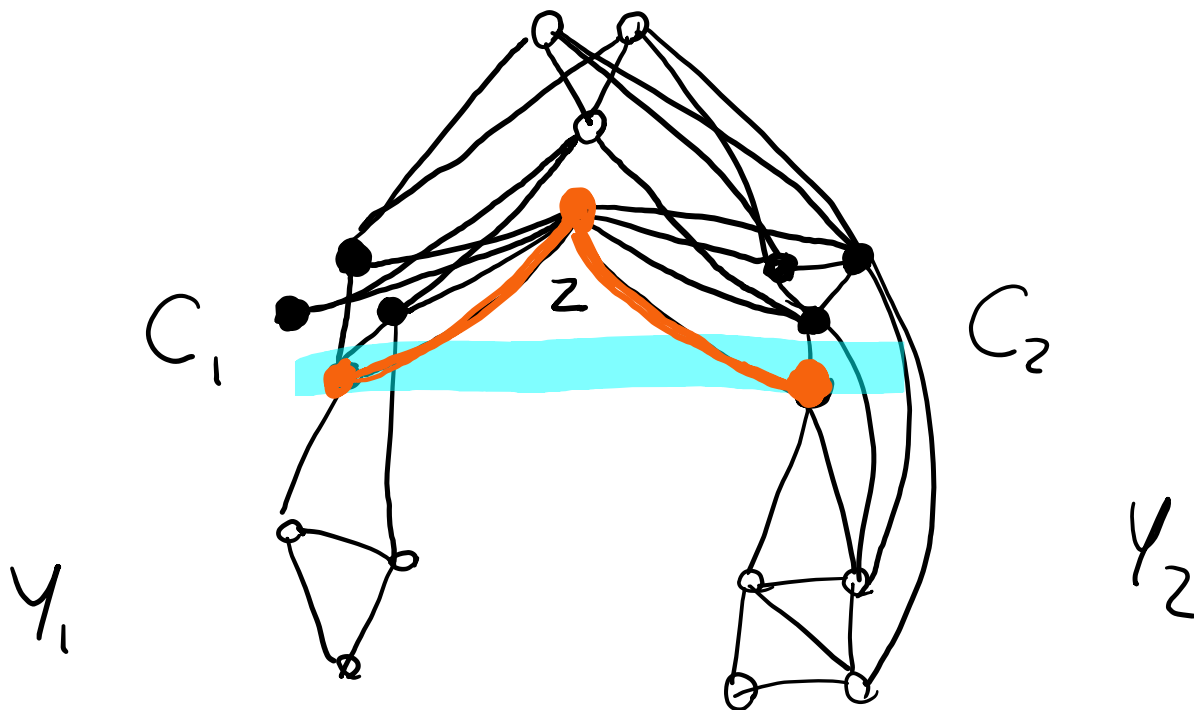
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A *similar structure* of a graph  $G$  is a tuple  $\mathcal{S} = (\mathcal{C}, \mathcal{Y}, z, \mathcal{L})$  where:

- $\mathcal{C} = \{C_1, \dots, C_d\}$  is a collection of  $d \geq 2$  pairwise disjoint, non-empty subsets of vertices of  $G$ ;
- $\mathcal{Y} = \{Y_1, \dots, Y_d\}$  is a collection of pairwise disjoint subsets of vertices of  $G$ , some of which are possibly empty. Also,  $C_i \cap Y_j = \emptyset$  for any  $i, j \in [d]$ ;
- $z \in V(G)$  and does not belong to any subset of  $\mathcal{C}$  or  $\mathcal{Y}$ ;
- $\mathcal{L} = \{\ell_1, \dots, \ell_d\}$  is a set of functions where, for each  $i \in [d]$ , we have  $\ell_i : C_i \cup \{z\} \rightarrow \{0, 1, \dots, k\}$ . The functions in  $\mathcal{L}$  are called *layering functions*.

Additionally,  $\mathcal{S}$  must satisfy several conditions. Let us denote  $C^* = \bigcup_{i \in [d]} C_i$ . Let  $X = \{X_1, \dots, X_t\}$  be the connected components of  $G - C^*$ . For each  $i \in [d]$ , denote  $X^{(i)} = \{X_j \in X : N_G(X_j) \subseteq C_i\}$ , i.e. the components that have neighbors only in  $C_i$ .

Then all the following conditions must hold:

1. for each  $i \in [d]$ ,  $Y_i = \bigcup_{X_j \in X^{(i)}} X_j$  ( $Y_i = \emptyset$  is possible);
2. there is exactly one connected component  $X_z \in X$  such that for all  $i \in [d]$ ,  $N_G(X_z) \cap C_i \neq \emptyset$ . Moreover,  $z \in X_z$  and  $C^* \subseteq N_G(z)$ ;
3. for all  $X_j \in X \setminus \{X_z\}$ ,  $X_j \subseteq Y_i$  for some  $i \in [d]$ . In particular,  $X_z$  is the only connected component of  $G - C^*$  with neighbors in two or more  $C_i$ 's;
4. the layering functions  $\mathcal{L}$  satisfy the following:
  - (a) for each  $i \in [d]$ ,  $\ell_i(z) = 0$ . Moreover,  $\ell_i(x) > 0$  for any  $x \in C_i$ ;
  - (b) for any  $i, j \in [d]$  and any  $x \in C_i, y \in C_j$ ,  $\ell_i(x) = \ell_j(y)$  implies  $N_G(x) \setminus (C_i \cup Y_i \cup C_j \cup Y_j) = N_G(y) \setminus (C_i \cup Y_i \cup C_j \cup Y_j)$ . Note that this includes the case  $i = j$ ;
  - (c) for any  $i, j \in [d]$  and any  $x \in C_i, y \in C_j$ ,  $\ell_i(x) + \ell_j(y) \leq k$  implies  $xy \in E(G)$ . Note that this includes the case  $i = j$ .
  - (d) for any *two distinct*  $i, j \in [d]$  and any  $x \in C_i, y \in C_j$ ,  $\ell_i(x) + \ell_j(y) > k$  implies  $xy \notin E(G)$ . Note that this does *not* include the case  $i = j$

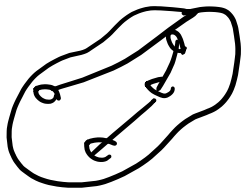
# Similar sets of vertices

- We say that  $C_1 \cup Y_1$  and  $C_2 \cup Y_2 \subseteq V(G)$  are **similar** if
  - There is  $z$  such that  $C_1 \cup C_2 \subseteq N(z)$ .
  - $C_1$  cuts  $Y_1$  and  $C_2$  cuts  $Y_2$  from the rest of the graph
  - $C_1 \cup C_2$  can be partitioned into layers  $L_1, \dots, L_k$  such that **vertices in the same layer have the same neighbors** in  $G - (C_1 \cup Y_1 \cup C_2 \cup Y_2)$ .

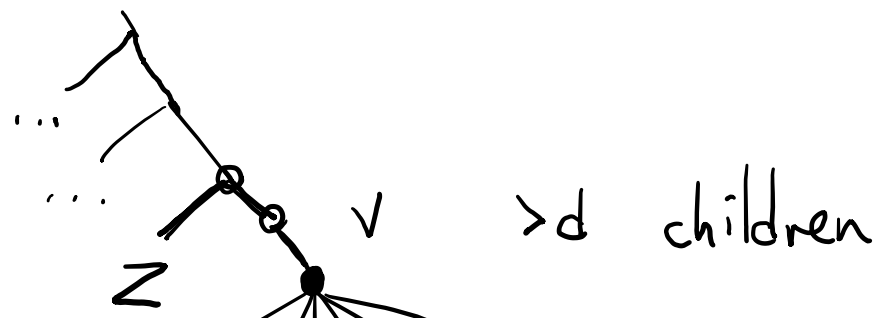
## Lemma

If  $G$  has a  $k$ -leaf root of maximum degree  $> d$ , then there exist disjoint  $C_1 \cup Y_1, \dots, C_d \cup Y_d$  pairwise-similar subsets that use the same  $z$ . Also, each  $C_i$  has size  $\leq dk$ .

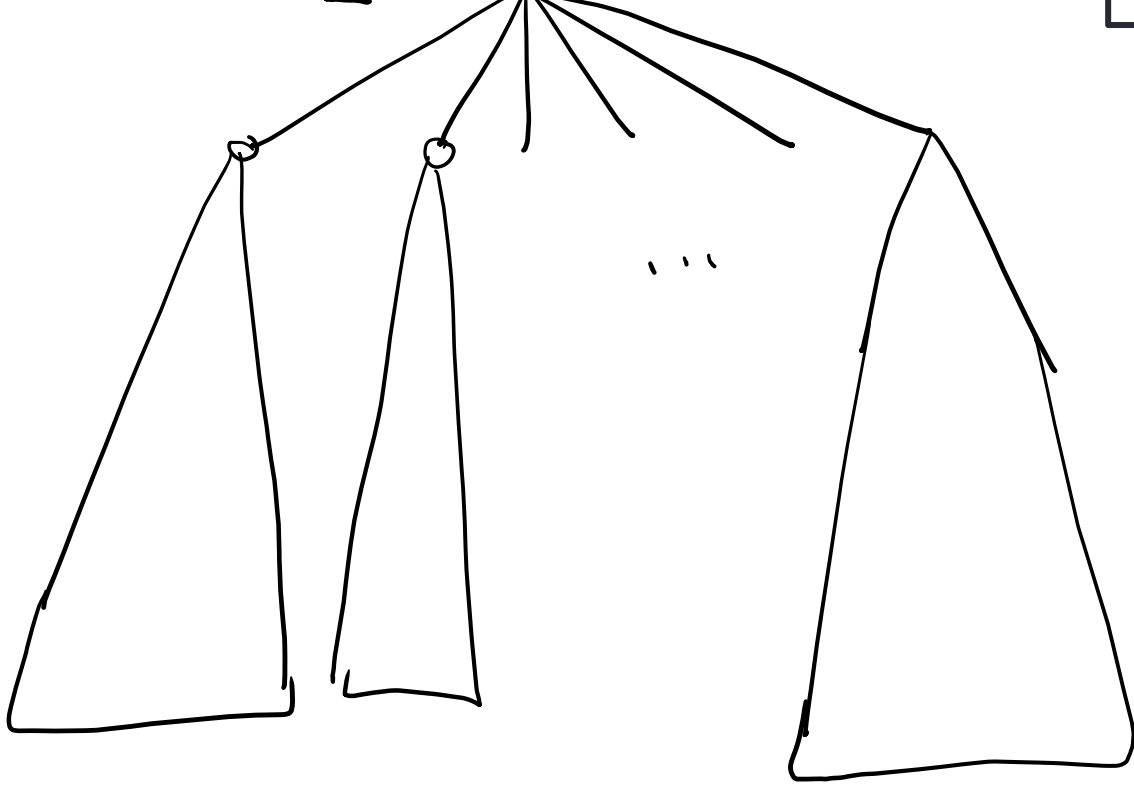
G



T k-leaf root

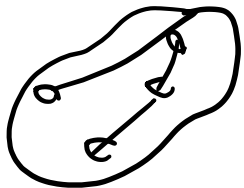


Let  $v$  be a lowest node of degree  $> d$ . Let  $z$  be the leaf closest to  $v$ . Choose  $d$  children of  $v$  that are not ancestors of  $z$ .

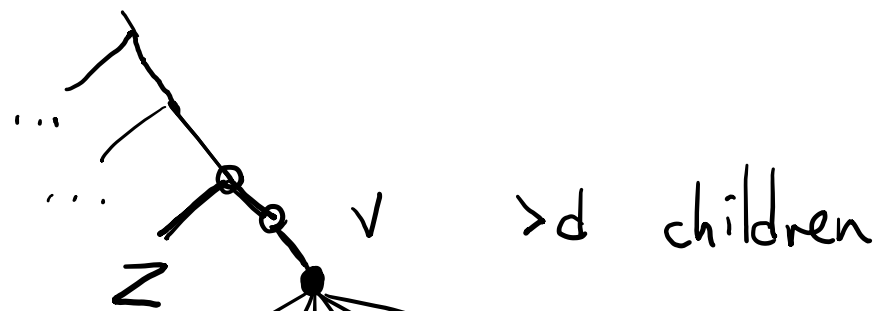


} max degree  $\leq d$

G

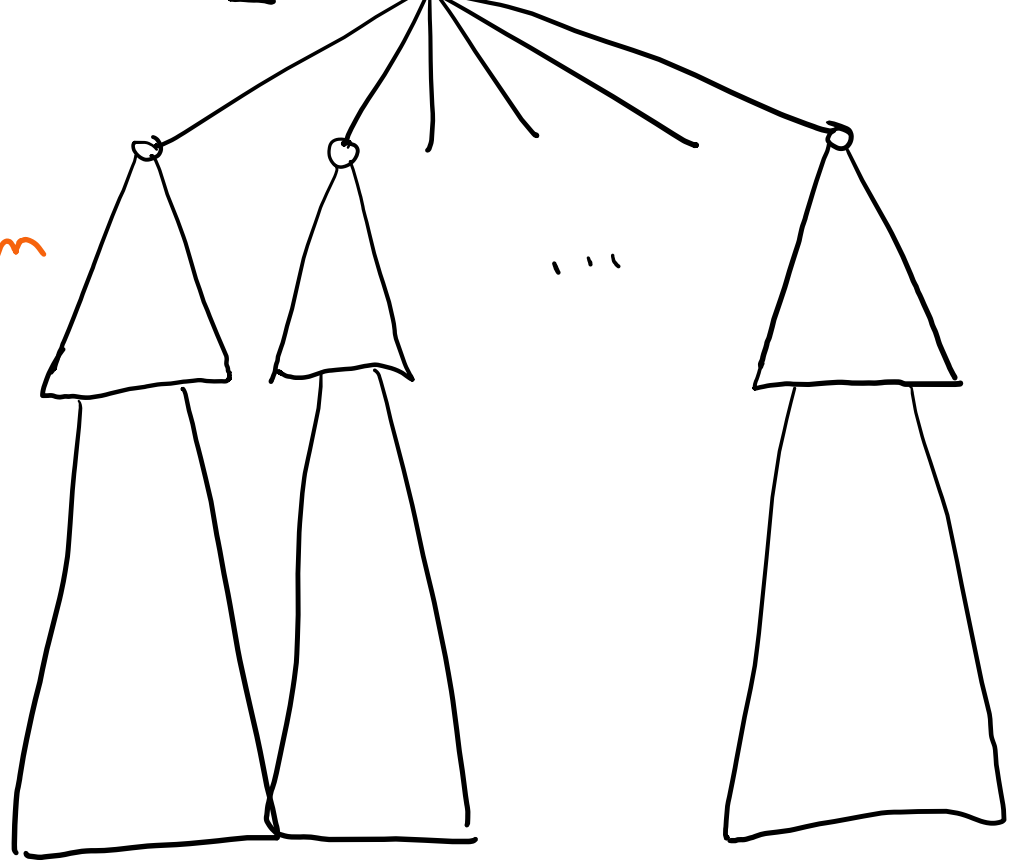


T k-leaf root



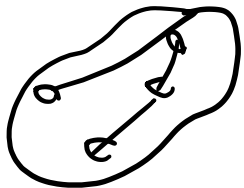
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↑ dist  
 $\leq k$   
 ↓ from  
 z

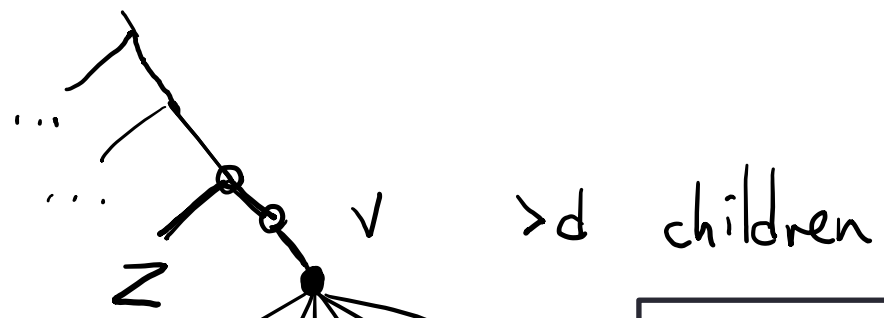


} max degree  
 d

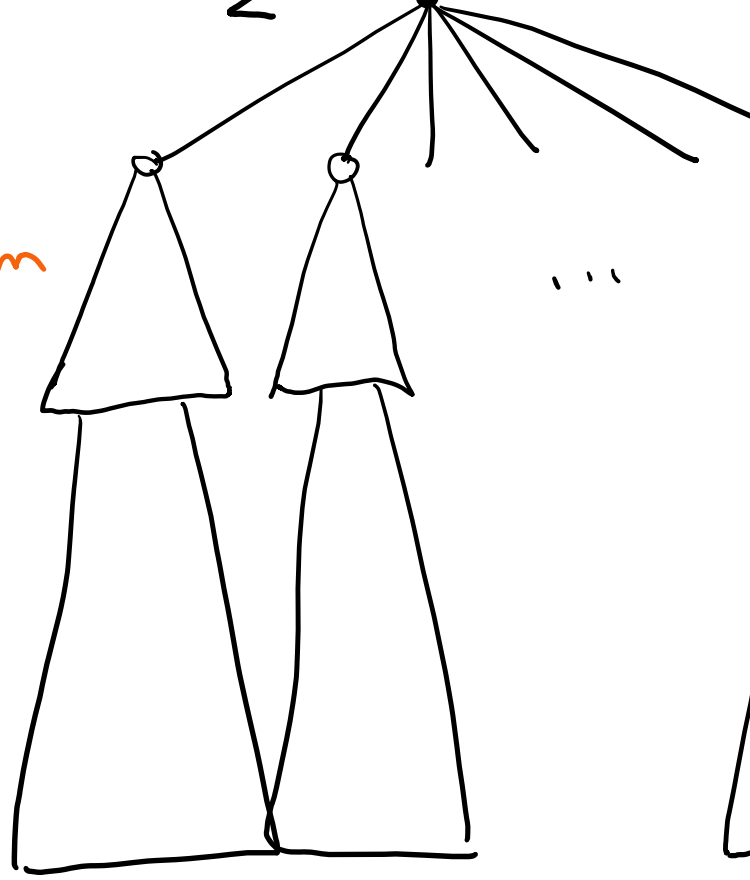
G



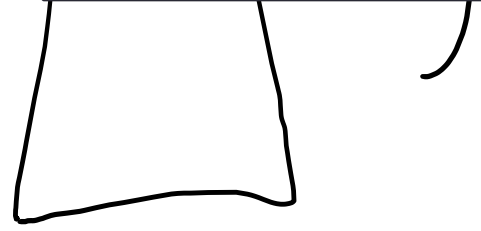
T k-leaf root

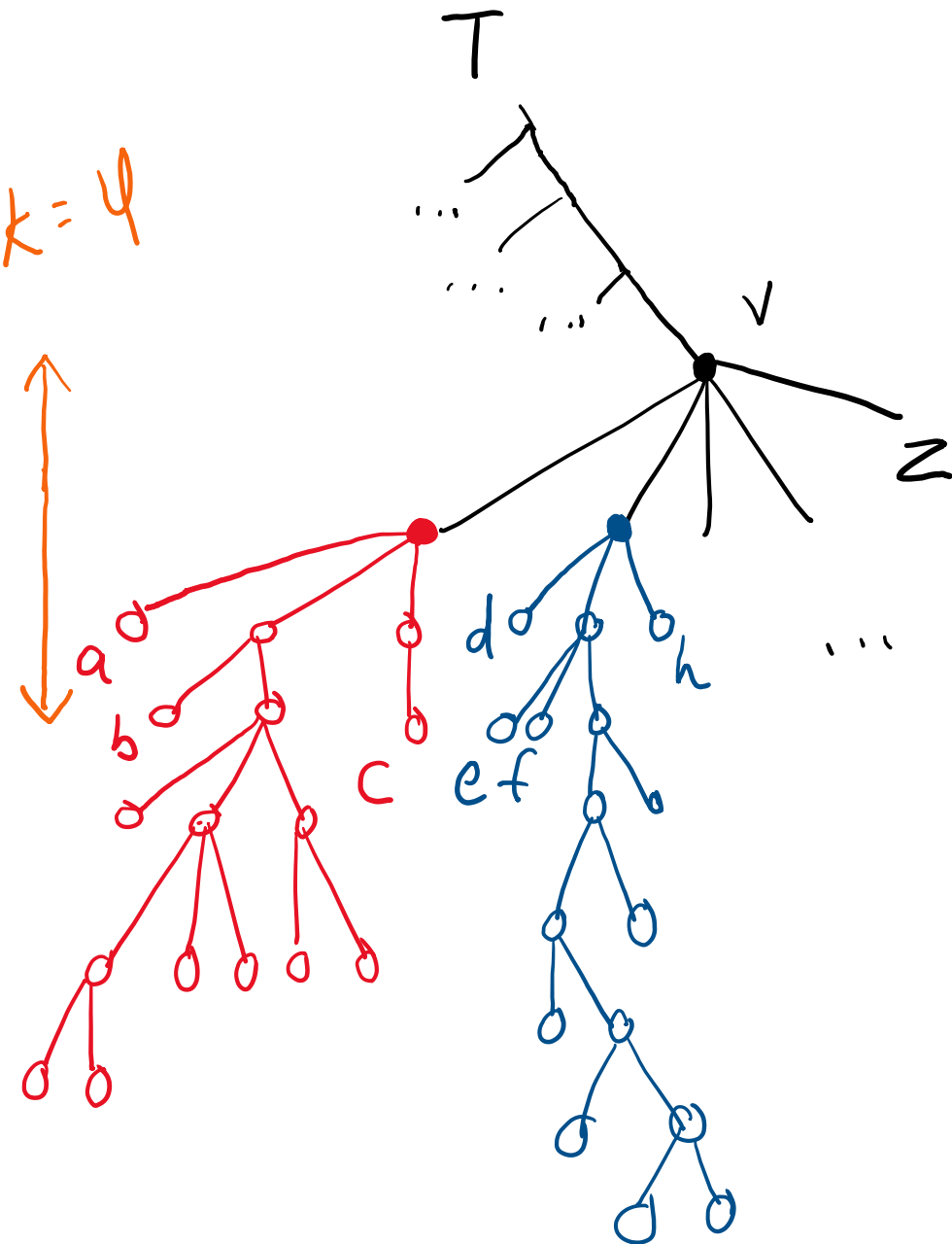


↑ dist  
 $\leq k$   
 ↓ from  
 z

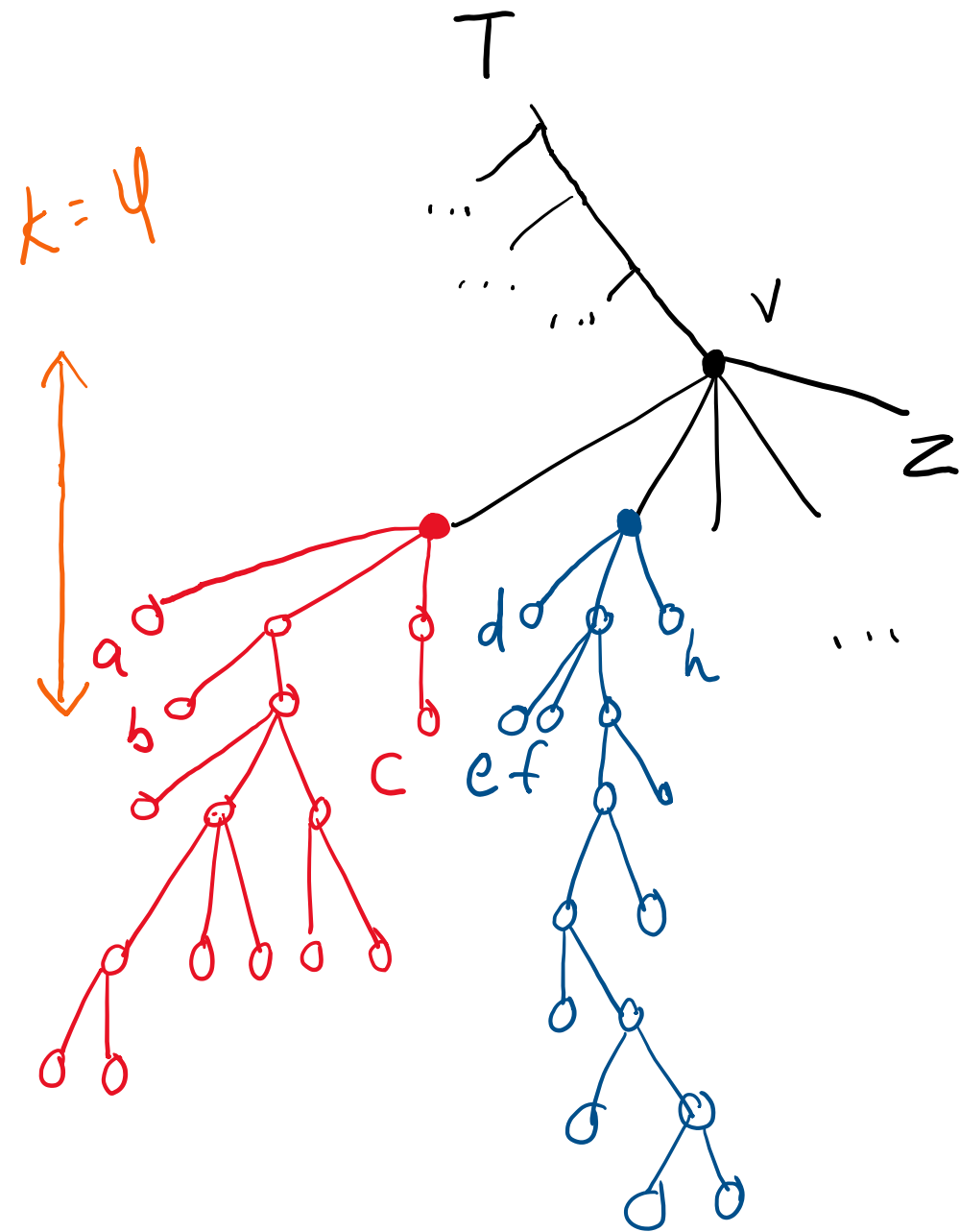


- Leaves at distance at most  $k$  from  $z$  below  $v$  are in  $z$ 's neighborhood and form cutsets in  $G$ .
- Each cutset has size at most  $d^k$  (by the choice of  $v$ ).
- These cutsets are organized into layers determined by their distance to  $v$ .

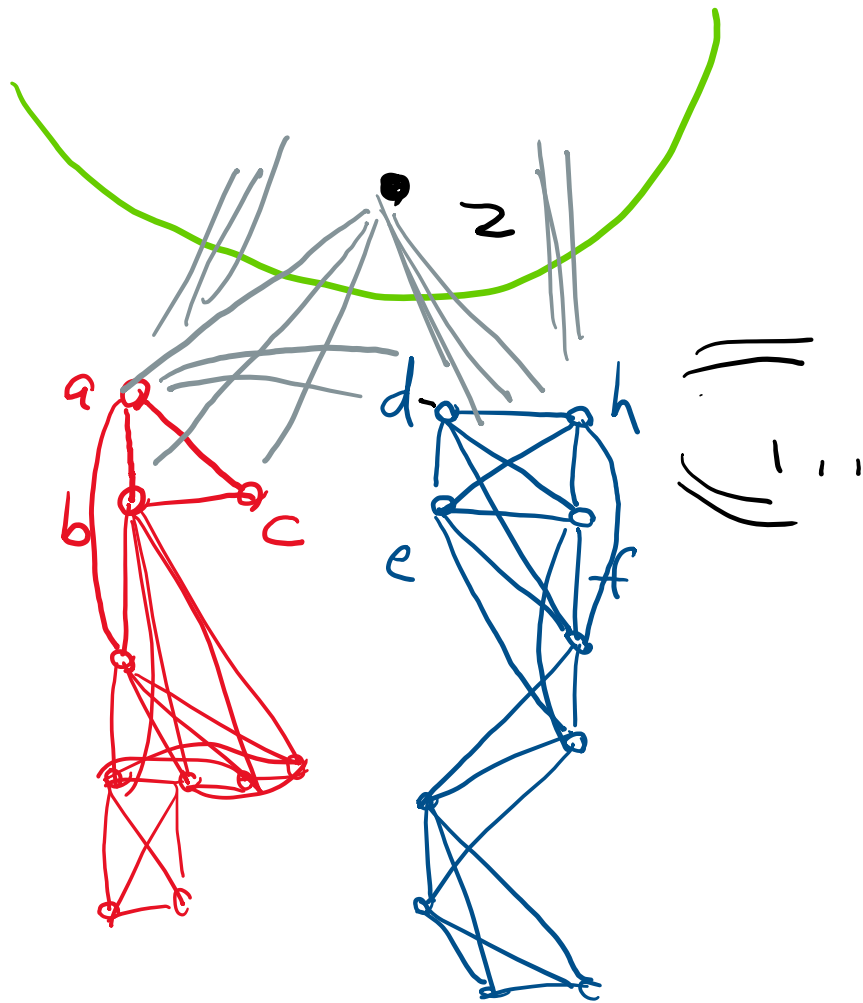


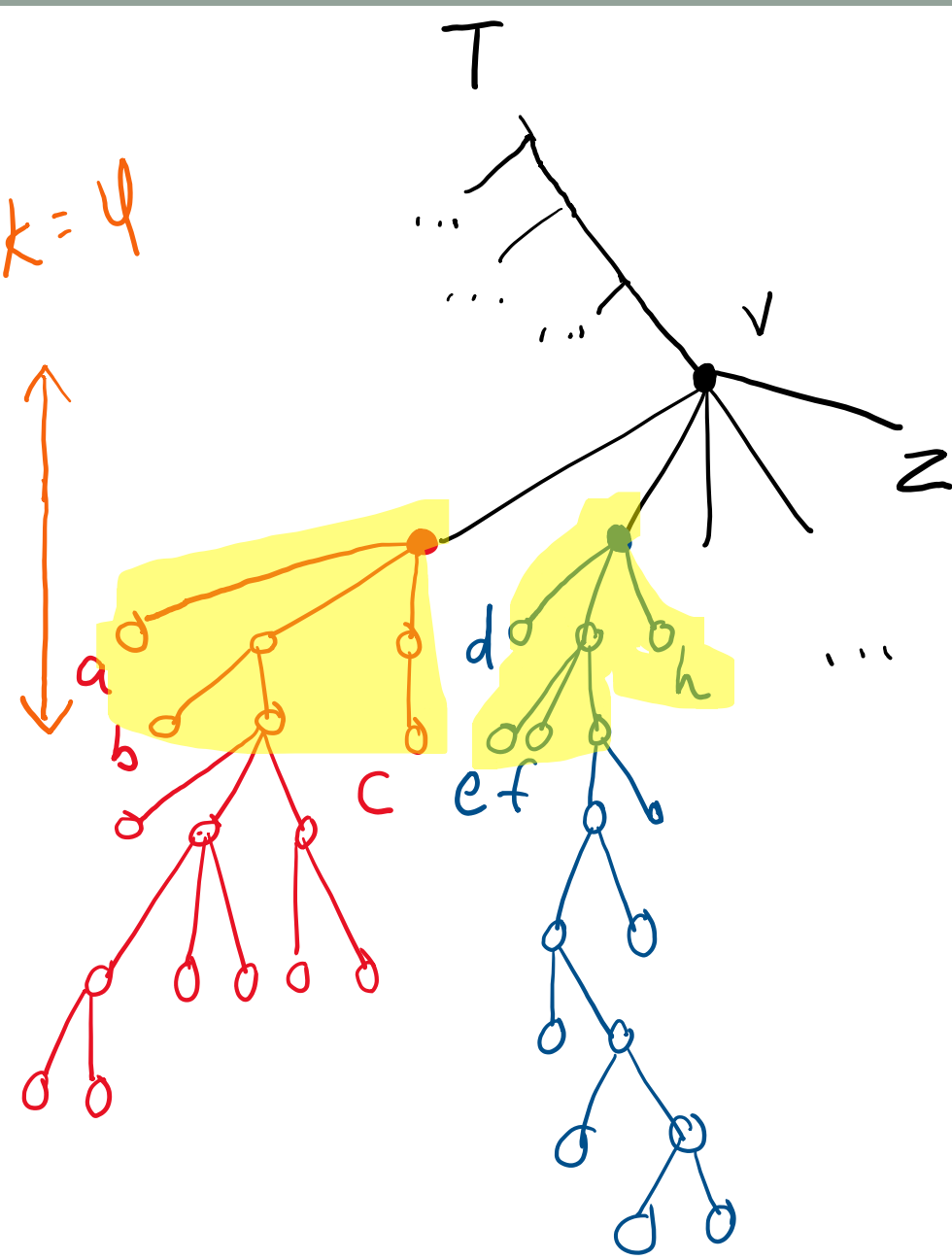


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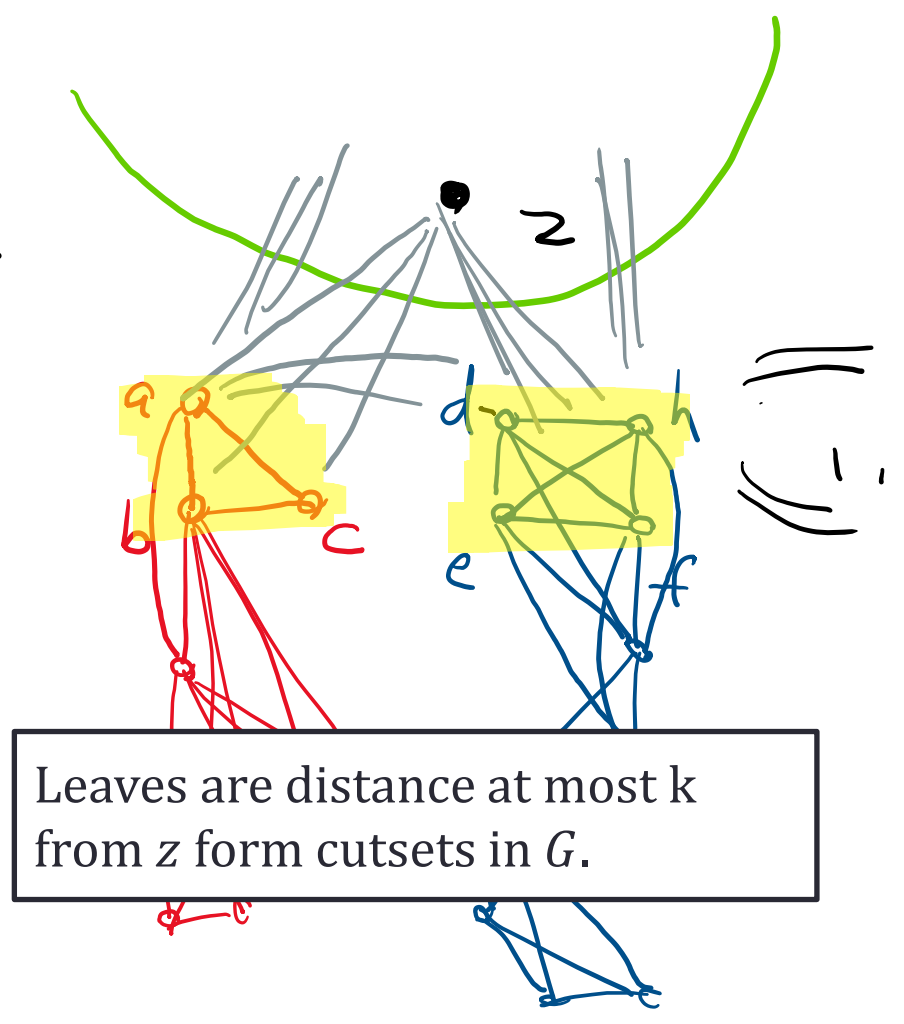


In G:

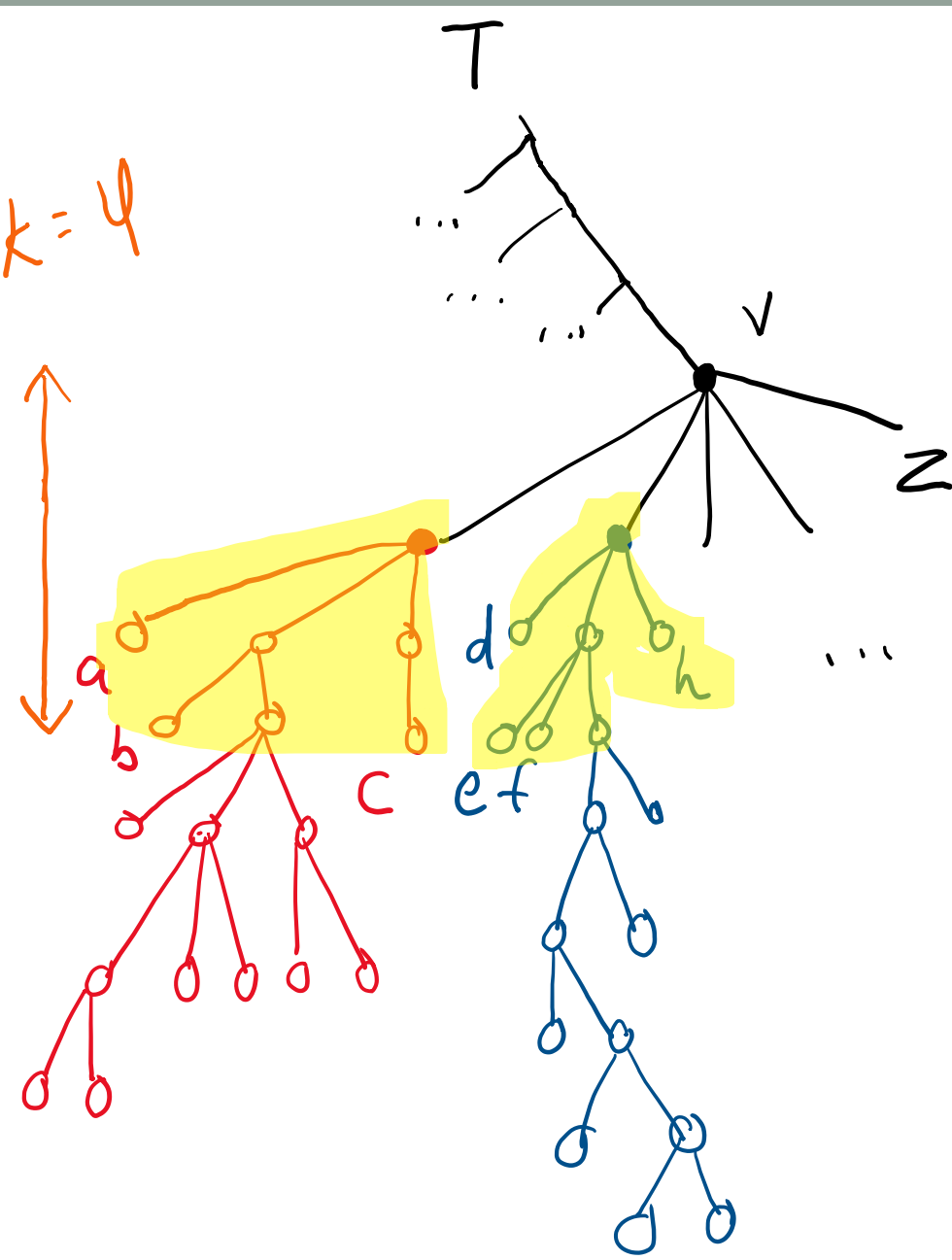




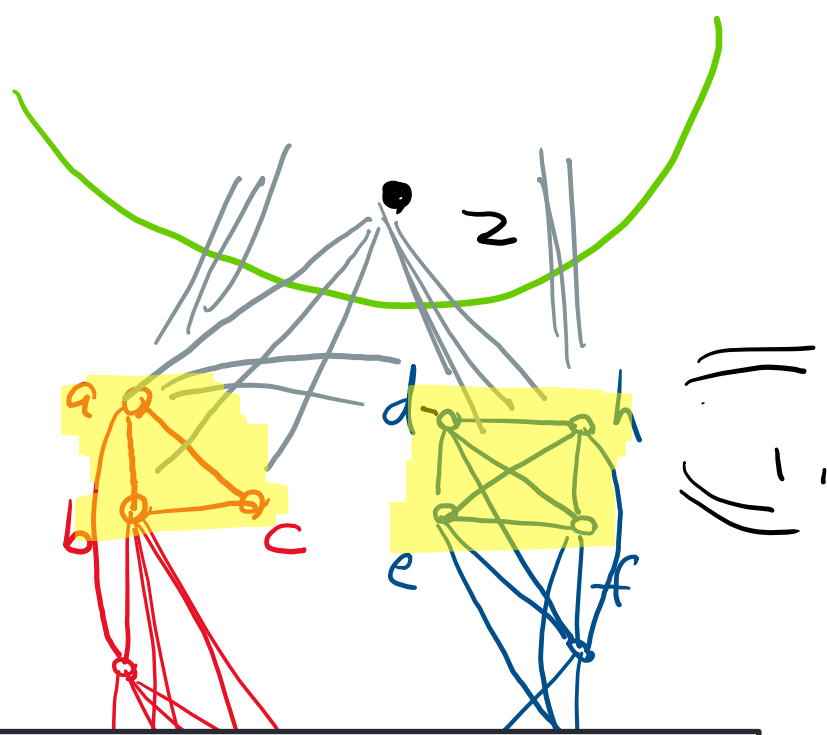
In  $G$ :



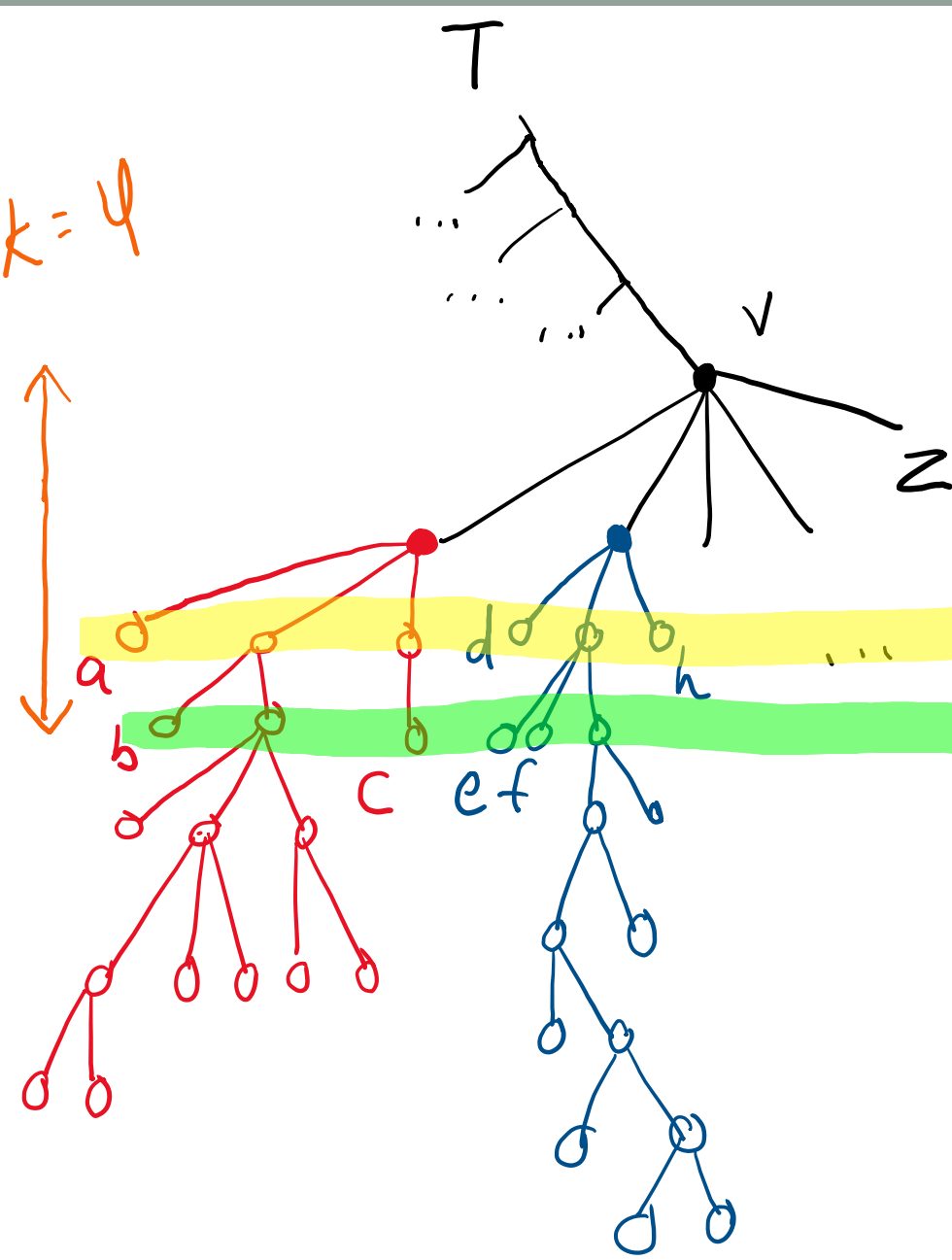




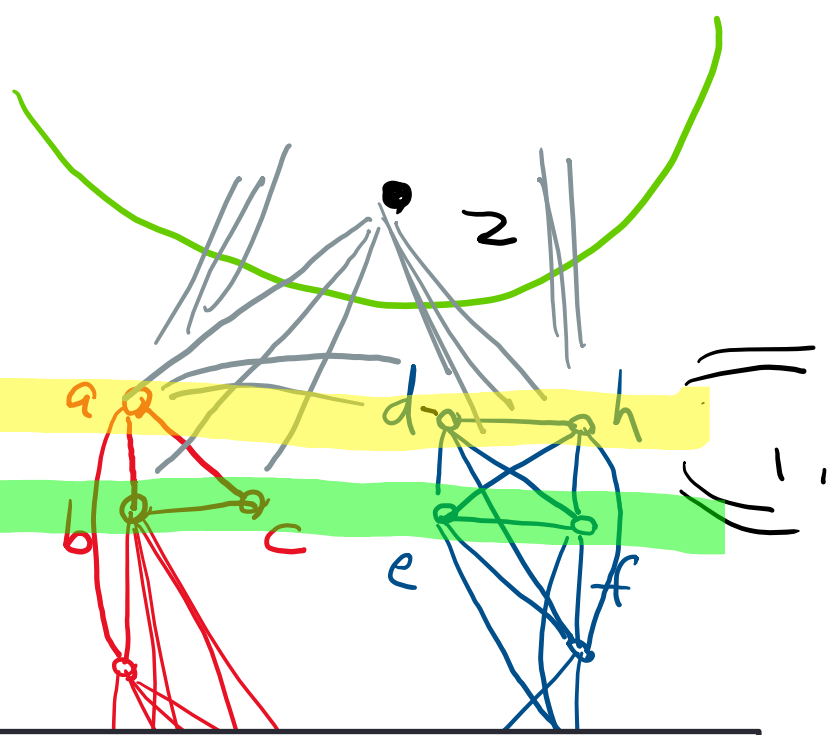
In G:



Each cutset has size at most  $d^k$  because they are in a subtree of degree at most  $d$ .



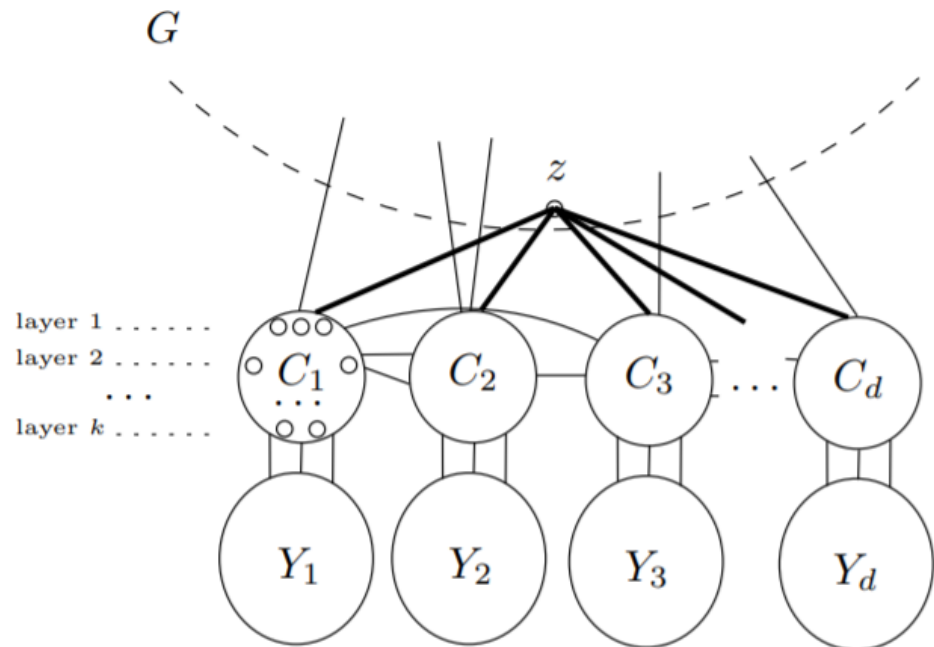
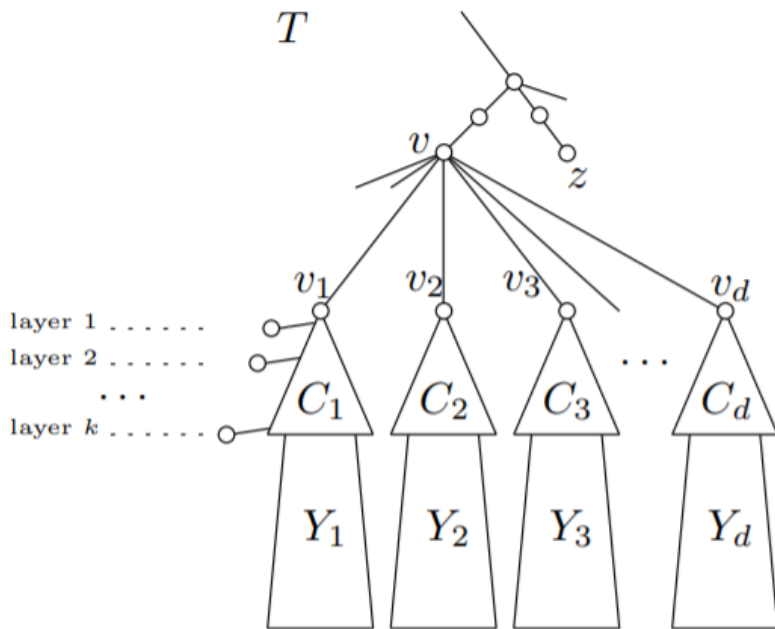
In  $G$ :



Layers = distance from  $v$  in  $T$ .  
 Two vertices in the same layer  
 have the same neighbors outside  
 of the red and blue subtrees.

## Lemma

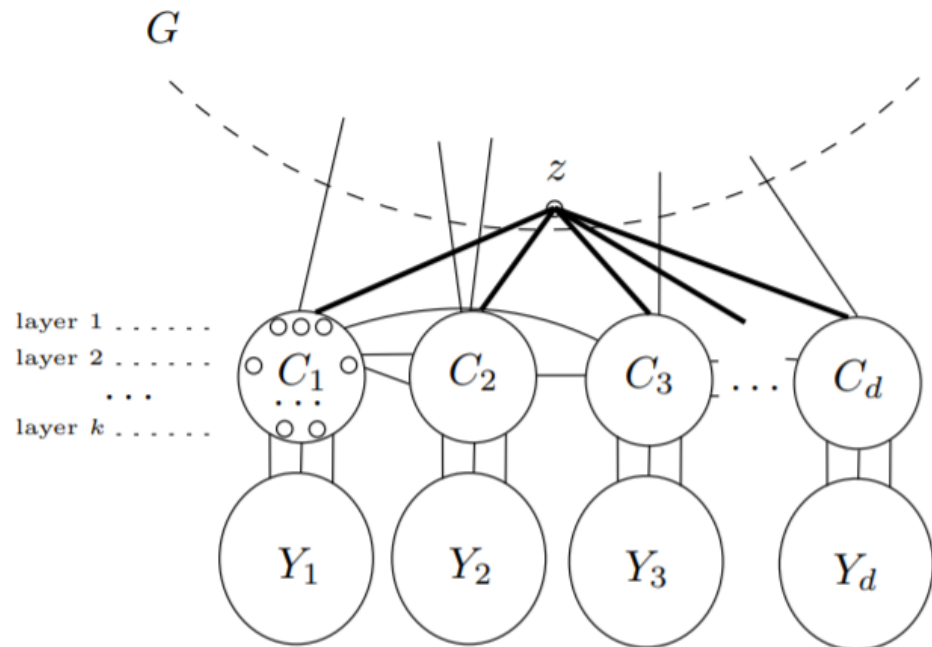
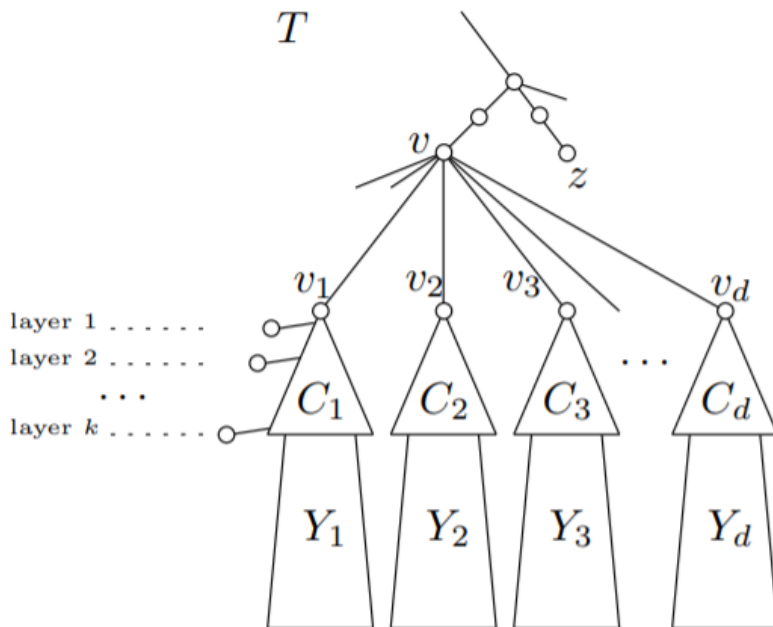
If  $G$  has a  $k$ -leaf root of maximum degree  $> d$ , then there exist disjoint  $C_1 \cup Y_1, \dots, C_d \cup Y_d$  pairwise-similar subsets that use the same  $z$ . Also, each  $C_i$  has size  $\leq dk$ .



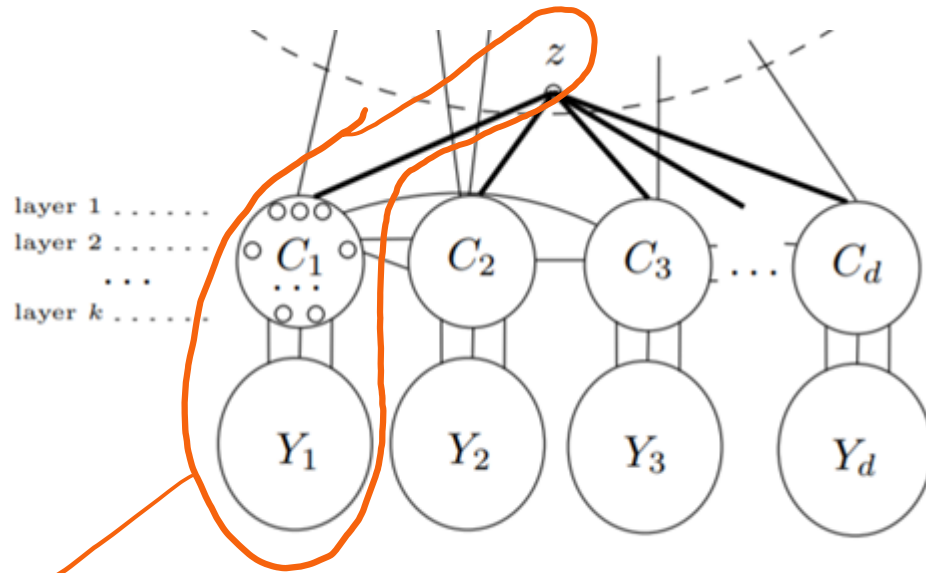
## Lemma

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- So we can find many subsets with the same neighborhood structure.
- Next : find those that have the “same”  $k$ -leaf roots.

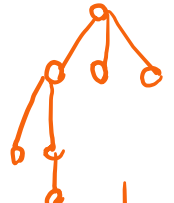
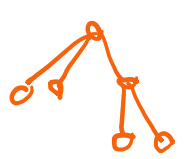


Step 2 : similar sets that have the same  
encoded  $k$ -leaf roots



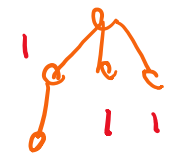
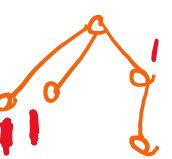
$LR_1$

$LR_2$



$ENC_1$

$ENC_2$

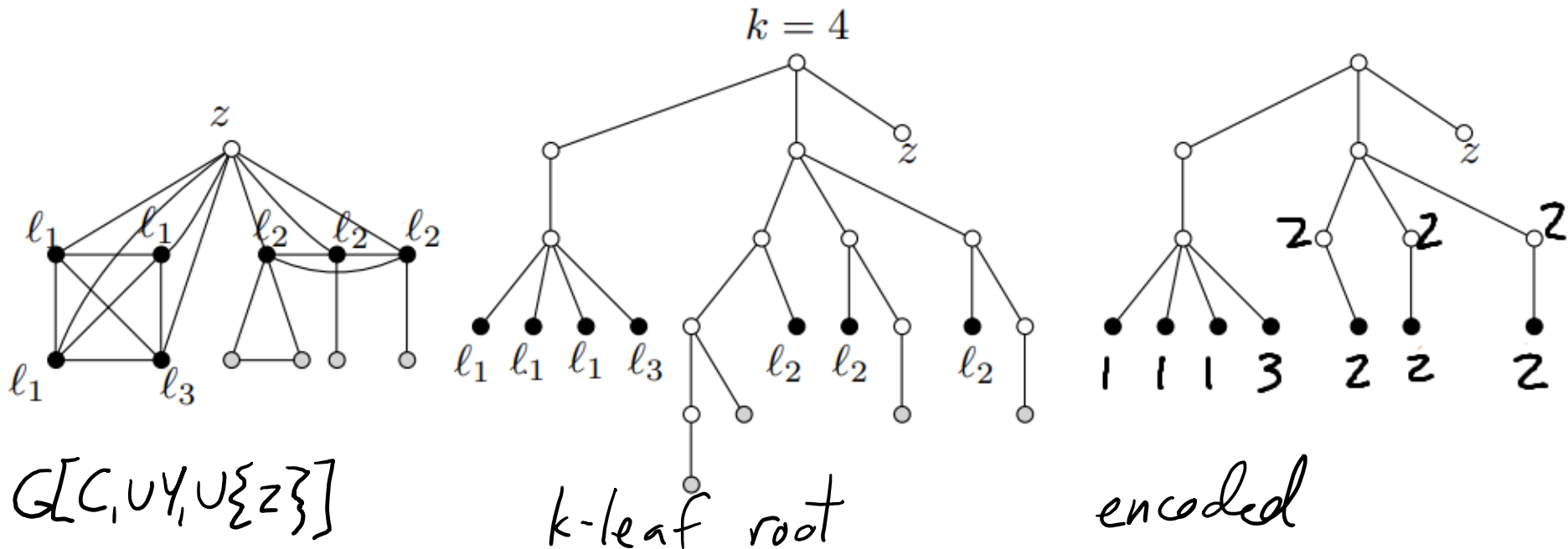


2

2

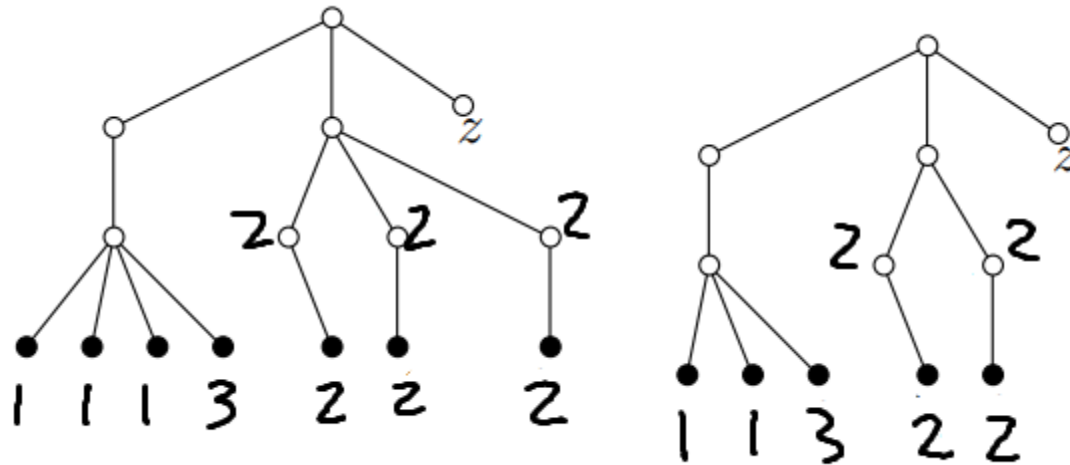
# Similar sets with the same leaf roots

- Let  $C_1 \cup Y_1$  be a set of vertices organized into layers  $L_1, \dots, L_k$ .
- Let  $T_1$  be a  $k$ -leaf root of  $G[C_1 \cup Y_1 \cup \{z\}]$ . The **layer-encoding** of  $T_1$  is obtained by
  - restricting  $T_1$  to  $C_1$  and  $z$ , and their ancestors
  - replacing each leaf of  $C_1$  by its layer number.
  - labeling internal nodes by the distance to its closest  $Y_1$  leaf
  - also...



# Similar sets with the same leaf roots

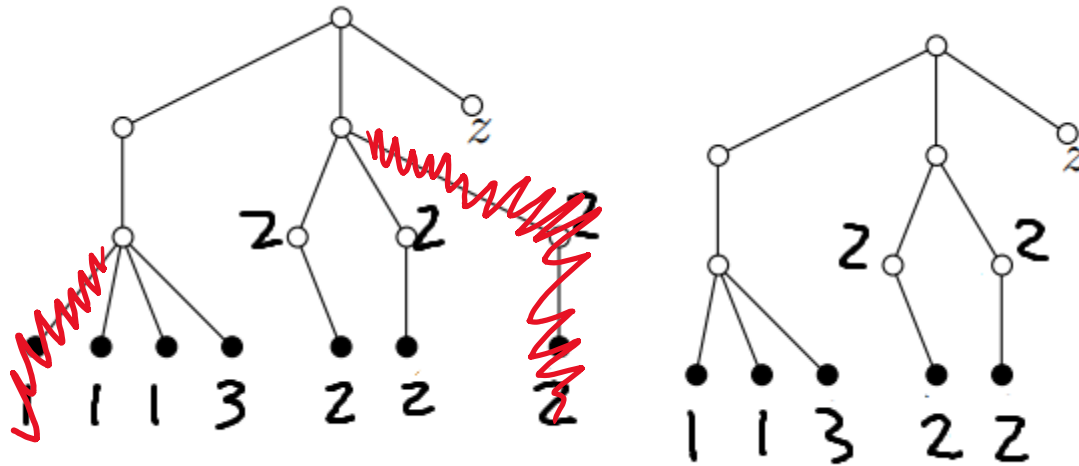
- also...for each node  $u$  that has at least 3 identical child subtrees, we remove one of these subtrees (they are redundant for our purposes).





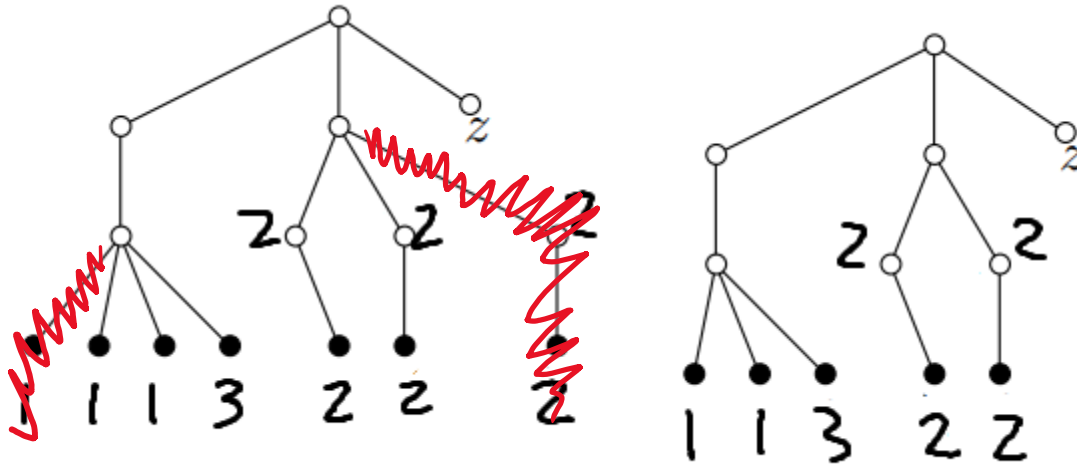
# Similar sets with the same leaf roots

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## Lemma

The number of possible layer-encoded  $k$ -leaf roots is at most  $s(k)$ , a function that depends only on  $k$ .



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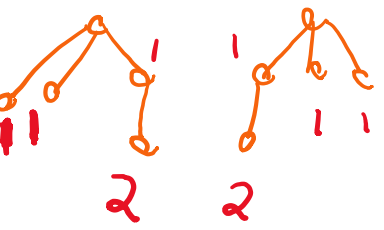
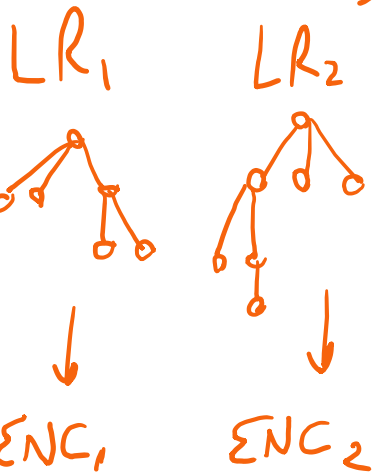
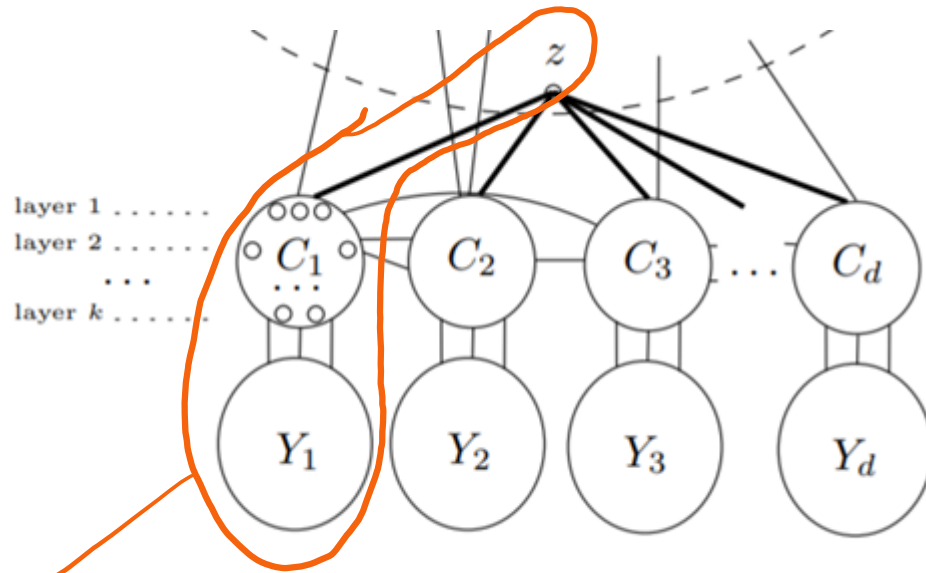
Proof idea.

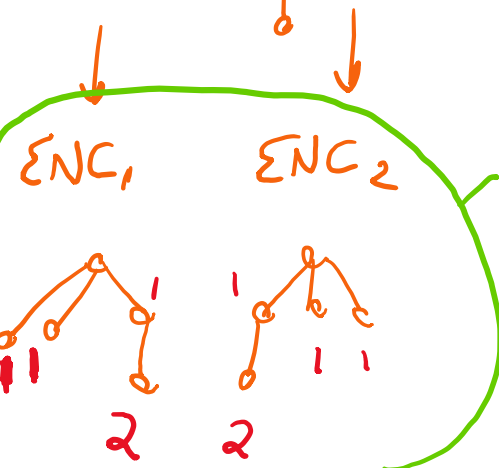
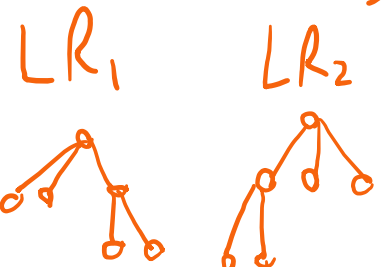
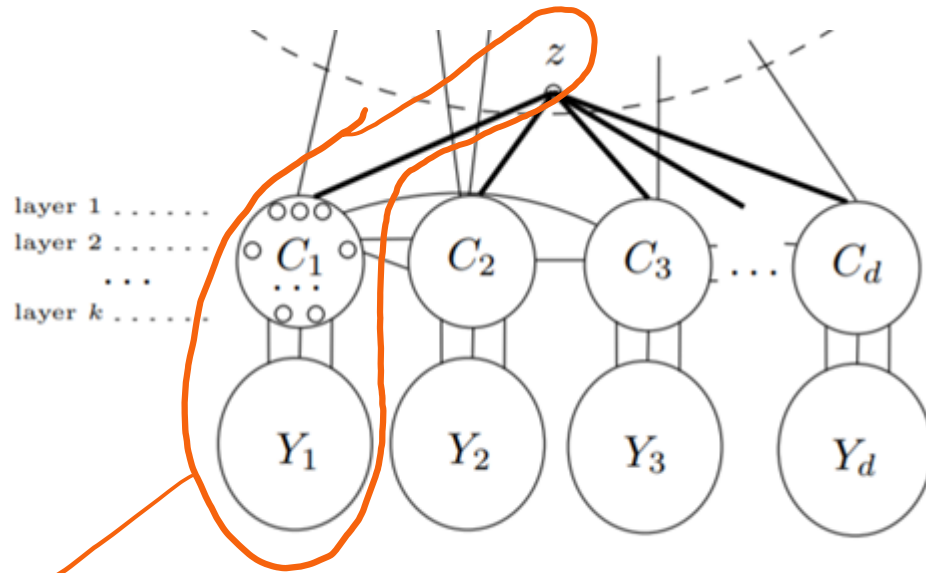
Layer-encoded  $k$ -leaf roots have height at most  $k$ .

Possible layer-encoded  $k$ -leaf roots:

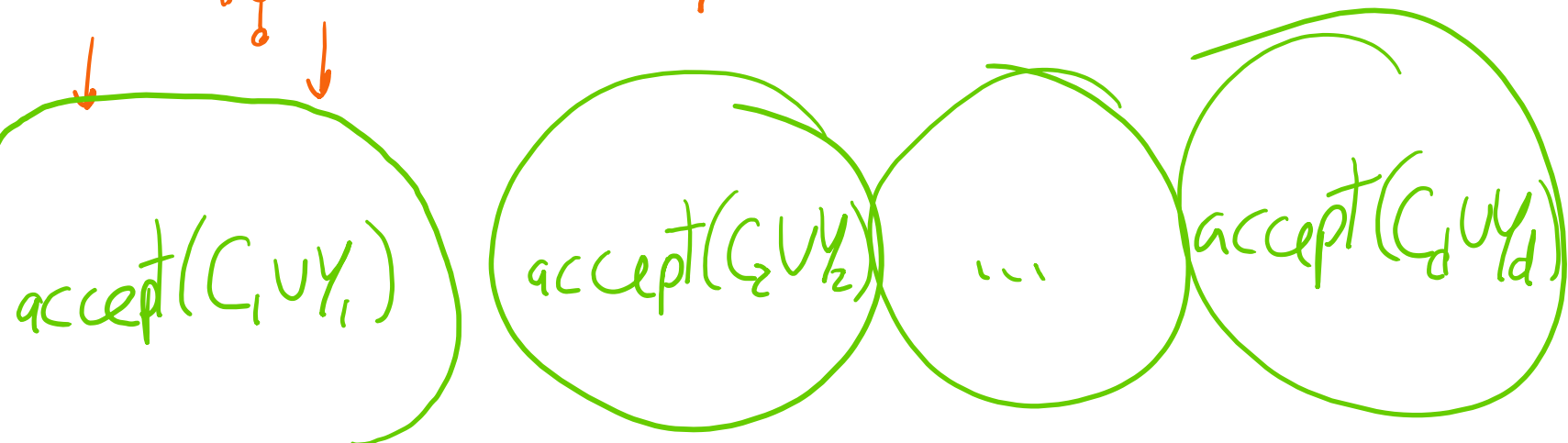
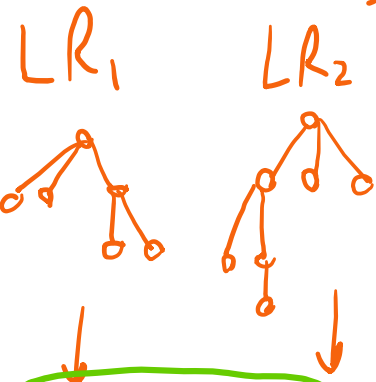
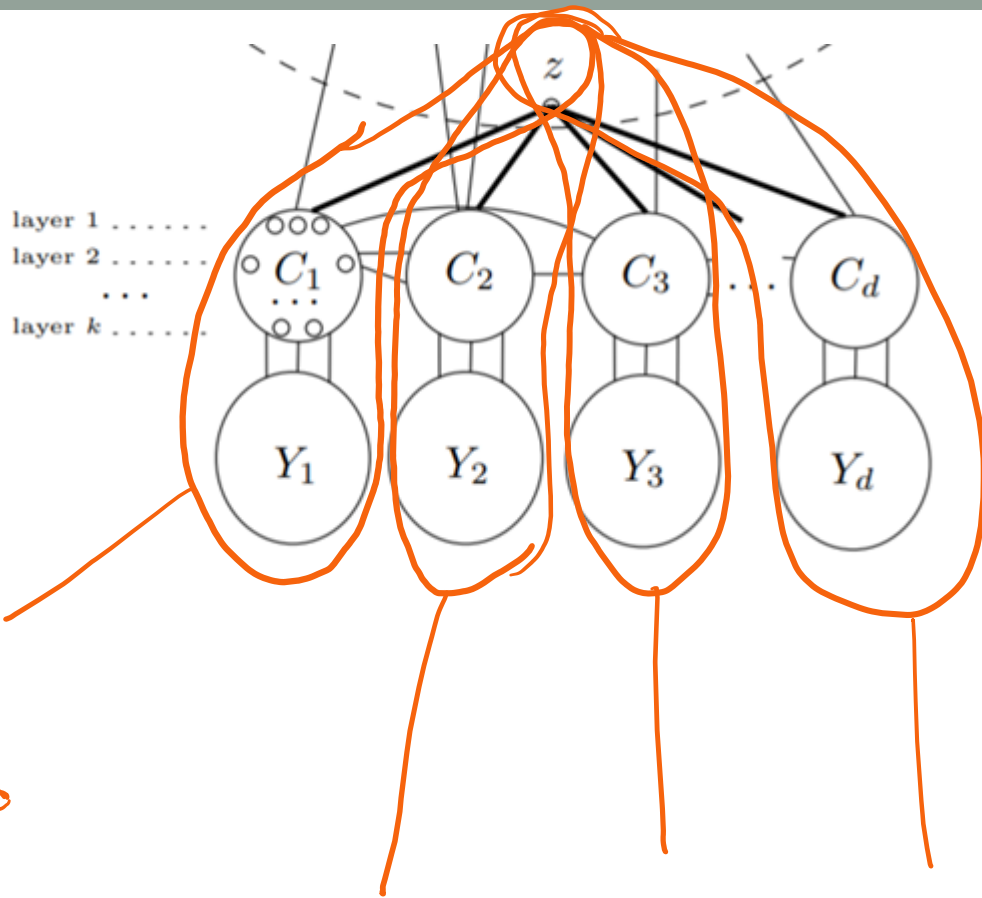
- of height 1 :  $k$  (number of layer numbers)
- of height 2 :  $k3^k$  ( $k$  values for internal node, 0, 1 or 2 children of each type of height 1)
- of height 3 :  $k3^{k3^k}$
- ...
- of height  $k$  :  $k3^{k3^{k3^{\dots}}}$  }  $k$  times

- For  $C_i \cup Y_i$ , let ***accept*** $(C_i \cup Y_i)$  be the set of layer-encoded  $k$ -leaf roots of  $G[C_i \cup Y_i \cup \{z\}]$ .
- We say that similar subsets  $C_1 \cup Y_1, \dots, C_d \cup Y_d$  are **homogeneous** if all accept sets are equal, i.e.  
***accept*** $(C_1 \cup Y_1) = \dots = \mathbf{accept}(C_d \cup Y_d)$ .





this is  
 $\text{accept}(C_1 \cup Y_1)$



- For  $C_i \cup Y_i$ , let ***accept***( $C_i \cup Y_i$ ) be the set of layer-encoded  $k$ -leaf roots of  $G[C_i \cup Y_i \cup \{z\}]$ .
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***accept***( $C_1 \cup Y_1$ ) = ... = ***accept***( $C_d \cup Y_d$ ).

### Lemma

If  $G$  has a  $k$ -leaf root of maximum degree  $d > 3s(k) 2^{s(k)}$ , then  $G$  contains  $3s(k)$  similar and **homogeneous** subsets  $C_1 \cup Y_1, \dots, C_{3s(k)} \cup Y_{3s(k)}$ . They all use the same  $z$  and  $|C_i| \leq dk$  for each  $i$ .



- For  $C_i \cup Y_i$ , let  **$\text{accept}(C_i \cup Y_i)$**  be the set of layer-encoded  $k$ -leaf roots of  $G[C_i \cup Y_i \cup \{z\}]$ .
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Pigeonhole argument. There are  $2^{s(k)}$  possible accept sets. If  $d > 3s(k) 2^{s(k)}$ , we find  $d$  similar subsets and at least  $3s(k)$  of them have the same accept set.

Step 3 : pruning one homogeneous subset  
and embedding its k-leaf root

- Recall the thing that I'm trying to do.

### Theorem

There is  $f$  such that if  $G$  admits a  $k$ -leaf root of max degree  $d > f(k)$ , then  $G$  contains a subset  $C$  of vertices such that  **$G$  is a  $k$ -leaf power if and only if  $G - C$  is a  $k$ -leaf power.**

Moreover,  $C$  can be found in time  $O(n^{f(k)})$  if it exists.

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Moreover,  $C$  can be found in time  $O(n^{f(k)})$  if it exists.

Let  $C_1 \cup Y_1, \dots, C_{3s(k)} \cup Y_{3s(k)}$  be a large enough number of similar + homogeneous sets.

Consider  $G - (C_1 \cup Y_1)$ .

$\Rightarrow$  If  $G$  is a  $k$ -leaf power, then  $G - (C_1 \cup Y_1)$  is a  $k$ -leaf power.

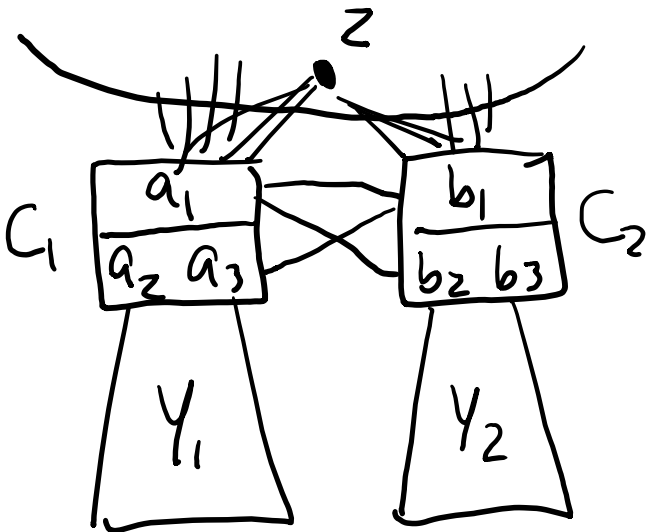
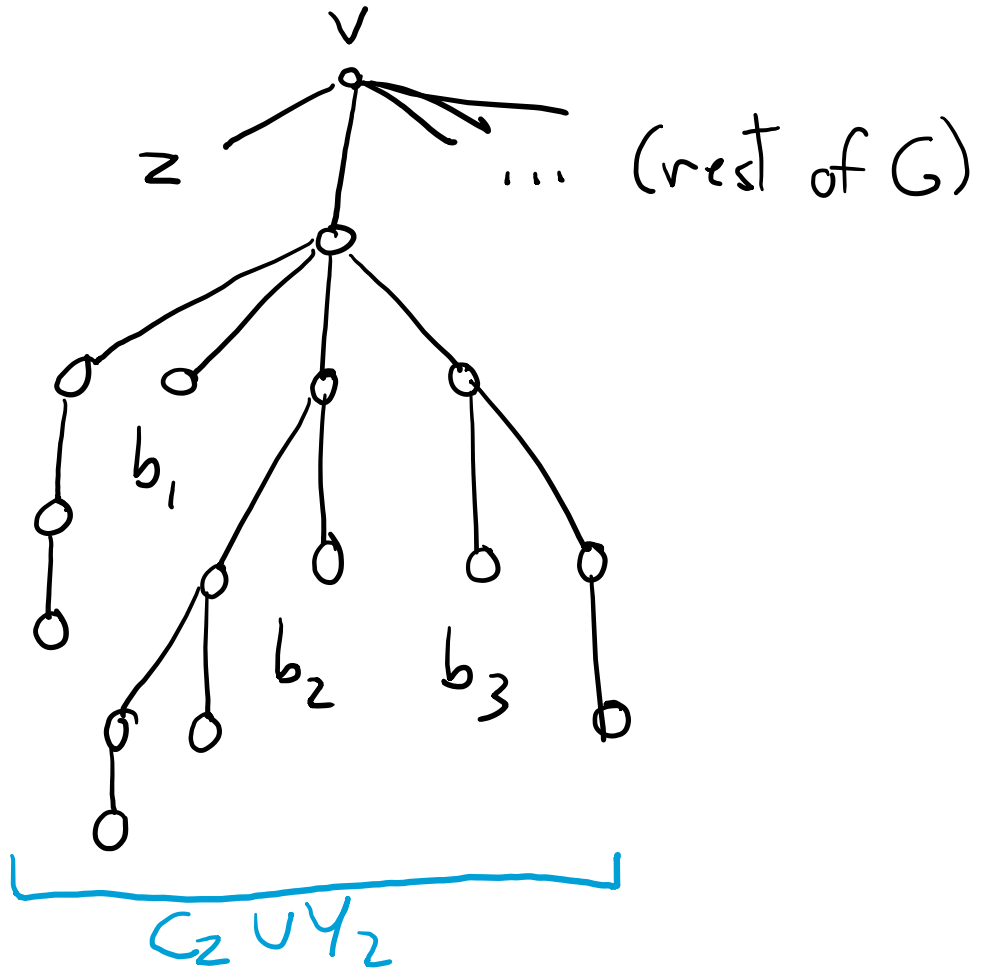
$\Leftarrow$  Assume that  $G - (C_1 \cup Y_1)$  is a  $k$ -leaf power.

GOAL : argue that  $G$  is a  $k$ -leaf power.

Start with a  $k$ -leaf root  $T$  of  $G - (C_1 \cup Y_1)$ . Somehow, add  $C_1 \cup Y_1$  into it while satisfying distance requirements.

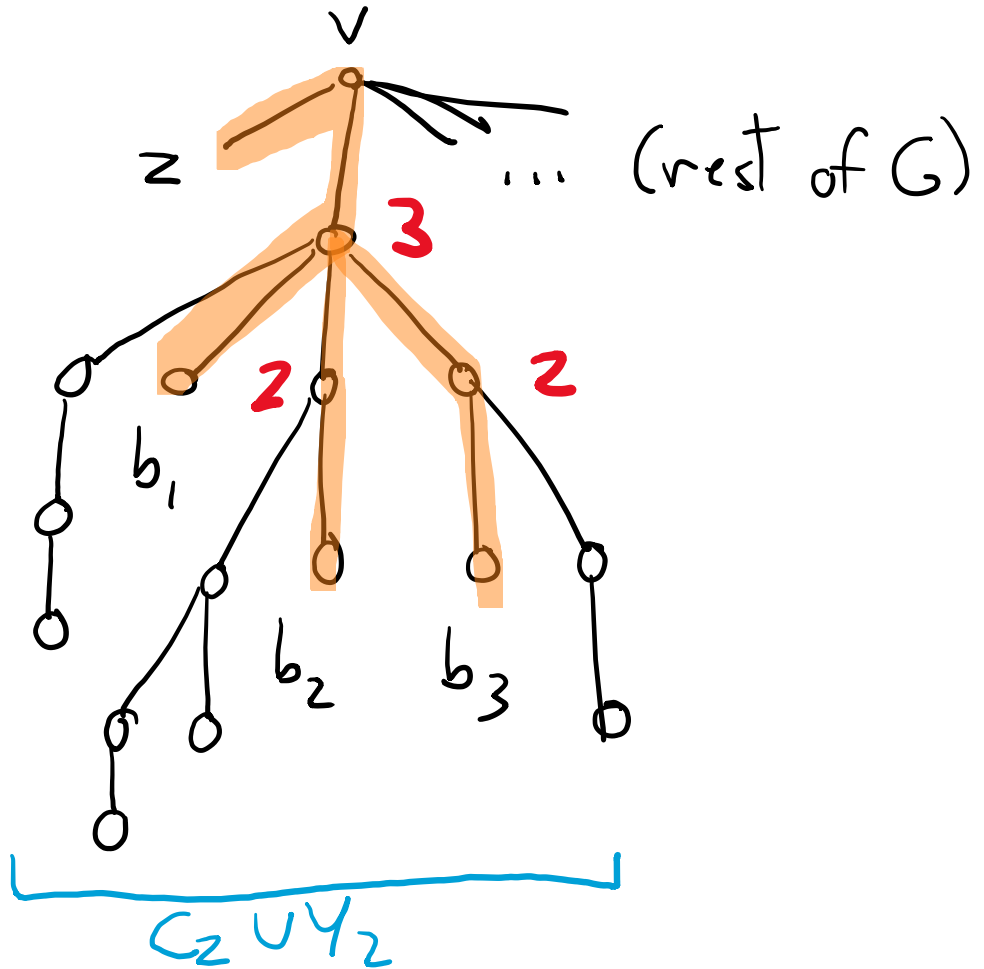
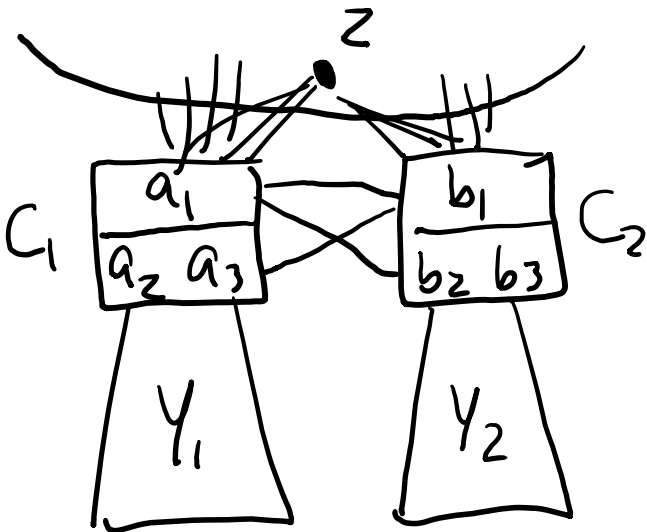
$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$

Attempt 1 : embed using  $C_2$  and  $Y_2$ .  
 $C_1 \cup Y_1$  and  $C_2 \cup Y_2$  have the same  
**accept** sets.



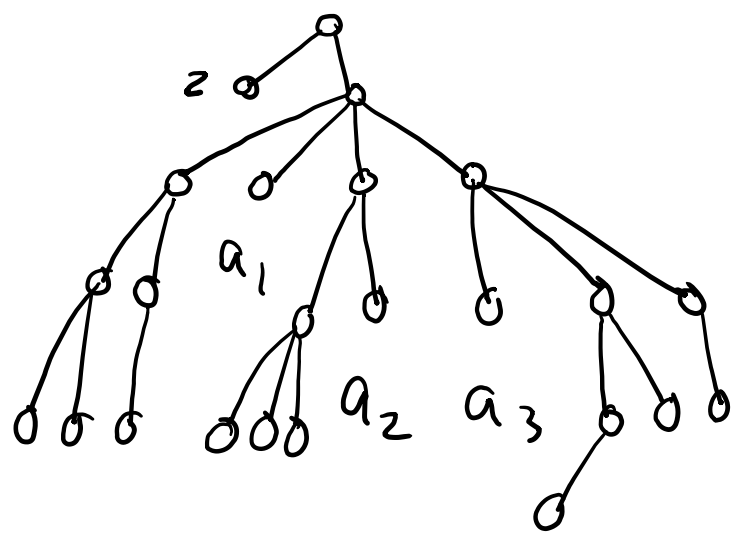
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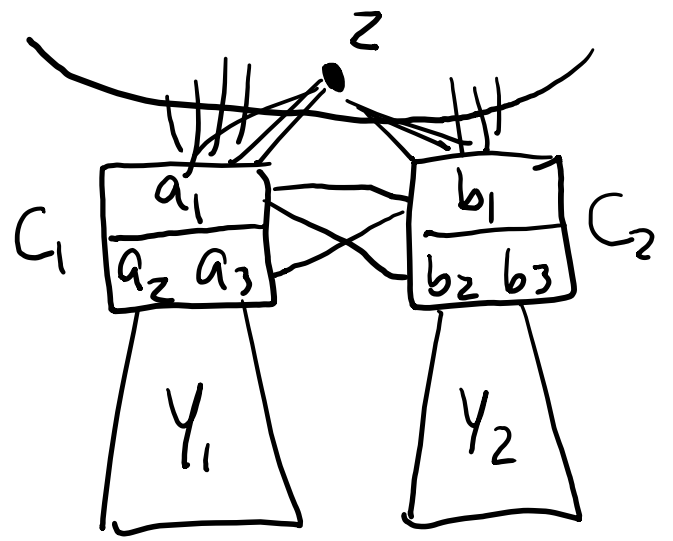
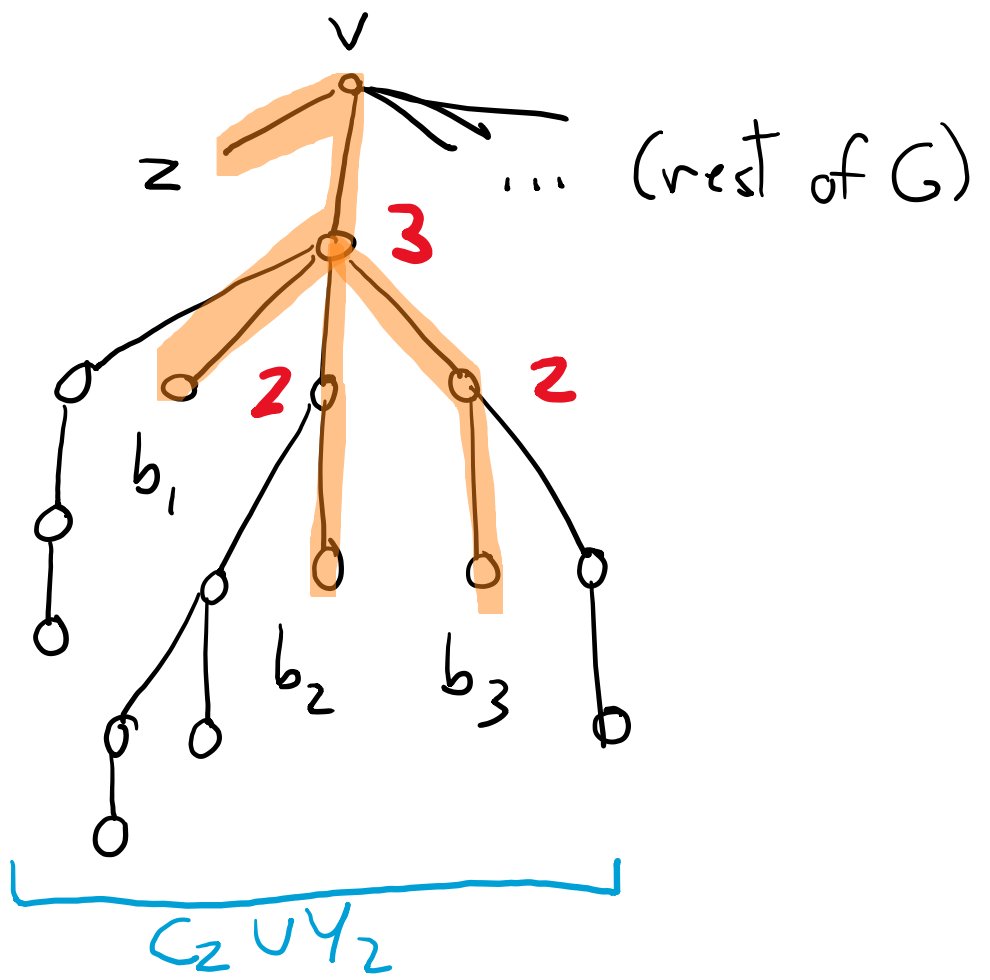


Highlighted = layer-encoding of  $T$   
 restricted to  $C_2 \cup Y_2 \cup \{z\}$

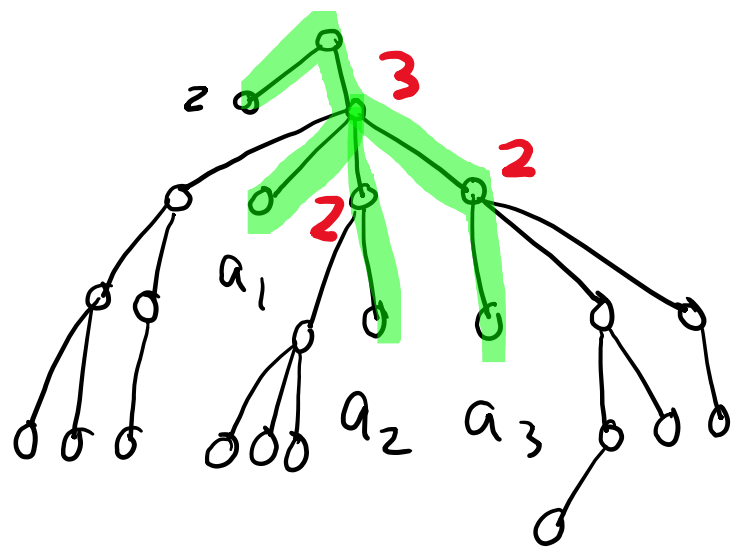
$T_1$ :  $k$ -leaf root of  $C_1 \cup Y_1 \cup \{z\}$



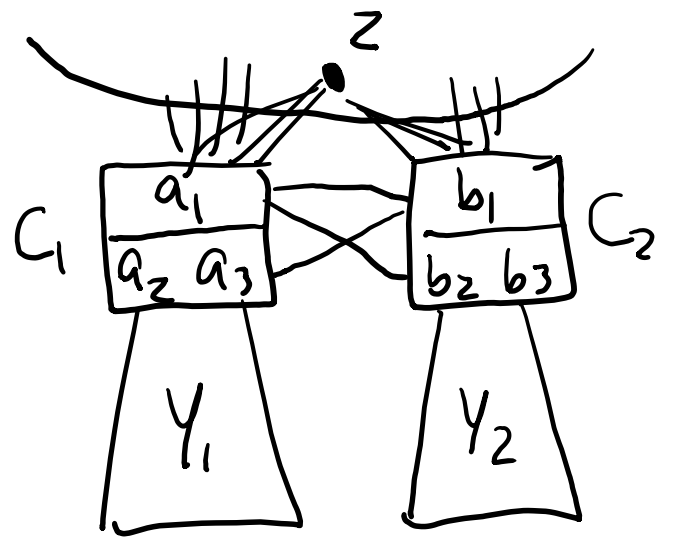
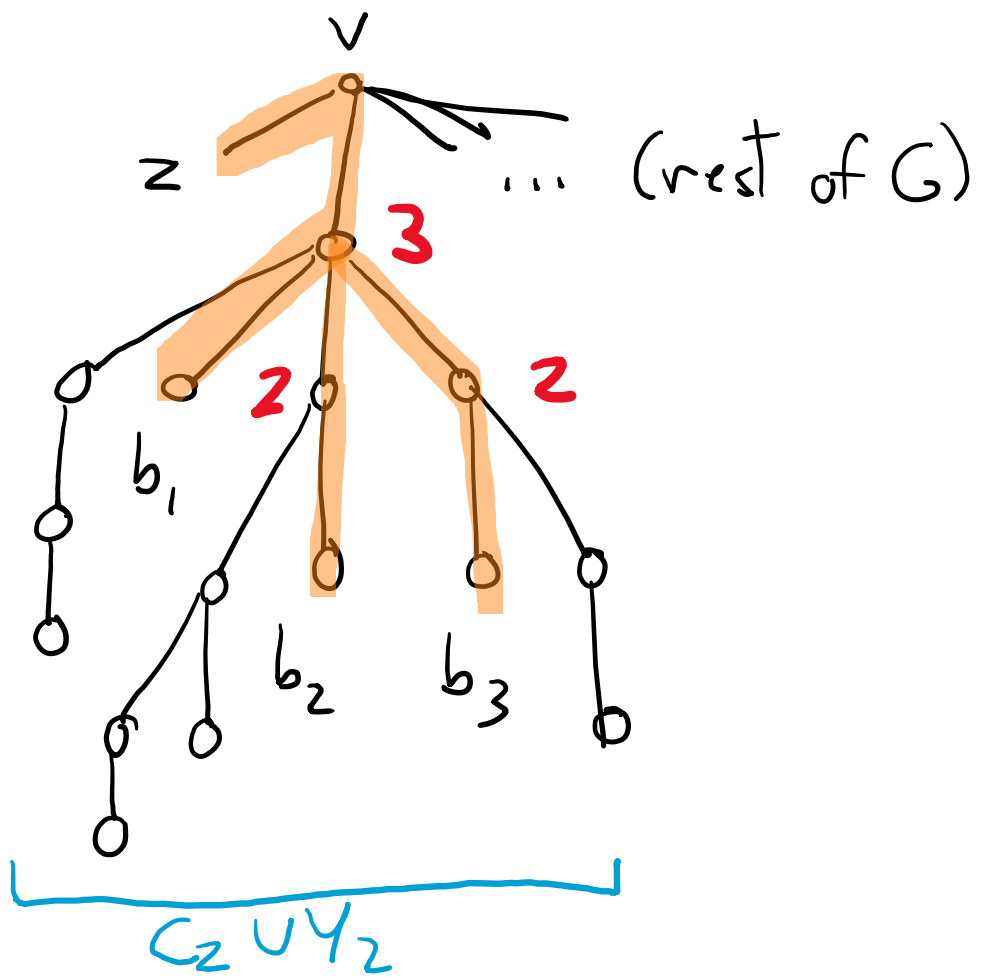
$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$



$T_1$ :  $k$ -leaf root of  $C_1 \cup Y_1 \cup \{z\}$



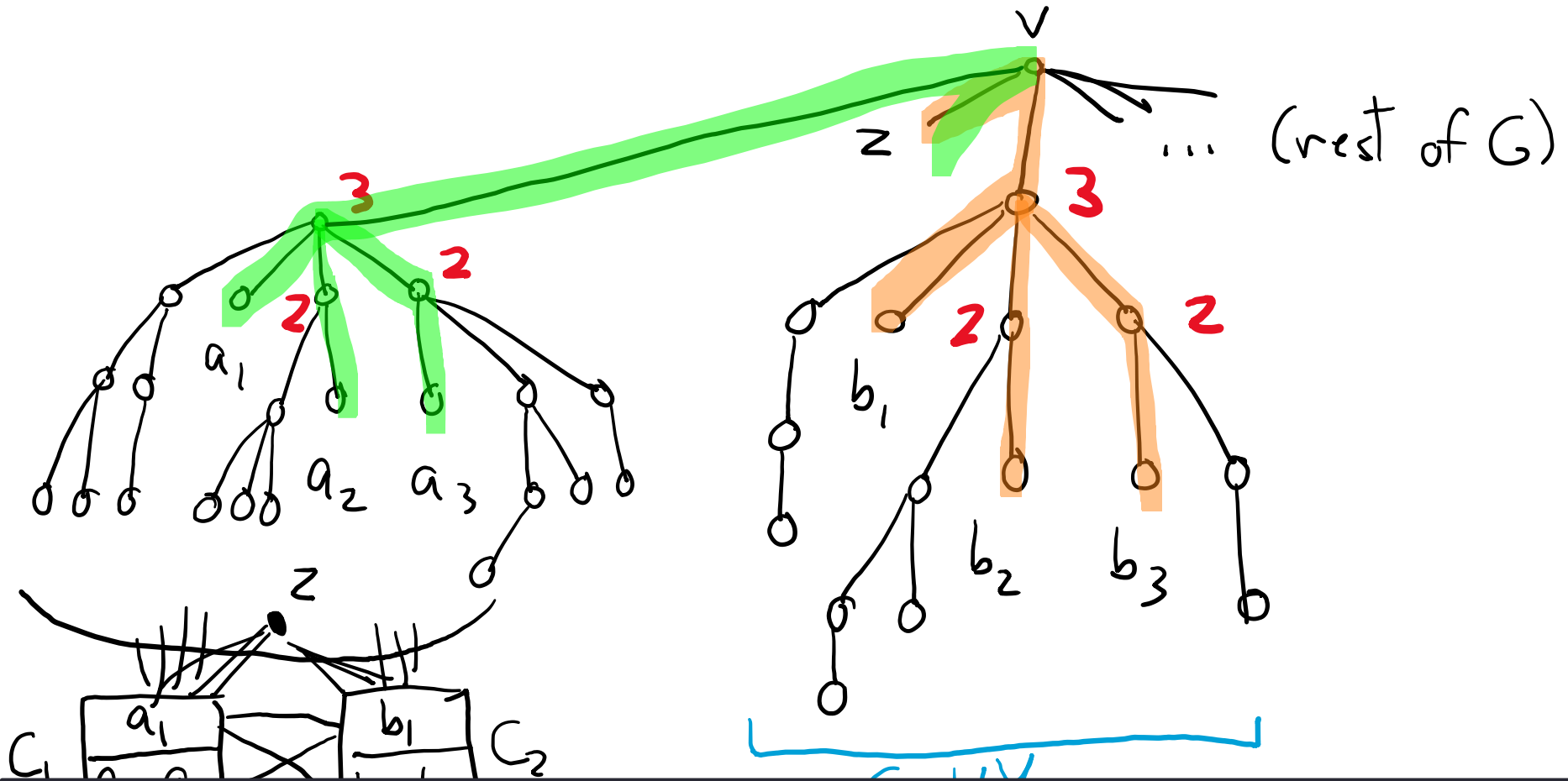
$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$





$T_1$ :  $k$ -leaf root of  $C_1 \cup Y_1 \cup \{z\}$

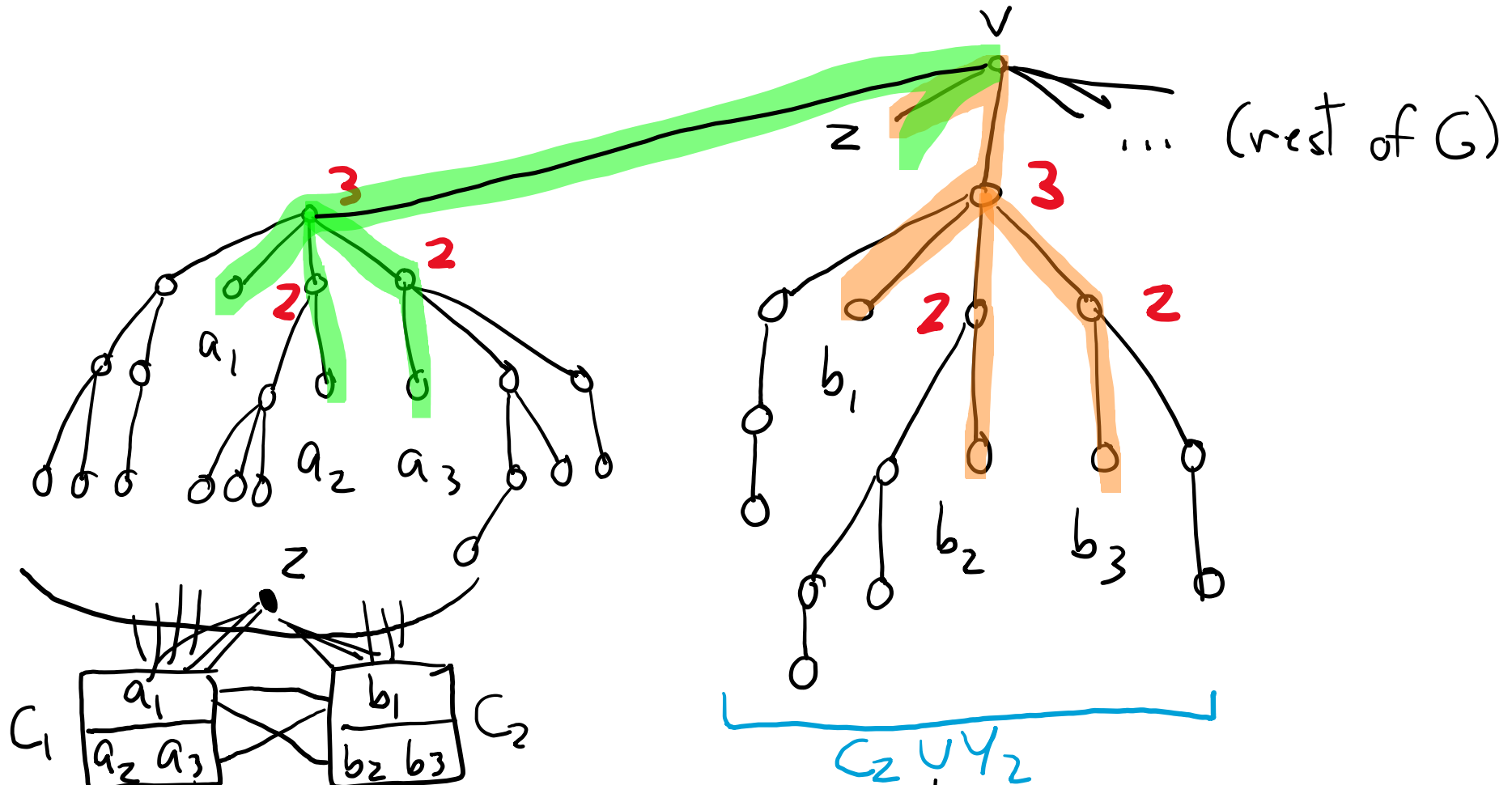
$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$



$a_1$  and  $b_1$  have the same neighbors in 'rest of  $G$ ', and their distance to the 'rest of  $G$ ' leaves is the same. Thus  $a_1$  has the correct distances to 'rest of  $G$ '.  
Same with  $a_2/a_3$  and  $b_2/b_3$ .  
The  $Y_1$  leaves have the same distances as the  $Y_2$  leaves, all is good.

$T_1$ :  $k$ -leaf root of  $C_1 \cup Y_1 \cup \{z\}$

$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$



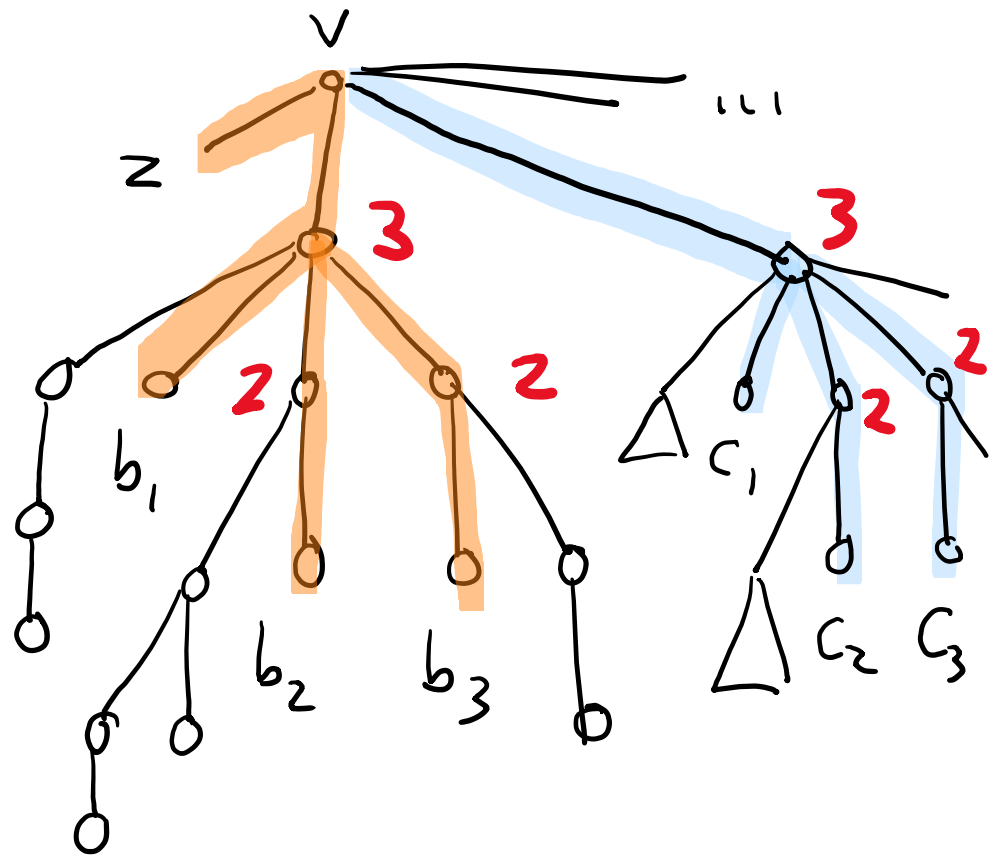
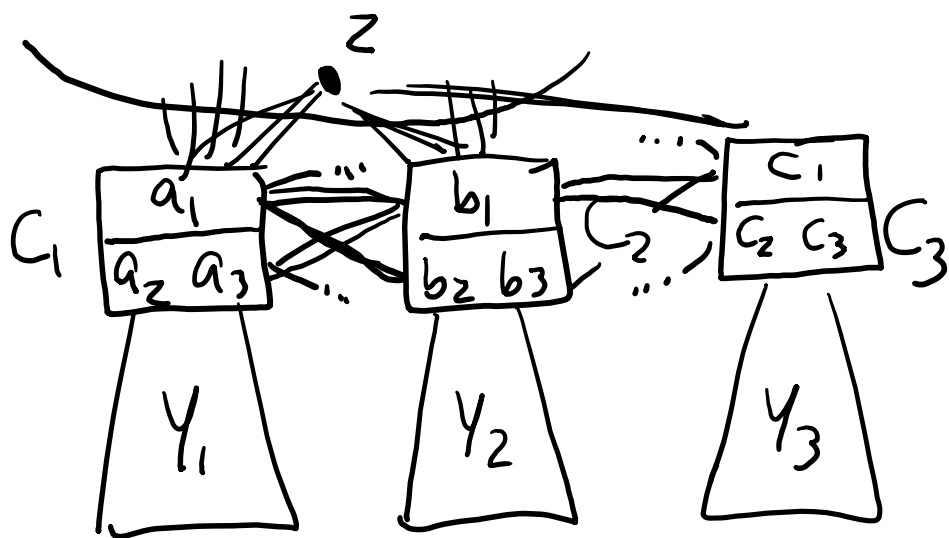
**PROBLEM:** are the distances relationships ok between members of  $C_1$  and  $C_2$ ?

**No way to guarantee it!**

**Idea:** consider another similar homogeneous set  $C_3 \cup Y_3$ .

$T_1$ :  $k$ -leaf root of  $C_1 \cup Y_1 \cup \{z\}$

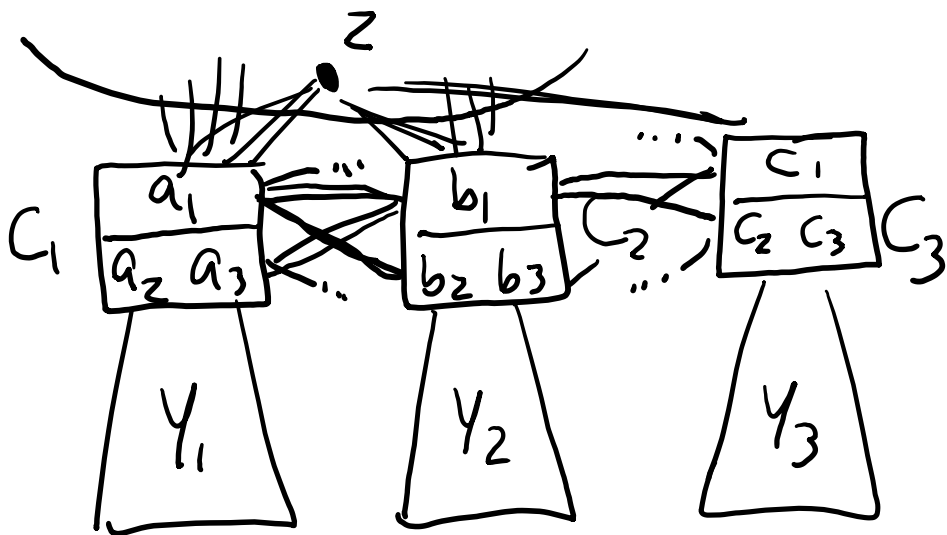
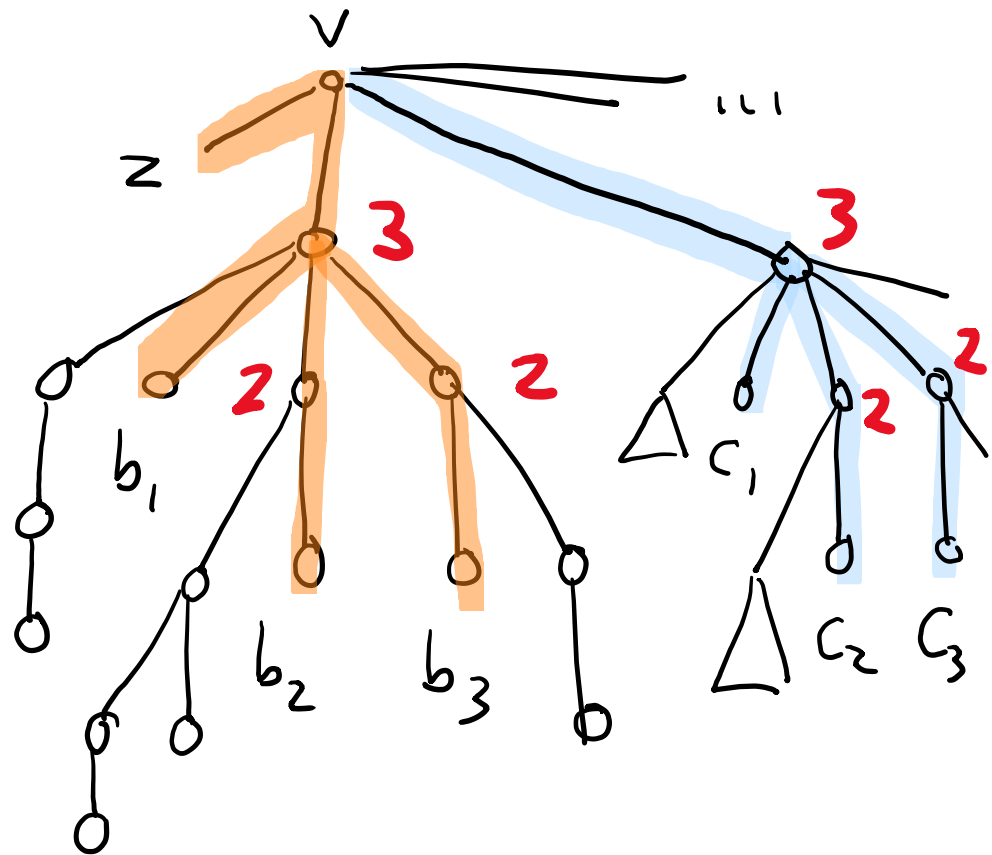
$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$



$T_1$ :  $k$ -leaf root of  $C_1 \cup Y_1 \cup \{z\}$

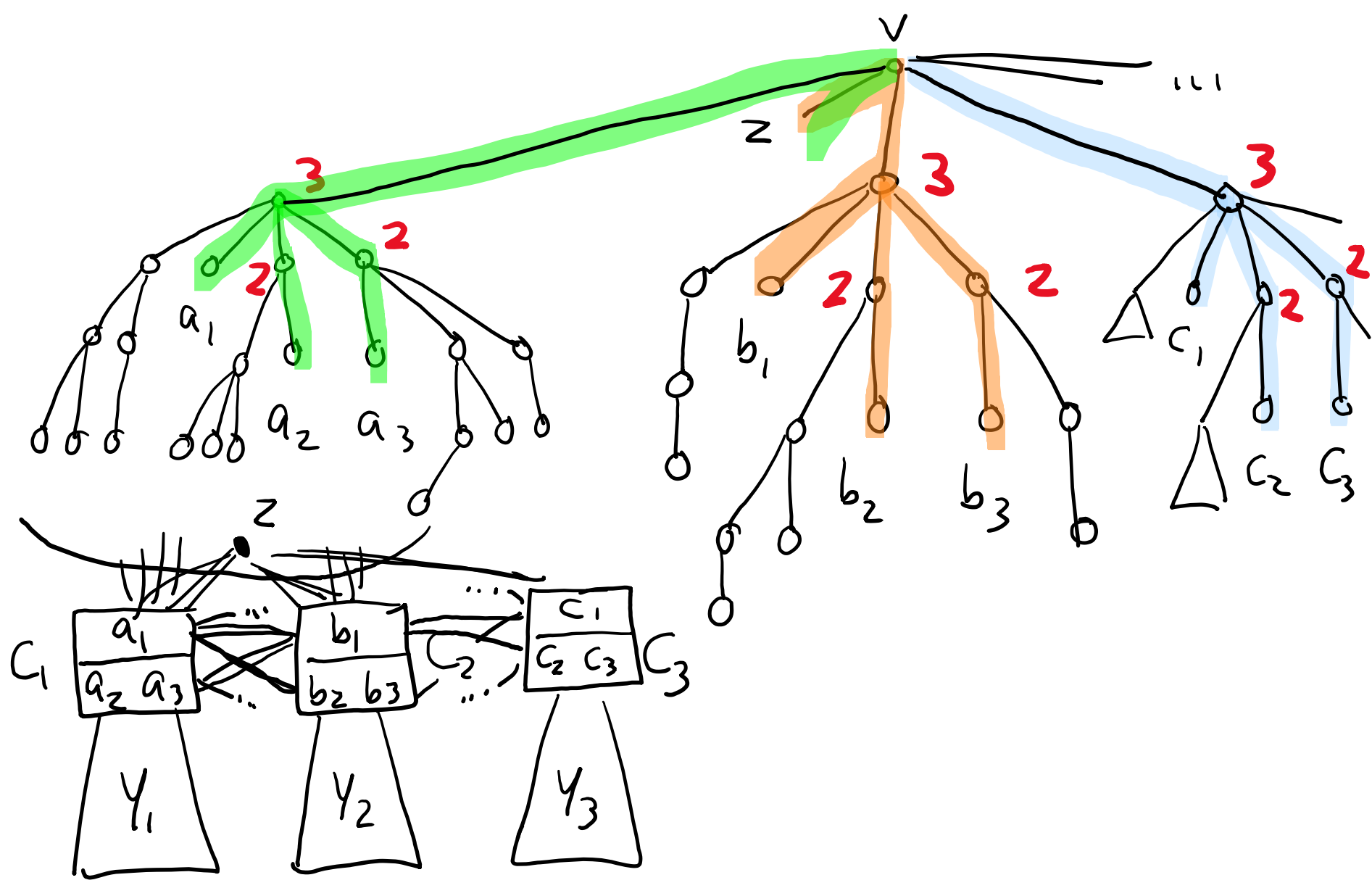
$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$

Because we have  $3s(k)$  homogeneous subsets, two of them must be displayed with the same encoding in  $T$ .



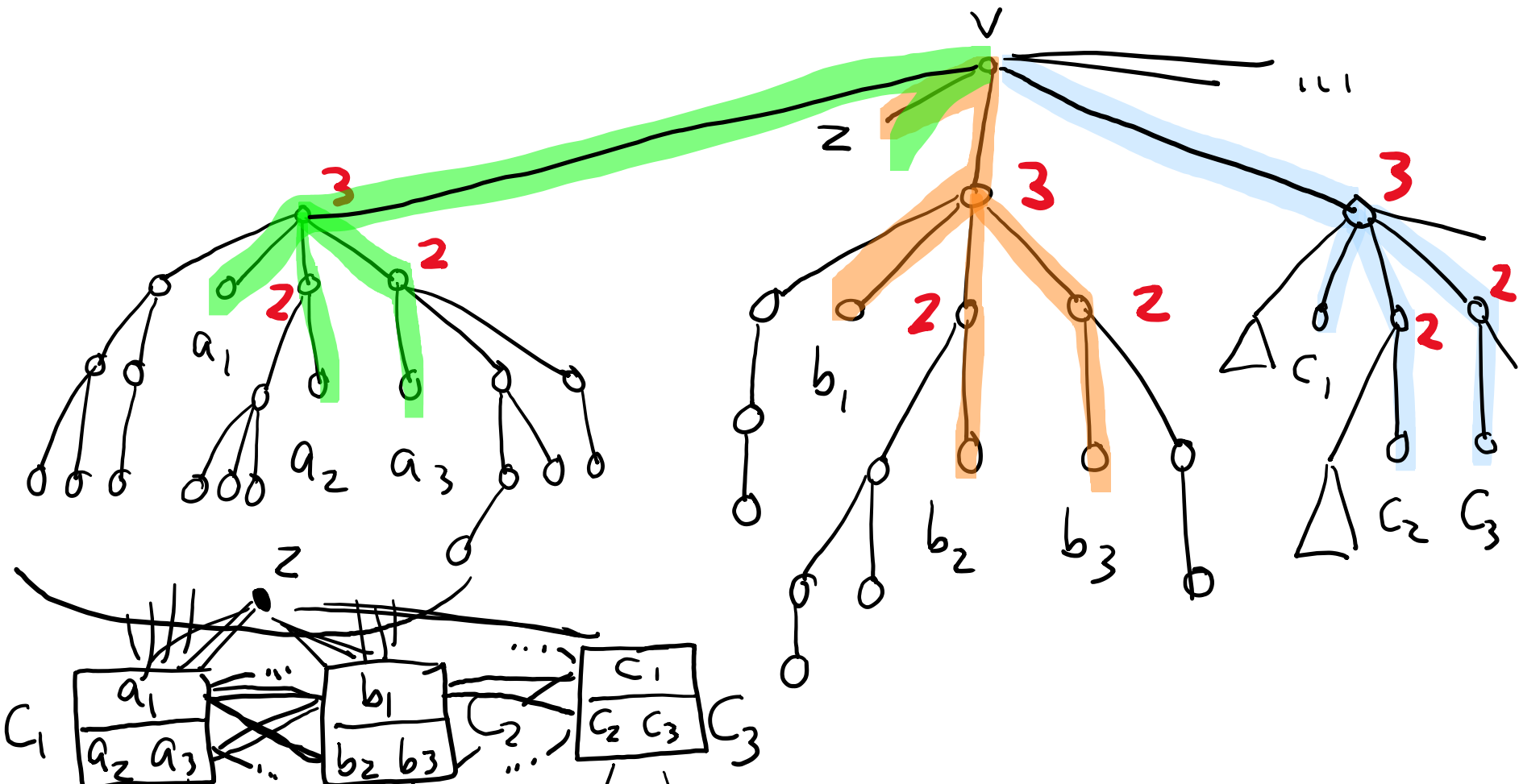
$T_1$ :  $k$ -leaf root of  $C_1 \cup Y_1 \cup \{z\}$

$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$



$T_1$ :  $k$ -leaf root of  $C_1 \cup Y_1 \cup \{z\}$

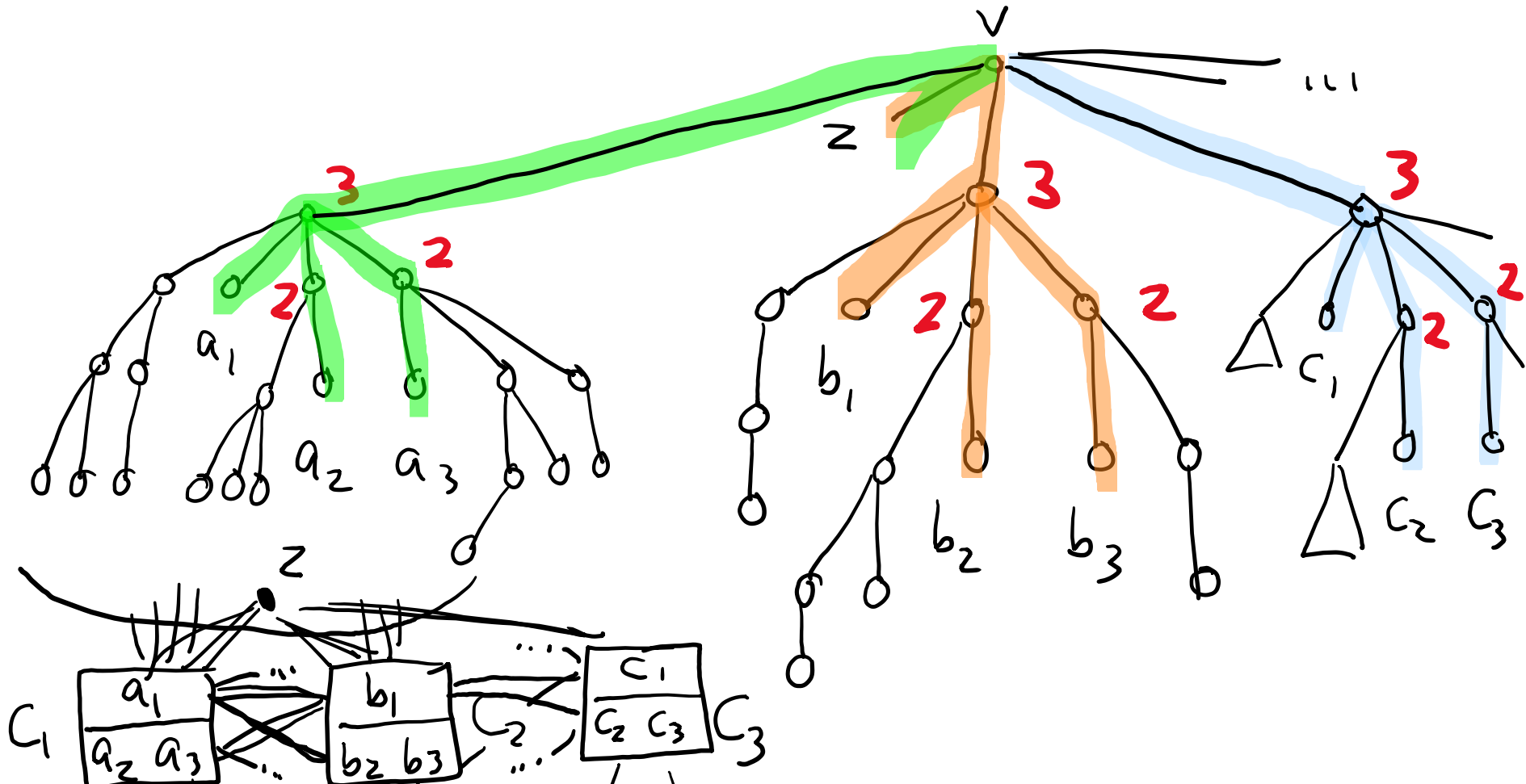
$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$



$a_1$  has the same distances as  $c_1$  to  $b_1/b_2/b_3$  and  $Y_2$ .  
 Because  $c_1$  is fine with  $C_2$ ,  $a_1$  will be fine with  $C_2$ . Same with  $a_2/a_3$  and  $c_2/c_3$ .

$T_1$ :  $k$ -leaf root of  $C_1 \cup Y_1 \cup \{z\}$

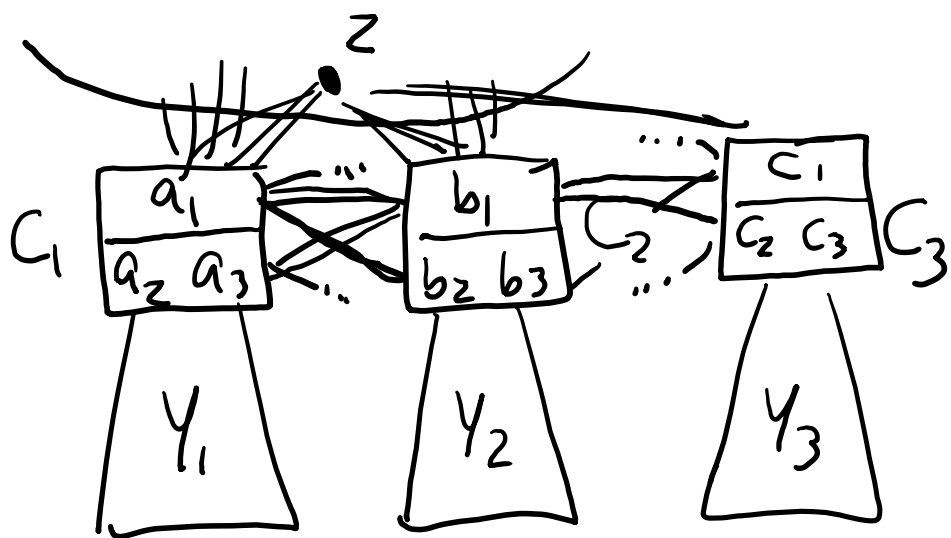
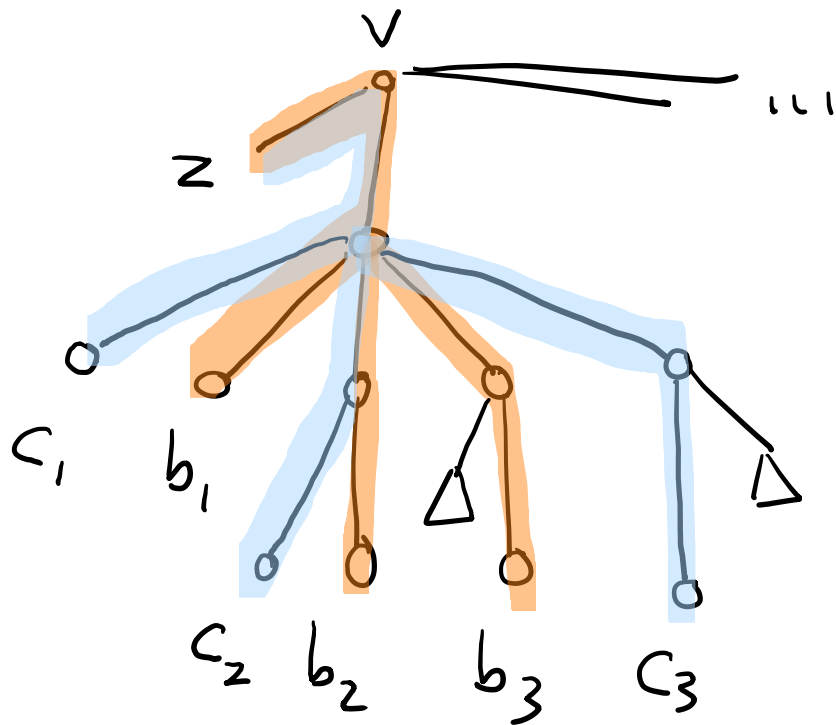
$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$



**PROBLEM**: no guarantee that in  $T$  the  $C_2$  and  $C_3$  subtrees are well-separated like that.

$T_1$ :  $k$ -leaf root of  $C_1 \cup Y_1 \cup \{z\}$

$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$

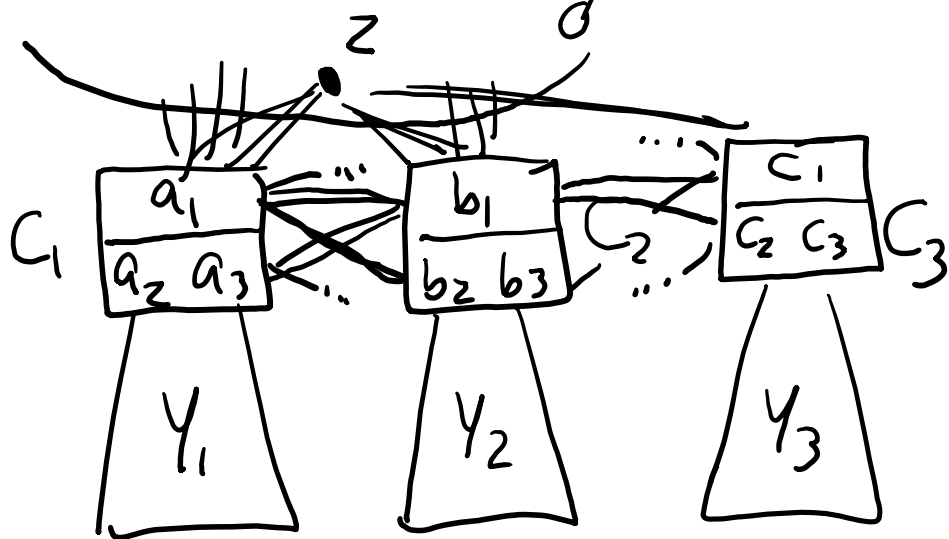
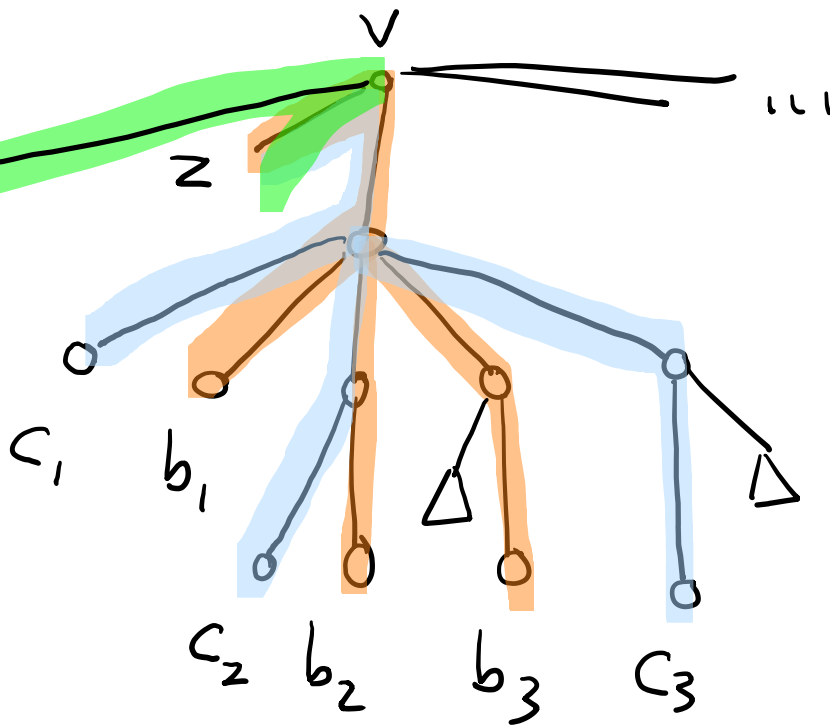
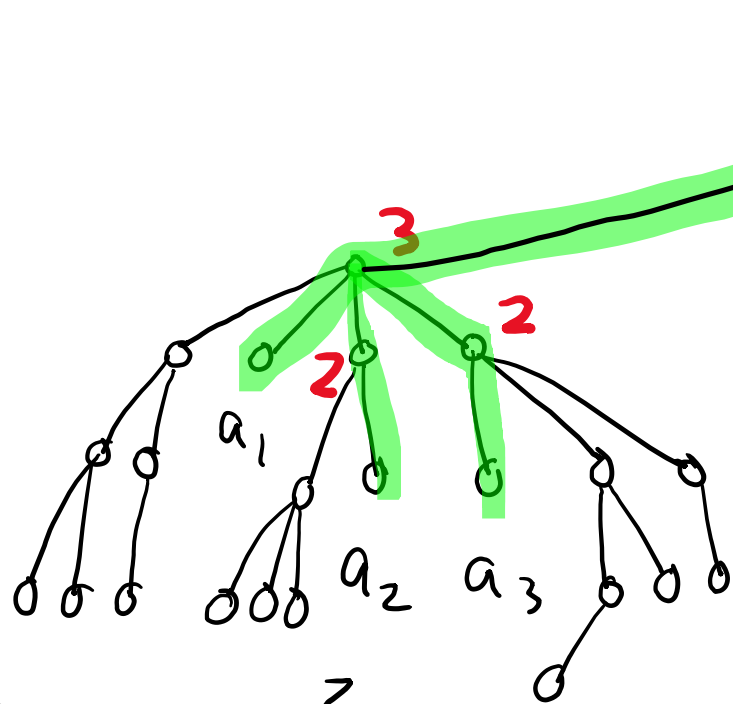


Same structure,  
intertwined



$T_1$ :  $k$ -leaf root of  $C_1 \cup Y_1 \cup \{z\}$

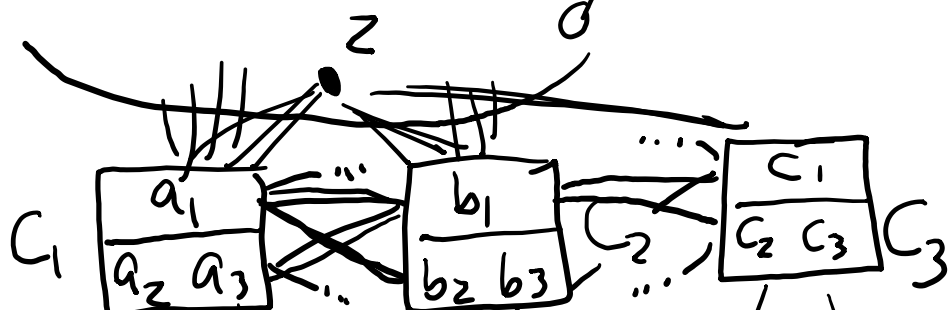
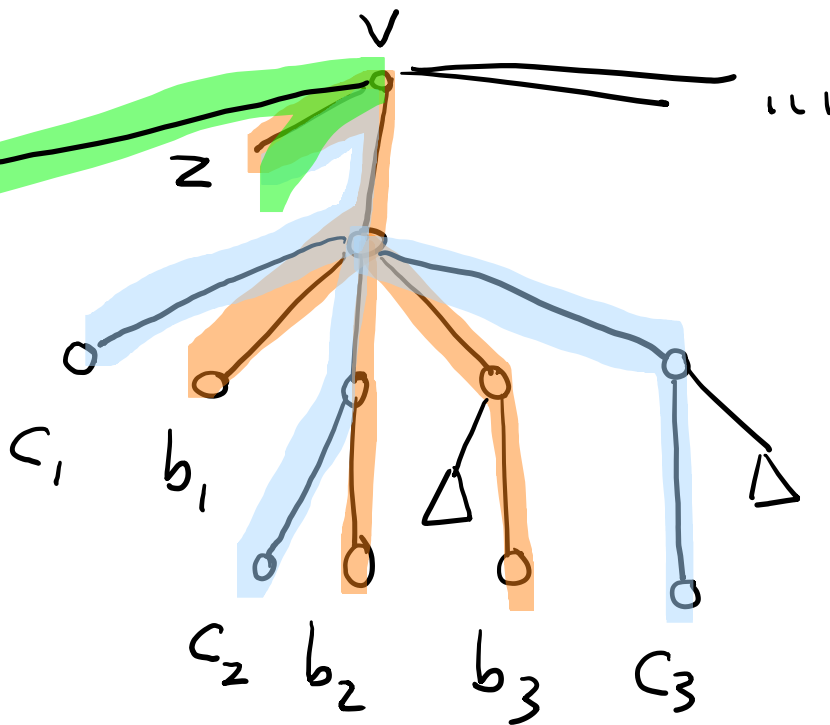
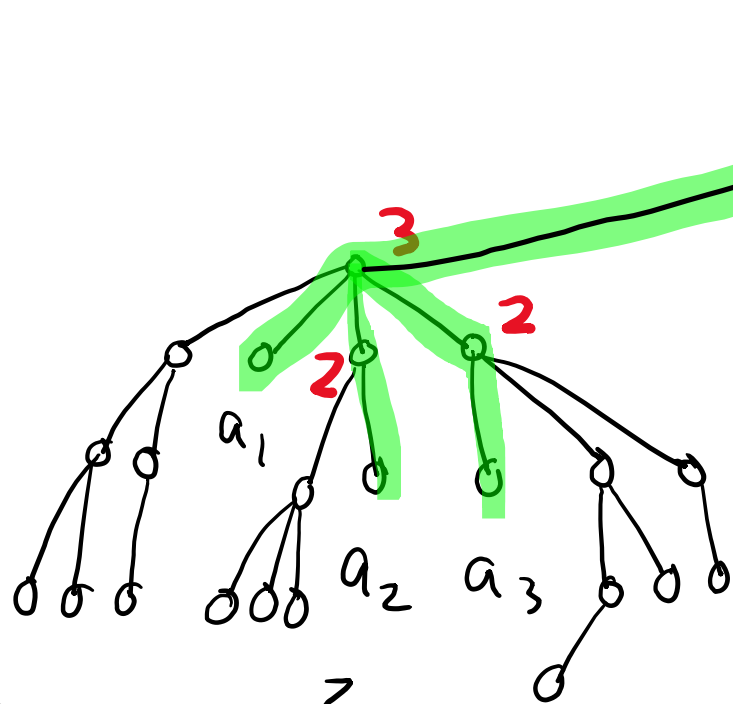
$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$



Same structure,  
intertwined

$T_1$ :  $k$ -leaf root of  $C_1 \cup Y_1 \cup \{z\}$

$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$

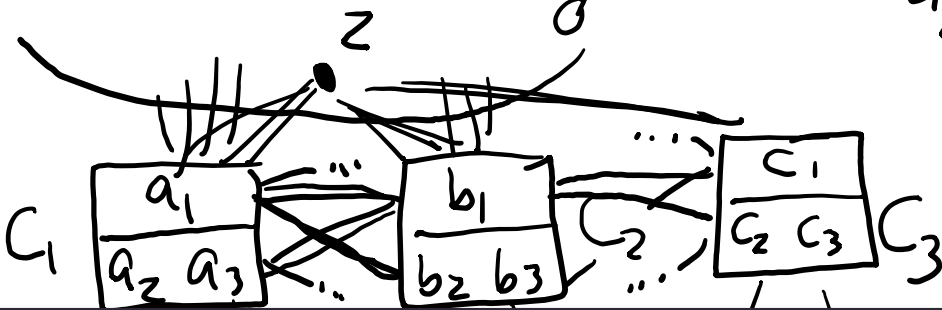
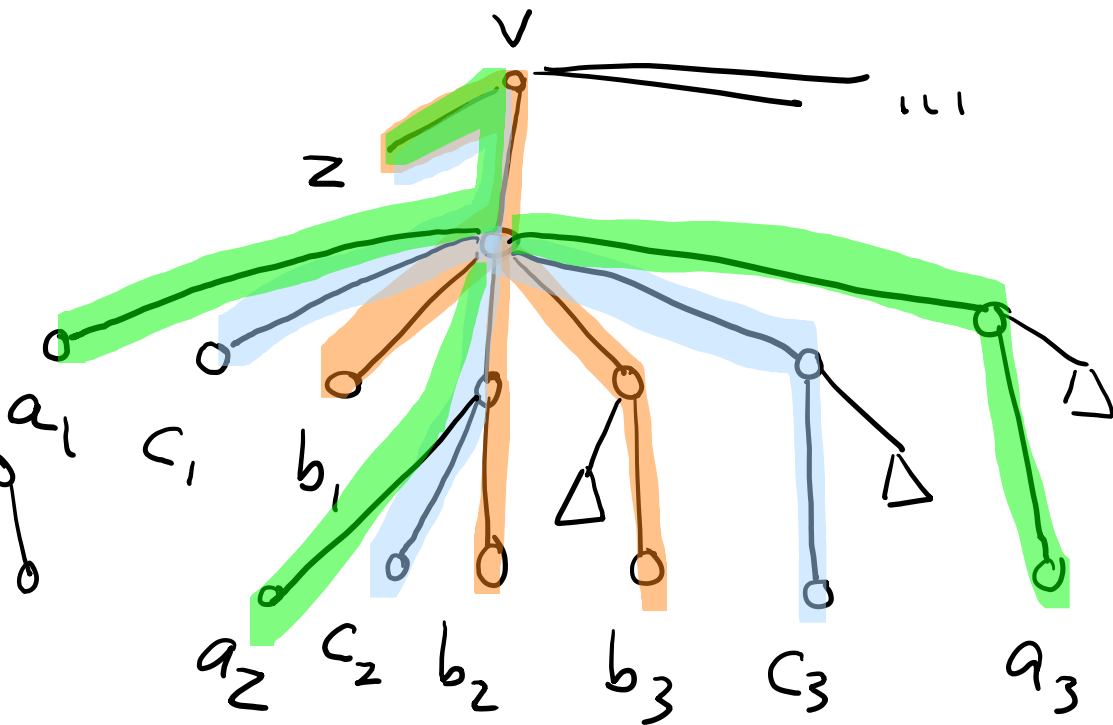
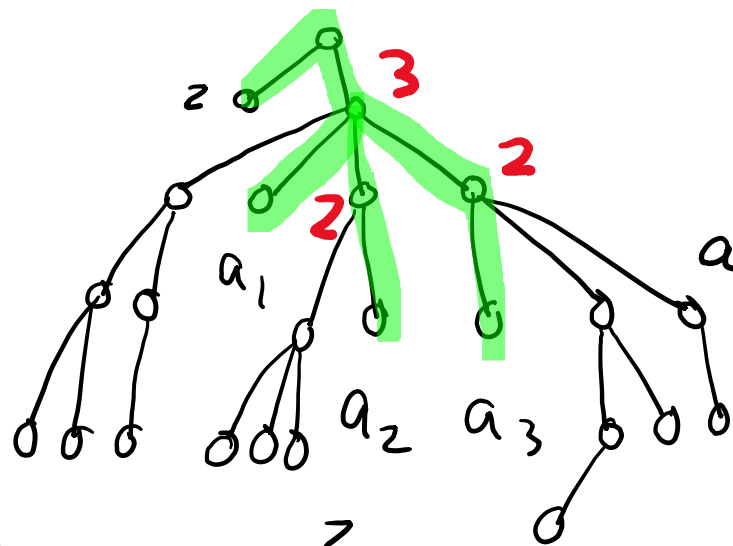


Same structure,  
intertwined

**PROBLEM**: previous argument does not work.  $a_1$  and  $c_1$  don't have the same distances to  $b_1/b_2/b_3$ .

$T_1$ :  $k$ -leaf root of  $C_1 \cup Y_1 \cup \{z\}$

$T$ :  $k$ -leaf root of  $G - (C_1 \cup Y_1)$



Same structure,  
intertwined

**SOLUTION**: embed  $T_1$  into  $T$  by “imitating” the structure of the two other subtrees. When **orange** and **blue** share a common edge, make embedded **green** share that common edge.

```

1 insert( $r(T_1^*), r(R)$ ) //initial call
2
3 Function insert( $t, r$ )
4   // $t \in V(T_1^*)$  is the node of  $T_1^*$  we are inserting
5   // $r \in V(R)$  is the node of  $R$  we are inserting on
6   foreach child  $u \in ch_{T_1^*}(t) \setminus ch_{T_1}(t)$  do
7     | Insert the  $T_1^*(u)$  subtree as a child of  $r$ 
8   end
9   foreach child  $u \in ch_{T_1}(t)$  do
10    | if  $\exists w \in ch_{T_1}(t) \setminus \{u\}$  such that  $sig_{\ell_1}(\mathcal{T}_1(w)) = sig_{\ell_1}(\mathcal{T}_1(u))$  then
11    | | Insert the  $T_1^*(u)$  subtree as a child of  $r$ 
12    | else
13    | | Let  $u_2 \in ch_{T_2}(r)$  such that  $sig_{\ell_2}(\mathcal{T}_2(u_2)) = sig_{\ell_1}(\mathcal{T}_1(u))$ 
14    | | Let  $u_3 \in ch_{T_3}(r)$  such that  $sig_{\ell_3}(\mathcal{T}_3(u_3)) = sig_{\ell_1}(\mathcal{T}_1(u))$ 
15    | | if  $u_2 \neq u_3$  then
16    | | | Insert the  $T_1^*(u)$  subtree as a child of  $r$ 
17    | | else
18    | | | if  $u_2 \neq z$  then
19    | | | | Recursively call insert( $u, u_2$ )
20    | end
21 end

```

# Bottomline

- If  $G - C_1 \cup Y_1$  is a  $k$ -leaf power, then we can find enough similar + homogeneous subsets. With that, we can:
  - 1) find a  $k$ -leaf root  $T$  of  $G - C_1 \cup Y_1$
  - 2) find  $C_2$  and  $C_3$  such that their restrictions in  $T$  yields the same layer-encoding (need enough homogeneous subsets to guarantee it).
  - 3) find a  $k$ -leaf root  $T_1$  of  $G[C_1 \cup Y_1 \cup \{z\}]$  with that same encoding.
  - 4) embed  $T_1$  into  $T$  based on  $C_2$  and  $C_3$ .
  - 5) all distance relationships will be the same as either  $C_2$  or  $C_3 \Rightarrow$  all is good  $\Rightarrow T$  is a  $k$ -leaf root of  $G$ .
    - That part requires more work than I showed...

Step 4 : making an algorithm out of this

```

1 Function isLeafPower( $G, k$ )
2    $d \leftarrow 3|S(k, 3k)|2^{|S(k, 3k)|}$ ;
3   if  $G$  has maximum degree at most  $d^k$  then
4     | Check if  $G$  is a  $k$ -leaf power and return the result;
5   foreach collection  $\mathcal{C} = \{C_1, \dots, C_l\}$  of disjoint subsets of  $V(G)$ , with  $l = 3|S(k, 3k)|$  and with each
6     |  $|C_i| \leq d^k$  do
7       | Let  $G' = G - \bigcup_{i \in [l]} C_i$ ;
8       | Let  $X = \{X_1, \dots, X_t\}$  be the connected components of  $G'$ ;
9       | Let  $z \in V(G')$  such that  $\bigcup_{i \in [l]} C_i \subseteq N_G(z)$ ;
10      | if  $z$  does not exist then
11        | continue to the next  $\mathcal{C}$ ;
12      | Let  $X_z \in X$  such that  $z \in X_z$ ;
13      | if some  $X_j \in X \setminus \{X_z\}$  has neighbors in two distinct  $C_i, C_j$  then
14        | continue to the next  $\mathcal{C}$ ;
15      | For  $i \in [l]$ , let  $Y_i$  be the union of every  $X_j \in X \setminus X_z$  such that  $N_G(X_j) \subseteq C_i$ ;
16      | if  $\exists i \in [l], G[C_i \cup Y_i \cup \{z\}]$  has maximum degree above  $d^k$  then
17        | continue to the next  $\mathcal{C}$ ;
18      | foreach set of layering functions  $\mathcal{L} = \{\ell_1, \dots, \ell_l\}$  do
19        | if  $\mathcal{S} = (\mathcal{C}, \mathcal{Y} = \{Y_1, \dots, Y_d\}, z, \mathcal{L})$  is a similar structure then
20          | foreach  $i \in [l]$  do
21            | Compute  $\text{accept}(\mathcal{S}, C_i)$ ;
22          | end
23          | if all the  $\text{accept}(\mathcal{S}, C_i)$  are equal and non-empty then
24            | return  $\text{isLeafPower}(G - (C_1 \cup Y_1), k)$ ;
25        | end
26      | end
27 end

```

**Algorithm 2:** Deciding if a graph is a  $k$ -leaf power.

```

1 Function isLeafPower( $G, k$ )
2    $d \leftarrow 3|S(k, 3k)|2^{|S(k, 3k)|}$ ;
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5     foreach collection  $\mathcal{C} = \{C_1, \dots, C_l\}$  of disjoint subsets of  $V(G)$ , with  $l = 3|S(k, 3k)|$  and with each
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9       | Let  $z \in V(G')$  such that  $\bigcup_{i \in [l]} C_i \subseteq N_G(z)$ ;
10      | if  $z$  does not exist then
11        | continue to the next  $\mathcal{C}$ ;
12      | Let  $X_z \in X$  such that  $z \in X_z$ ;
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15      | For  $i \in [l]$ , let  $Y_i$  be the union of every  $X_j \in X \setminus X_z$  such that  $N_G(X_j) \subseteq C_i$ ;
16      | if  $\exists i \in [l], G[C_i \cup Y_i \cup \{z\}]$  has maximum degree above  $d^k$  then
17        | continue to the next  $\mathcal{C}$ ;
18      | foreach set of layering functions  $\mathcal{L} = \{\ell_1, \dots, \ell_l\}$  do
19        | if  $\mathcal{S} = (\mathcal{C}, \mathcal{Y} = \{Y_1, \dots, Y_d\}, z, \mathcal{L})$  is a similar structure then
20          | foreach  $i \in [l]$  do
21            | Compute  $\text{accept}(\mathcal{S}, C_i)$ ;
22            | end
23            | if all the  $\text{accept}(\mathcal{S}, C_i)$  are equal and non-empty then
24              | return isLeafPower( $G - (C_1 \cup Y_1), k$ );
25          | end
26      | end
27 end

```

**Algorithm 2:** Deciding if a graph is a  $k$ -leaf power.



```

1 Function isLeafPower( $G, k$ )
2    $d \leftarrow 3|S(k, 3k)|2^{|S(k, 3k)|}$ ;
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9       | Let  $z \in V(G')$  such that  $\bigcup_{i \in [l]} C_i \subseteq N_G(z)$ ;
10      | if  $z$  does not exist then
11        | continue to the next  $\mathcal{C}$ ;
12      | Let  $X_z \in X$  such that  $z \in X_z$ ;
13      | if some  $X_j \in X \setminus \{X_z\}$  has neighbors in two distinct  $C_i, C_j$  then
14        | continue to the next  $\mathcal{C}$ ;
15      | For  $i \in [l]$ , let  $Y_i$  be the union of every  $X_j \in X \setminus X_z$  such that  $N_G(X_j) \subseteq C_i$ ;
16      | if  $\exists i \in [l], G[C_i \cup Y_i \cup \{z\}]$  has maximum degree above  $d^k$  then
17        | continue to the next  $\mathcal{C}$ ;
18      | foreach set of layering functions  $\mathcal{L} = \{\ell_1, \dots, \ell_l\}$  do
19        | if  $\mathcal{S} = (\mathcal{C}, \mathcal{Y} = \{Y_1, \dots, Y_d\}, z, \mathcal{L})$  is a similar structure then
20          | foreach  $i \in [l]$  do
21            | Compute accept( $\mathcal{S}, C_i$ );
22          | end
23          | if all the accept( $\mathcal{S}, C_i$ ) are equal and non-empty then
24            | return isLeafPower( $G - (C_1 \cup Y_1), k$ );
25        | end
26      | end
27    end
28  end
29  return "Not a  $k$ -leaf power";
30 end

```

**Algorithm 2:** Deciding if a graph is a  $k$ -leaf power.

- Computing ***accept***( $C_i \cup Y_i$ )
- Recall that  $G[C_i \cup Y_i \cup \{z\}]$  has maximum degree at most  $d^k$ , where here  $d$  is that power tower function.
- Also,  $G[C_i \cup Y_i \cup \{z\}]$  is chordal (assuming it is a  $k$ -leaf power).
- Hence,  $G[C_i \cup Y_i \cup \{z\}]$  has treewidth at most  $d^k$ .
- The list of layer-encoded  $k$ -leaf roots can be computed using dynamic programming on the tree decomposition.
  - See paper...

What's next?

# What's next?

## Open problem 1

Can the ridiculous  $n^{f(k)}$  complexity be improved? Or is the power tower behavior necessary in the exponent?

## Open problem 2

Is  $k$ -leaf power recognition FPT in  $k$ ? i.e.  $f(k) * poly(n)$  algorithm?

## Open problem 3

Can leaf powers be recognized in polynomial time? Techniques from here usable? (probably not)

## Other questions

- Techniques applicable to other tree-definable graph classes? (e.g. PCGs)
- Graph-theoretical characterization of  $k$ -leaf powers?
  - ad hoc analysis for low degree, higher degree = redundancy



## Theorem

There is  $f$  such that if  $G$  admits a  $k$ -leaf root of max degree  $d > f(k)$ , then  $G$  contains a subset  $C$  of vertices such that  **$G$  is a  $k$ -leaf power if and only if  $G - C$  is a  $k$ -leaf power.**

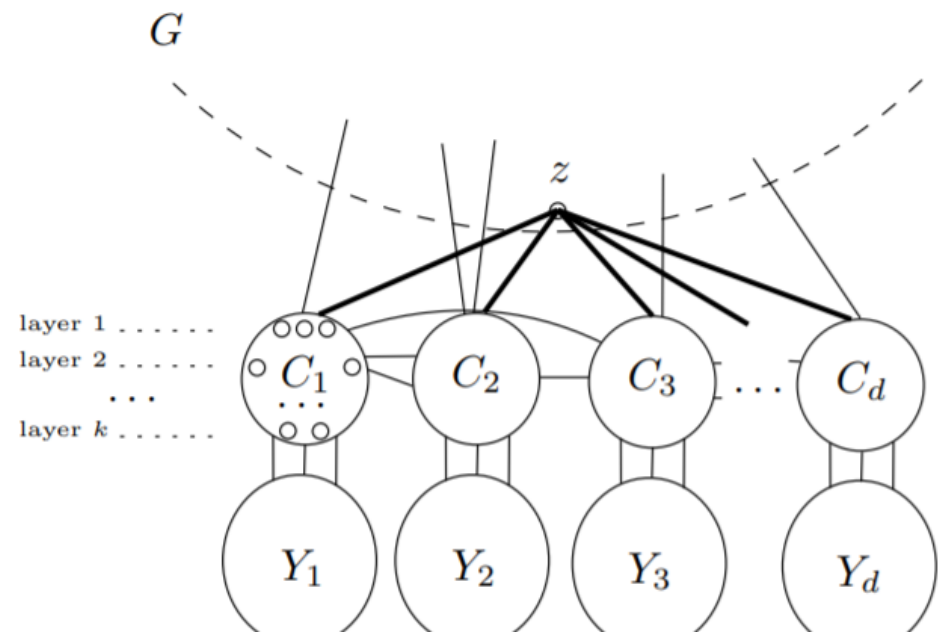
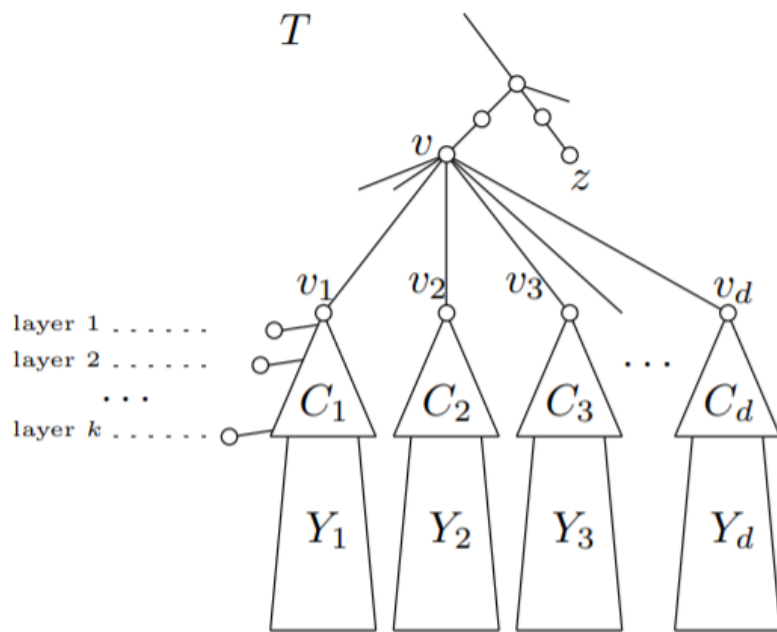
Moreover,  $C$  can be found in time  $O(n^{f(k)})$  if it exists.

This is proved as follows:

1. Show that if a  $k$ -leaf root has degree  $> d$ , one can find subsets  $C_1 \cup Y_1, \dots, C_d \cup Y_d$ , such that  $C_i$  cuts  $Y_i$  from the rest of  $G$ .
2. Moreover,  $C_1 \cup C_2 \cup \dots \cup C_d$  can be partitioned into layers that have the same neighborhood in  $G - (C_1 \cup Y_1 \cup \dots \cup C_d \cup Y_d)$ .
3. Moreover again,  $G[C_1 \cup Y_1]$  admits the same set of encoded  $k$ -leaf roots as some  $G[C_i \cup Y_i]$  (to be defined).
4. Find a  $k$ -leaf root  $T$  of  $G - (C_1 \cup Y_1)$ . If none exists, we are done. Otherwise, look at how  $C_i \cup Y_i$  is organized in  $T$ . By (3),  $C_1 \cup Y_1$  allows the same  $k$ -leaf root organization. We embed  $C_1 \cup Y_1$  into  $T$  by mimicking  $C_2 \cup Y_2$ . By (2), this works.

This is proved as follows:

1. Show that if a  $k$ -leaf root has degree  $> d$ , one can find subsets  $C_1 \cup Y_1, \dots, C_d \cup Y_d$ , such that  $C_i$  cuts  $Y_i$  from the rest of  $G$ .
2. Moreover,  $C_1 \cup C_2 \cup \dots \cup C_d$  can be partitioned into layers that have the same neighborhood in  $G - (C_1 \cup Y_1 \cup \dots \cup C_d \cup Y_d)$ .
3. If  $d$  is large, some  $G[C_i \cup Y_i]$  and  $G[C_j \cup Y_j]$  admit the same set of encoded  $k$ -leaf roots (to be defined).
4. Find a  $k$ -leaf root  $T$  of  $G - (C_i \cup Y_i)$ . Look at how  $C_j \cup Y_j$  is organized in  $T$ . By (3),  $C_i \cup Y_i$  allows the same  $k$ -leaf root organization. We embed  $C_i \cup Y_i$  into  $T$  by mimicking  $C_j \cup Y_j$ . By (2), this works.



# $k$ -leaf roots with high degree

## Theorem

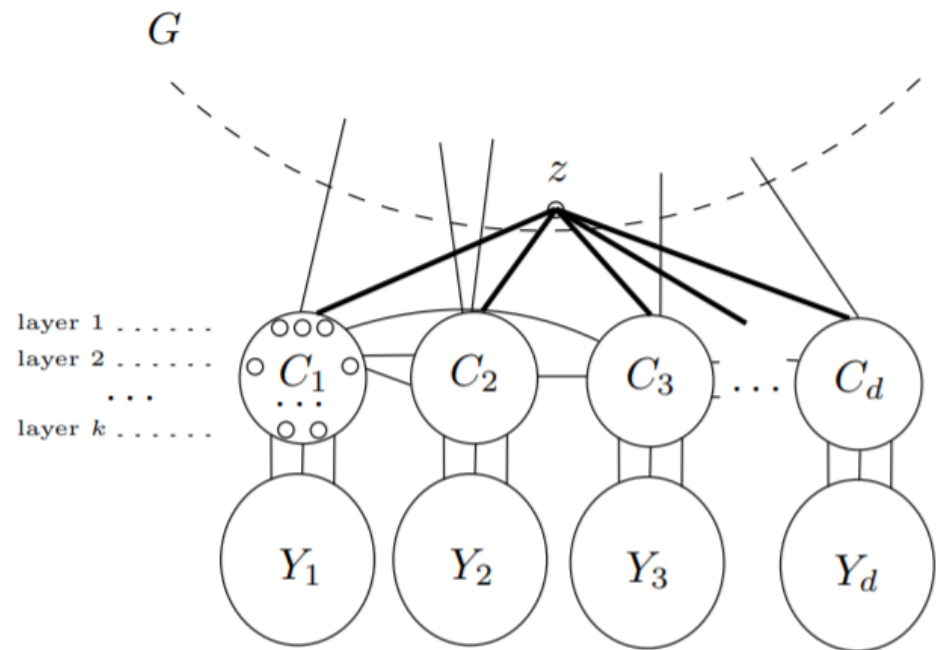
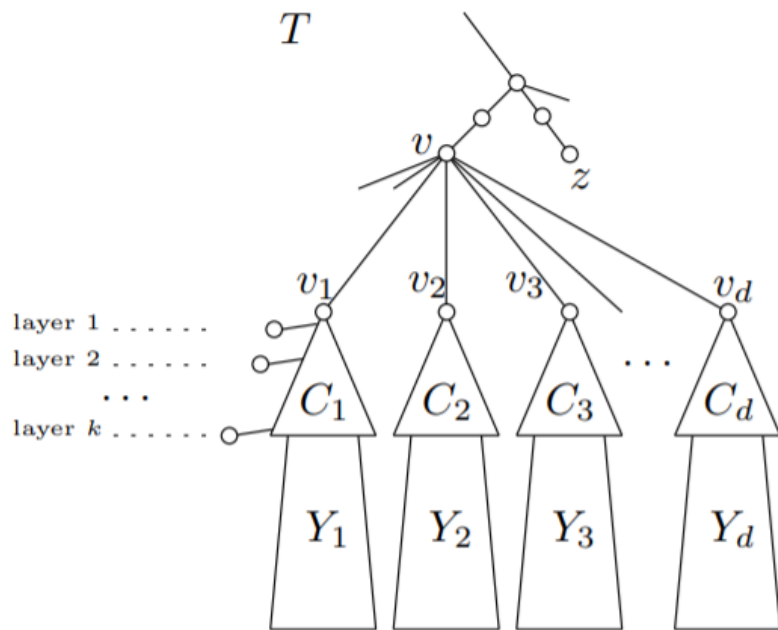
There is  $f$  such that if  $G$  admits a  $k$ -leaf root of max degree  $d > f(k)$ , then  $G$  contains a subset  $C$  of vertices such that  **$G$  is a  $k$ -leaf power if and only if  $G - C$  is a  $k$ -leaf power.**

Moreover,  $C$  can be found in time  $O(n^{f(k)})$  if it exists.

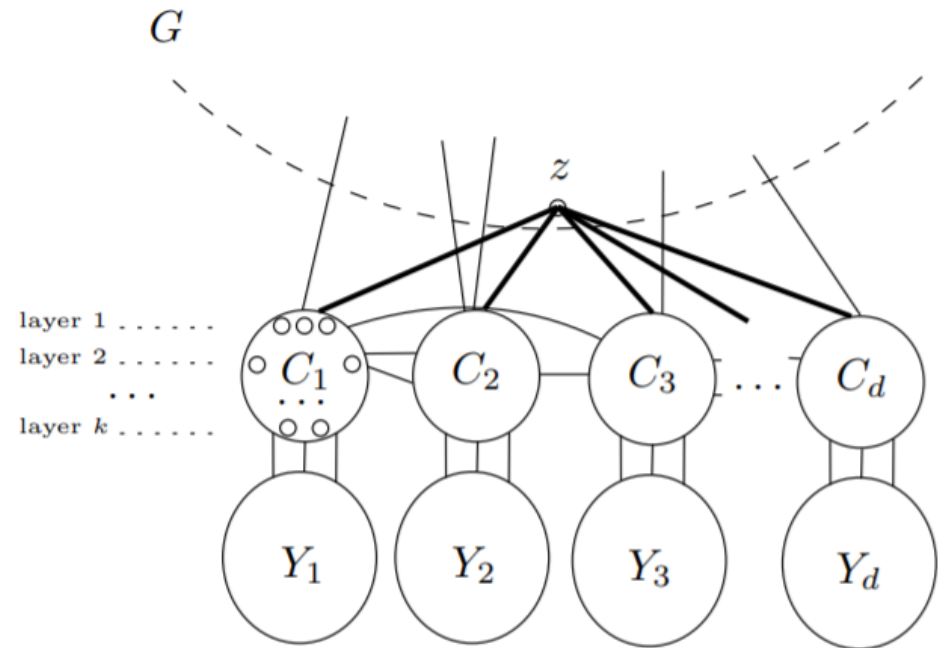
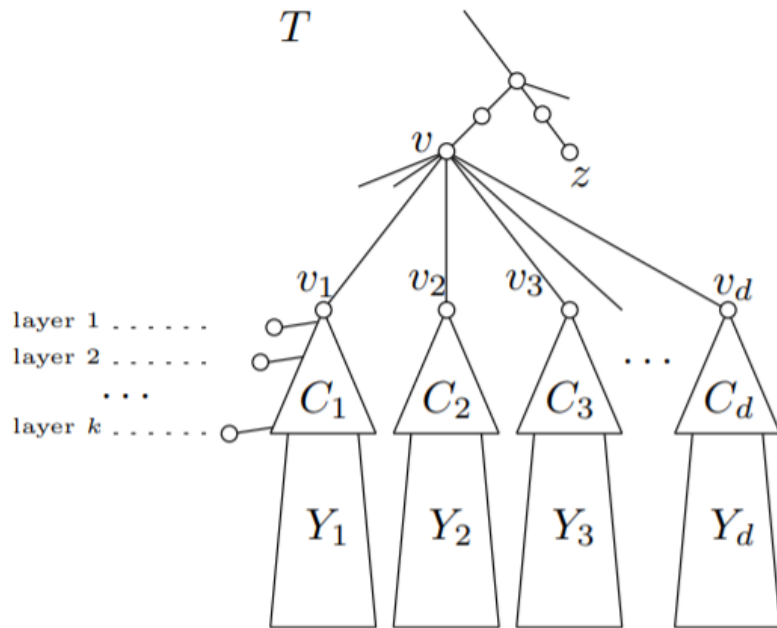




- $T$  = leaf root of  $G$
- $v$  = lowest max of degree  $> d$
- $z$  = closest leaf to  $v$
- $C_i$  = subtrees at distance  $\leq k$  from  $v$
- Layer  $j$  = leaves at distance  $j$  from  $v$



- Of course, we don't have  $T$ . Still, by brute-force we can find the  $C_i$ 's and  $Y_i$ 's that satisfy the cutset, size and layering properties. This is feasible since the  $C_i$ 's have bounded size.



**3.1 Similar structures** A *similar structure* of a graph  $G$  is a tuple  $\mathcal{S} = (\mathcal{C}, \mathcal{Y}, z, \mathcal{L})$  where:

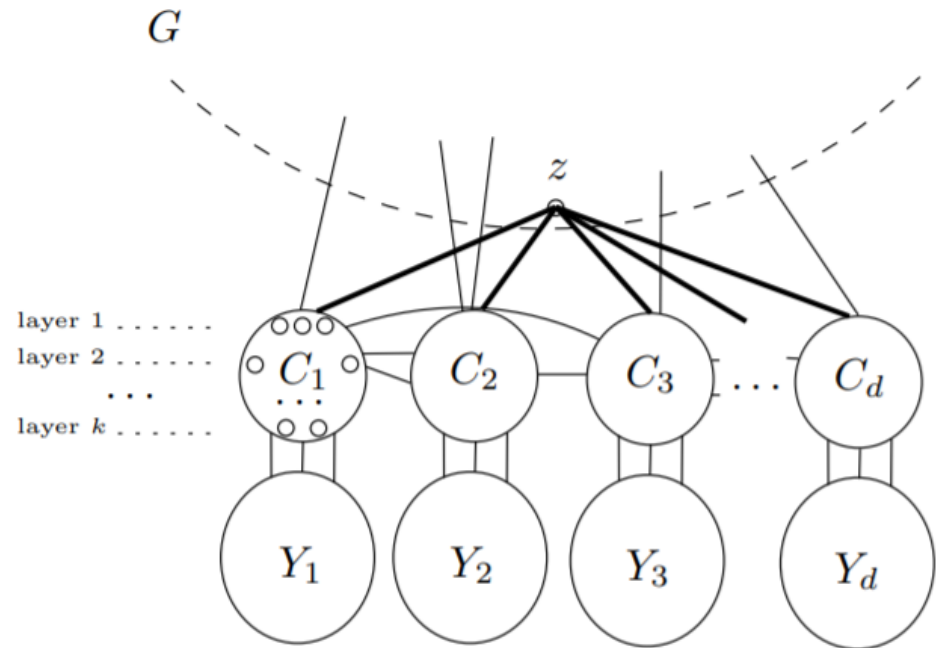
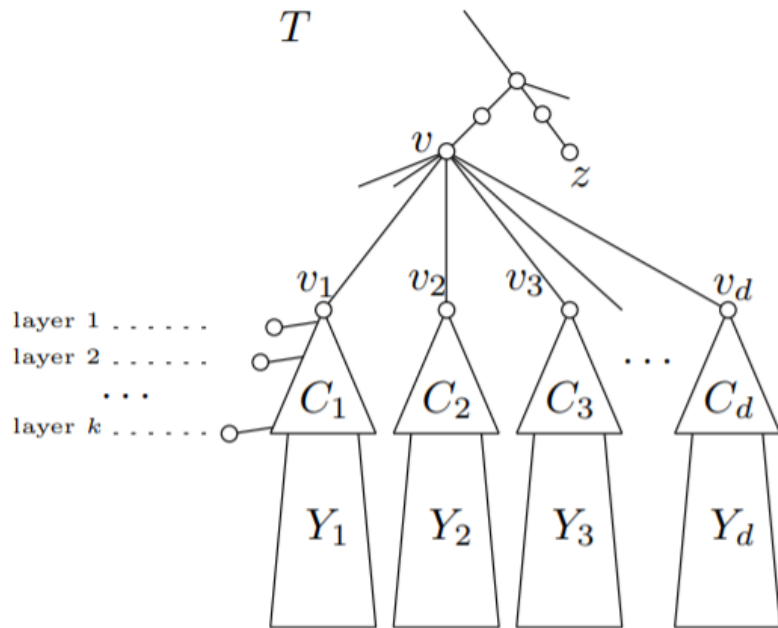
- $\mathcal{C} = \{C_1, \dots, C_d\}$  is a collection of  $d \geq 2$  pairwise disjoint, non-empty subsets of vertices of  $G$ ;
- $\mathcal{Y} = \{Y_1, \dots, Y_d\}$  is a collection of pairwise disjoint subsets of vertices of  $G$ , some of which are possibly empty. Also,  $C_i \cap Y_j = \emptyset$  for any  $i, j \in [d]$ ;
- $z \in V(G)$  and does not belong to any subset of  $\mathcal{C}$  or  $\mathcal{Y}$ ;
- $\mathcal{L} = \{\ell_1, \dots, \ell_d\}$  is a set of functions where, for each  $i \in [d]$ , we have  $\ell_i : C_i \cup \{z\} \rightarrow \{0, 1, \dots, k\}$ . The functions in  $\mathcal{L}$  are called *layering functions*.

Additionally,  $\mathcal{S}$  must satisfy several conditions. Let us denote  $C^* = \bigcup_{i \in [d]} C_i$ . Let  $X = \{X_1, \dots, X_t\}$  be the connected components of  $G - C^*$ . For each  $i \in [d]$ , denote  $X^{(i)} = \{X_j \in X : N_G(X_j) \subseteq C_i\}$ , i.e. the components that have neighbors only in  $C_i$ .

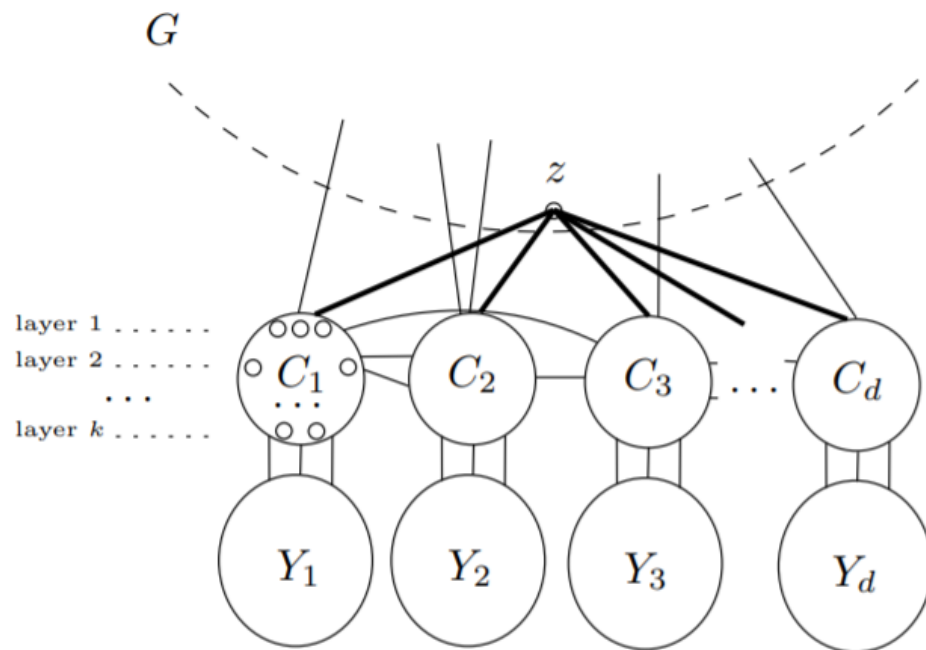
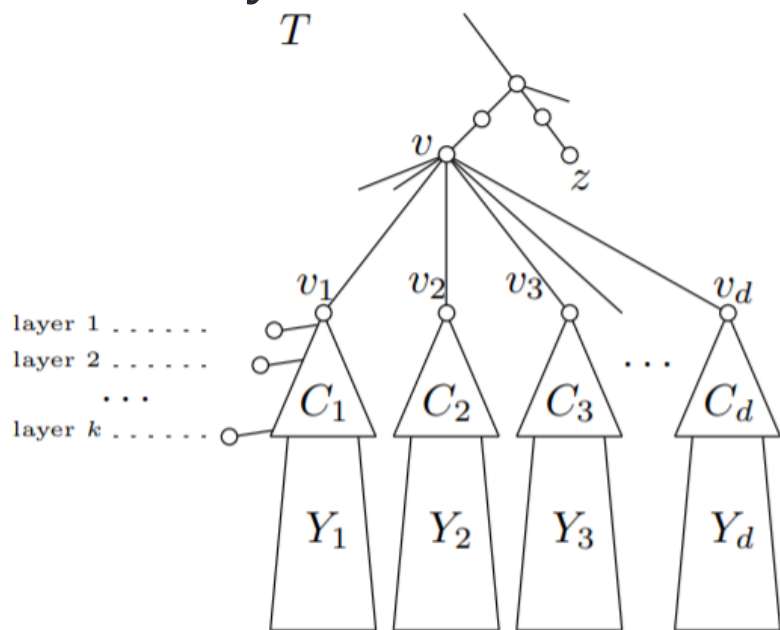
Then all the following conditions must hold:

1. for each  $i \in [d]$ ,  $Y_i = \bigcup_{X_j \in X^{(i)}} X_j$  ( $Y_i = \emptyset$  is possible);
2. there is exactly one connected component  $X_z \in X$  such that for all  $i \in [d]$ ,  $N_G(X_z) \cap C_i \neq \emptyset$ . Moreover,  $z \in X_z$  and  $C^* \subseteq N_G(z)$ ;
3. for all  $X_j \in X \setminus \{X_z\}$ ,  $X_j \subseteq Y_i$  for some  $i \in [d]$ . In particular,  $X_z$  is the only connected component of  $G - C^*$  with neighbors in two or more  $C_i$ 's;
4. the layering functions  $\mathcal{L}$  satisfy the following:
  - (a) for each  $i \in [d]$ ,  $\ell_i(z) = 0$ . Moreover,  $\ell_i(x) > 0$  for any  $x \in C_i$ ;
  - (b) for any  $i, j \in [d]$  and any  $x \in C_i, y \in C_j$ ,  $\ell_i(x) = \ell_j(y)$  implies  $N_G(x) \setminus (C_i \cup Y_i \cup C_j \cup Y_j) = N_G(y) \setminus (C_i \cup Y_i \cup C_j \cup Y_j)$ . Note that this includes the case  $i = j$ ;
  - (c) for any  $i, j \in [d]$  and any  $x \in C_i, y \in C_j$ ,  $\ell_i(x) + \ell_j(y) \leq k$  implies  $xy \in E(G)$ . Note that this includes the case  $i = j$ .
  - (d) for any *two distinct*  $i, j \in [d]$  and any  $x \in C_i, y \in C_j$ ,  $\ell_i(x) + \ell_j(y) > k$  implies  $xy \notin E(G)$ . Note that this does *not* include the case  $i = j$

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- Look at the  $k$ -leaf roots of each  $G[C_i \cup Y_i]$ .
- WANT : two  $G[C_i \cup Y_i]$  and  $G[C_j \cup Y_j]$  that admit the same set of layer-encoded  $k$ -leaf roots.



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